## **EACL 2023**

## **Cross-Cultural Considerations in NLP @ EACL**

**Proceedings of the Workshop** 

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## Program

## Friday, May 5, 2023

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10:30 - 11:15	Coffee break
11:15 - 12:00	In person panel
12:00 - 12:45	Contributed Talks
12:45 - 14:15	Lunch Break
14:15 - 15:45	Contributed Talks
15:45 - 16:30	Coffee break
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17:15 - 17:55	Contributed Talks

# ε kú <mask>: Integrating Yorùbá cultural greetings into machine translation

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### **Abstract**

This paper investigates the performance of massively multilingual neural machine translation (NMT) systems in translating Yorùbá greetings  $(\varepsilon \text{ kú} < \text{mask} > 1)$ , which are a big part of Yorùbá language and culture, into English. To evaluate these models, we present IkiniYorùbá, a Yorùbá-English translation dataset containing some Yorùbá greetings, and sample use cases. We analysed the performance of different multilingual NMT systems including Google Translate and NLLB and show that these models struggle to accurately translate Yorùbá greetings into English. In addition, we trained a Yorùbá-English model by finetuning an existing NMT model on the training split of IkiniYorùbá and this achieved better performance when compared to the pre-trained multilingual NMT models, although they were trained on a large volume of data.

#### 1 Introduction

In recent years, multilingual neural machine translation (NMT) models have shown remarkable improvement in translating both high and low-resource languages and have become widely used in various applications (Kudugunta et al., 2019; Aharoni et al., 2019; NLLB Team et al., 2022; Bapna et al., 2022). Despite this progress, NMT models still struggle to accurately translate idiomatic expressions (Fadaee et al., 2018; Baziotis et al., 2022), cultural concepts such as proverbs (Alkhresheh and AlMaaytah, 2018; Adelani et al., 2021), and common greetings, particularly in African languages like Yorùbá– a west African language, which has a rich cultural heritage.

Source: E kú ojúmó, e sì kú déédé àsìkò yìí. Target: Good morning and compliment for this period.

NLLB: You have died, and you have died to this hour.
Google Translate: Die every day, and die at this time.
Our Model: Good morning and compliment for this time.

Table 1: Translation outputs of 3 different NMT models.

Table 1 illustrates a Yorùbá sentence containing frequently used greeting phrases by the Yorùbá people, and the corresponding translations generated from three multilingual NMT systems, which are: Meta's NLLB (NLLB Team et al., 2022), Google Translate<sup>2</sup>, and our own model.

An examination of NLLB and Google Translate's model outputs reveals that they all fail to produce accurate translations for the input sentence. One possible explanation for this is the lack of sufficient training data including these types of greetings, even though they were trained on a large volume of multilingual data. Furthermore, kú, a common word in these kinds of greetings, has two main interpretations that could mean either death or a compliment, depending on the context. Similarly, the syntactic frame of occurrence also determines the meaning of the verb (the type of complement and adjunct), and this is due to the ambiguous nature of Yorùbá verbs. Hence, it is possible that these models were trained on data with  $k\hat{u}$  having the meaning death.

To address this issue, this paper introduces a new dataset dubbed IkiniYorùbá, a Yorùbá-English translation dataset of popular Yorùbá greetings. We evaluate the performance of existing multilingual NMT systems on this dataset, and the results demonstrate that although current multilingual NMT systems are good at translating Yorùbá

<sup>\*</sup>Equal contribution.

 $<sup>^1</sup>$ For simplicity of notation in the title, we make use of  $\varepsilon$  – the Beninese Yorùbá letter representation of E (which is used in Nigeria), and <mask> provides the context of greeting.

https://translate.google.com/ evaluated on 23rd January 2023

sentences into English, they struggle to accurately translate Yorùbá greetings, highlighting the need for further research in translating such cultural concepts on low-resource African languages.

## 2 Yorùbá cultural greetings

Yorùbá is a language spoken by the Yorùbá people. It is native to Nigeria, Benin and Togo with an estimate of over 40 million speakers (Eberhard et al., 2020). Yorùbá makes use of 25 Latin letters excluding the Latin characters (c, q, v, x and z), and additional letters (e, gb, ṣ, o). Yorùbá is a tonal language with three tones: low, middle and high. These tones are represented by the grave (e.g. "à"), optional macron (e.g. "ā") and acute (e.g. "á") accents respectively.

Greetings are inseparable from the Yorùbá people since they are important for first impressions and are even considered to be a part of Yorùbá identity. After the abolition of the slave trade at the beginning of the 19th century, the Yorùbá indigenes who were rescued by the British warship settled in Freetown, a place in present-day Sierra Leone. People began to call them  $a k \hat{u}$  which is a fragment attached to all forms of greetings in Yorùbá (Webster, 1966). This is because while an English speaker will say good morning, happy birthday, merry Christmas, and so on, the Yorùbá people would say e káàrò, e kú ojó ìbí, and e kú odún kérésìmesì. The recurrence of e kú in their everyday conversation resulted the appellation a kú.

 $E k \hat{u}$  has the same semantic importance as 'good', 'merry-' and 'happy-' in English greetings. Without the fragment  $e k \hat{u}$  in the communication frame of greeting, the cultural knowledge shared by interlocutors will be lost.

Structurally,  $e k \hat{u}$  can be syntactically explained to have a subject-predicate relationship, rather than being a single lexeme or a prefix as claimed by most scholars. Using the paradigmatic relationship (de Saussure, 1983; Asher and Simpson, 1994) lens, e can be replaced with any pronoun or nominal item (as described by interlocutors) with +human feature and still fit in perfectly. The +human feature is necessary because compliments are mainly for humans and  $k\hat{u}$  requires a selection restriction to sieve out the non-human elements. Table 2 shows some of these constructions. It is equally important to note here that  $e k\hat{u}$  can also be used for supernatural beings or metaphysical beings which in this

Greeting	Person	Meaning
O kú ìrìn	2nd person singular	Compliment for walking
A kú òde	1st person plural	Compliment for attending a party
Wộn kú ìjóòkó	3rd person plural	Compliment for sitting

Table 2: Some E kú constructions

form sounds like a personification.

 $K\acute{u}$  on the other hand is a transitive predicate that requires a compliment. This compliment could either be a noun that signifies time like  $\grave{a}\acute{a}r\grave{\phi}$  (morning), a noun that denotes season like  $\grave{\phi}ririn/\grave{\phi}t\acute{u}t\grave{u}$  (cold), a noun that points to a celebration like  $\acute{k}\acute{e}r\acute{e}s\grave{i}mes\grave{i}$  (Christmas), a nominalized verb that describes an event or action like  $\grave{i}j\acute{o}k\grave{\phi}\acute{o}$  (sitting), and many more. Omitting the compliment in a greeting construction will alter the interpretation of the expression which may also change the meaning of  $k\acute{u}$  to death.

## 3 Related Work

The development of machine translation systems for low-resource languages such as Yorùbá has seen a significant amount of research efforts in recent years. One major area of focus has been on curating translation datasets for these languages, which are collected using either automatic or manual methods. Examples of automatically collected datasets that include Yorùbá are JW300 (Agić and Vulić, 2019), CCMatrix (Schwenk et al., 2021), and CCAligned (El-Kishky et al., 2020). On the other hand, examples of manually translated datasets for Yoruba include MENYO-20k (Adelani et al., 2021), MAFAND-MT (Adelani et al., 2022), FLORES-101 (Goyal et al., 2022), and NTREX (Federmann et al., 2022). These datasets have been instrumental in the study, development, and improvement of machine translation systems for Yorùbá.

For example, Adelani et al. (2021) investigated how domain data quality and the use of diacritics, a crucial aspect of Yorùbá orthography, impact Yorùbá-English translations. Adebara et al. (2022) examined the effectiveness of Yorùbá-English machine translation in translating bare nouns (BN), by comparing the results obtained from using statistical machine translation methods and neural approaches. Adelani et al. (2022) investigated how to effectively leverage pre-trained models for translation of African languages including Yorùbá. De-

spite the attempts to create datasets and develop translation systems for Yorùbá, to the best of our knowledge, only Adelani et al. (2021) has examined a cultural aspect of Yorùbá by evaluating their models on Yorùbá proverbs, which are a significant part of Yorùbá tradition. However, this research has not looked into how these models perform on another cultural aspect which is Yorùbá greetings. Furthermore, there appear to be no prior works that have evaluated machine translation performance specifically for this aspect of the language and for other languages. Therefore, in this work, we investigate the performance of Yorùbá-English translation models on Yorùbá greetings.

## 4 IkiniYorùbá corpus

Greetings dataset: We introduce IkiniYorùbá, a Yorùbá-English translation dataset for Yorùbá greetings and their usage in various contexts, containing 960 parallel instances. The data curation process involved three key stages. Firstly, we gathered commonly used Yorùbá greetings that cover a variety of situations such as time, season, celebration, and more, as outlined in Section 2, resulting in a total of 160 Yorùbá greetings. Secondly, we created 5 different example sentences for each greeting, where the greetings are used in context, by native speakers of the language, resulting in 800 use cases in total. Lastly, we asked an expert translator to translate the seed data and the use cases into English. We split the created data into train/dev/test splits with 100/20/40 seed greeting instances. For each instance in a split, the 5 example sentences created are assigned to the same split.

Conversational dataset: For our experiments, we used the movie transcripts subset of the MENYO-20k (Adelani et al., 2020) dataset, which is a human-translated English-Yorùbá dataset for movie transcripts. We selected this dataset because it consists of conversational data.

Table 3 shows the sample sentences in the IkiniYorùbá dataset and Movie Transcript datasets, while Table 4 highlights the statistics of these datasets.

## 5 Experiments

## 5.1 Experimental Setup

Greetings play a crucial role in Yorùbá culture and are widely used in daily conversations by Yorùbá people. For every action, there is a customary way of greeting or complimenting those involved us-

Yorùbá	English
IkiniYorùbá- Seed Greeti	ngs
Ę kú ìfę́	Thanks for the love
Okò á rèfò	Safe ride
IkiniYorùbá- Greetings w	ith contexts
E kú ìfé, Ire là ó má bá ara	Thanks for the love, may
wa șe.	we continue to celebrate one another.
A ó ma fojú sónà láti ríi	Looking forward to seeing
yín, ọkộ á rèfò	you, safe ride.
Movie Transcript	
E káàsán ma.	Good afternoon ma.
E nlè sà! Mo mò yín	Hello sir! I know you
Fémi kí ló selè báyìí?	Femi what is it now?

Table 3: Sample sentence pairs from the IkiniYorùbá and the Movie Transcripts datasets.

Everything will be fine,

we're in this together

Gbogbo nnkàn á dára, a jo

wà nínú è ni

dev	test
120	240
-	775
_	- 1 <b>2</b> 0

Table 4: The split of the data

ing the phrase E ku. In this work, we compare several existing translation systems and evaluate their performance on Yorùbá greetings. We demonstrate the effectiveness of these translation systems by testing them on movie transcripts, which are conversational in nature. Below, we outline our experiments.

Translation Models: In this study, we evaluate the performance of three multilingual NMT systems. These systems were pre-trained on various languages, and they are Google multilingual NMT, the distilled version of Meta's NLLB (NLLB Team et al., 2022) with 600M parameters, and a publicly available M2M-100 (Fan et al., 2020) with 418M parameters fine-tuned on the MENYO-20k dataset. We generated translations for the test sets using the Google Translate web application<sup>3</sup>, while for Meta's M2M-100 and NLLB models, we used the HuggingFace transformers<sup>4</sup> library.

 $<sup>^3</sup>$ https://translate.google.com/ evaluated on 23rd January 2023

<sup>4</sup>https://github.com/huggingface/ transformers

Data preprocessing and evaluation: To standardize the format of the two parallel datasets, we converted the Yorùbá texts in the dataset to Unicode Normalization Form Composition (NFC). And to automatically assess the performance of the models, we used BLEU (Papineni et al., 2002) score implemented in SacreBLEU<sup>5</sup> (Post, 2018).

### 5.2 Experimental results

Table 5 shows the results of evaluating the three different models on the two datasets: IkiniYorùbá test split and Movie Transcripts. The models obtained impressive performance on the Movie Transcript data with high BLEU scores but poorly on the IkiniYorùbá data with significantly lower scores. This highlights their inability to translate Yorùbá cultural content such as greetings. The bestperforming model, M2M-100, had a BLEU score of 34.70 on Movie Transcript data as it was trained on this same data by its authors. However, it had a score of 4.3 on greetings data. The second-best model, Google Translate, was 3.65 points below the best model on Movie Transcript. It performed better on greetings data with a score of 9.47, though still lower compared to its performance on Movie Transcript data.

In addition, we finetuned the M2M-100 model on IkiniYorùbá, Movie Transcripts, and a combination of both data sources and evaluated the models on the IkiniYorùbá test split. Our results show that finetuning the M2M-100 on Movie Transcripts improves the model's performance on IkiniYorùbá by 1.92 BLEU points compared to the original M2M-100. However, the best performance was achieved when the M2M-100 was finetuned on the IkiniYorùbá training split, with a BLEU score of 29.67. Finetuning the M2M-100 on the combination of both datasets did not result in any improvement. We do not evaluate the M2M-100 model finetuned on MovieTranscript data on the MovieTranscript data, as this would result in evaluating on the same data used for training.

To understand the performance of individual models on the IkiniYorùbá test set, we conducted human evaluations of the translated outputs from Google Translate, NLLB, M2M-100, and M2M-100 finetuned on the IkiniYorùbá dataset. We asked three native Yorùbá speakers fluent in English to rate the 240 sentences for each system on two cri-

teria: adequacy (on a Likert scale of 1 to 5) and cultural content preservation - CCP (binary scale of 0 or 1). Here, adequacy describes how much of the meaning of the reference translation was preserved in the MT output, and CCP indicates whether the greetings/compliments within the translation are preserved or not. The results show that the NMT systems struggle at translating Yorùbá greetings accurately, and they confirm the results of the automatic evaluation, showing that M2M-100 finetuned on IkiniYorùbá outperforms all other models. Overall, we observed that human evaluation shows moderate agreement with automatic evaluation.

## 5.3 Qualitative analysis of translation outputs

In Table 6, we present some translation outputs from the different models for 5 Yorùbá sentences sampled from the IkiniYorùbá test split.

Google Translate and NLLB perform well in some cases by generating translations that were similar and contextually appropriate, for instance, in the second and third examples. Google Translate gave the most similar output to the target sentence in the first example. Our model in this instance translated 'odún' (meaning 'year' in isolation or 'celebration' when it occurs alone with e kú) quite independently 'àjínde' (meaning 'resurrection' in isolation). Hence, 'resurrection celebration' appears in the output. NLLB fails in this example but in the second example, it gives the closest contextual interpretation while our model got everything right except 'àpèje' which is translated as 'reception' instead of 'feasting'.

Our model outperforms Google Translate and NLLB in the third and fourth examples. It generated nearly identical output to the target sentence, thereby showing the preservation of both cultural content and semantic interpretation ability learned from the training data. In contrast, both Google Translate and NLLB were unsuccessful in producing the correct translation. The third example is an inquiry about well-being and it is, therefore, appropriate to use the word 'fine', and not 'peace'. In the fourth example, our model also shows to have an understanding of the contextual usage of kú as a compliment which both Google Translate and NLLB failed to do. In addition, similar to the automatic evaluation result, our model generated better outputs when compared to M2M-100 which was the base model on which it was trained, confirming the ability of the model to learn from a few

<sup>5</sup>case:mixed|eff:no|
tok:13a|smooth:exp|version:2.3.1

$\mathbf{yo}  o \mathbf{en}$				
	BLEU Movie Transcript IkiniYorùbá		Adequacy   IkiniYor	CCP rùbá
Google Translate NLLB	31.05 27.19	$9.47 \\ 5.03$	2.02 1.88	$0.11 \\ 0.09$
M2M-100	34.70	$\frac{5.05}{4.33}$	1.73	0.09 $0.05$
+ Ikini Yorùbá	26.05	<b>29.67</b>	2.79	0.05
+ Movie Transcript	-	6.25	-	-
+ IkiniYorùbá + Movies Transcript	-	29.49	-	-

Table 5: Performance of the models on IkiniYorùbá and Movie Transcript. The M2M-100 and NLLB models have 418M and 600M parameters respectively. CCP is Cultural Content Preservation and it indicates whether greetings/compliments within the source sentences are preserved or not in the translation outputs.

1.	Source Target	A kí àwon kìrìsìténi kú odún Àjínde. We greet the Christians a happy Easter.
	Google T. NLLB M2M-100 Our Model	We wish Christians a happy Easter. Celebrations are celebrated on New Year's Eve. We greeted ridiculers in the resurrection year. We greet the hardworking people the resurrection celebration.
2.	Source Target	E kú àpèje èyin olóyè. Happy feasting chiefs.
	Google T. NLLB M2M-100 Our Model	Farewell to the party, you chiefs. Enjoy the feast, you leaders. You chieftains die at the banquet. Compliment for a reception chiefs.
3.	Source Target	E ìlé o èyin èèyàn mi, se àlàáfíà ni? Hello my people, I hope you are fine?
	Google T. NLLB M2M-100 Our Model	My people, is it peace? Is it peace, my people? May you, my people, be at peace? Hello my people, hope you are fine?
4.	Source Target	O kú àjàbó òré mi. Compliment for escaping danger my friend.
	Google T. NLLB M2M-100 Our Model	You are dead my friend. You sacrificed my friend. You lost my friend's womb. Compliment for escaping the danger of my friend.
5.	Source Target	O kú ayeye ojó ìbí Olúwadámiláre. Happy birthday celebration Olúwadámiláre.
	Google T. NLLB M2M-100	He died celebrating the birthday of the Almighty. You celebrated the Righteous One's birthday. You died on the anniversary of the birth of Olúwádámiler.
	Our Model	Compliment for today's anniversary of God's goodwill.

Table 6: Examples of MT output for different NMT models. Examples selected from the test set.

training instances even for low-resource languages such as Yorùbá (Adelani et al., 2022).

However, all the models failed in the last example. The models incorporated the concept of celebration or birthday in their output, but none of them were able to produce output that was exactly or semantically equivalent to the target sentence. A mistake common to all the model output except for M2M-100, is that they tried to translate

'Olúwadámiláre' which is a name of a person and should not be translated. Hence, there is a need for more effort in solving this greetings translation task, either by creating more data or developing better approaches at translating these greetings into English.

## 6 Conclusion

In this study, we analyzed the performance of machine translation models in translating Yorùbá greetings into English. To achieve this objective, we introduced a novel dataset called Ikini Yorùbá, which contains a collection of Yorùbá greetings and their respective sentence use cases. We evaluated three publicly available machine translation models on this dataset and found that, despite their ability to translate other Yorùbá greetings, which are a crucial aspect of Yorùbá culture. In future research, we aim to expand the Ikini Yorùbá dataset by adding more profession-based greetings and exploring ways to enhance the performance of machine translation models with these data.

### Limitations

One of the main limitations of our study is the lack of parallel data for Yorùbá greetings. Hence, we had to create IkiniYorùbá, which has 960 parallel sentences and may not be representative of all the greetings in Yorùbá language including profession-based greetings. In addition, our study did not explore the use of verb disambiguation methods or external knowledge bases, to enhance the performance of our models. We leave these for future research.

<sup>&</sup>lt;sup>6</sup>translates to: 'the lord justifies me', but the models still failed in this case.

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# **Cross-Cultural Transfer Learning for Chinese Offensive Language Detection**

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## **Abstract**

Detecting offensive language is a challenging task. Generalizing across different cultures and languages becomes even more challenging: besides lexical, syntactic and semantic differences, pragmatic aspects such as cultural norms and sensitivities, which are particularly relevant in this context, vary greatly. In this paper, we target Chinese offensive language detection and aim to investigate the impact of transfer learning using offensive language detection data from different cultural backgrounds, specifically Korean and English. We find that culture-specific biases in what is considered offensive negatively impact the transferability of language models (LMs) and that LMs trained on diverse cultural data are sensitive to different features in Chinese offensive language detection. In a few-shot learning scenario, however, our study shows promising prospects for non-English offensive language detection with limited resources. Our findings highlight the importance of cross-cultural transfer learning in improving offensive language detection and promoting inclusive digital spaces.

**Warning**: This paper contains content that may be offensive or upsetting.

### 1 Introduction

The proliferation of offensive language and hate speech in online platforms, especially on social media, has significantly increased in recent years (Zampieri et al., 2019, 2020; Gao et al., 2020). There is a fine line between offensive language and hate speech as few universal definitions exist (Davidson et al., 2017). Therefore, hate speech can be classified as a subtype of offensive language. In this paper, we do not differentiate them in detail, and instead, refer to the task of offensive language detection (OLD).

Despite numerous breakthroughs in the development of NLP methods for OLD (Liu et al., 2022;

Rusert et al., 2022), some significant obstacles remain unsolved (Vidgen et al., 2019), including the shortage of data resources for research purposes and bias in human annotation. Since most of the available approaches and resources for OLD are designed for English (Arango Monnar et al., 2022), the resulting trained models operate within a monocultural background that caters to English speakers. However, Schmidt and Wiegand (2017) believe that OLD has strong cultural implications, unlike other NLP tasks, because an utterance's offensiveness can vary based on an individual's cultural background.

People with different backgrounds react to inputs differently and communicate differently, so their tolerance for the presence of offensive terms, e.g., slur, may differ, as well as what is altogether considered offensive (Jay and Janschewitz, 2008). Cultural differences have been explored in humor perception (Jiang et al., 2019), swearing reception (Pavesi and Zamora, 2022), translation in semantic inconsistencies (Sperber et al., 1994) and honorifics expression (Song, 2015; Liu and Kobayashi, 2022). Even in less obvious cases, however, they bear meaningful significance on how to pose and solve NLP tasks, as cultures differ with respect to style, values, common ground and topics of interest (Hershcovich et al., 2022).

Therefore, we argue that there is a need for addressing cross-cultural aspects in offensive language detection. Although culture is intricate and challenging to define clearly, language still remains as one of the most straightforward manifestation of culture. While recent work (Ringel et al., 2019; Ranasinghe and Zampieri, 2021) has demonstrated the effectiveness of cross-lingual transfer learning

<sup>&</sup>lt;sup>1</sup>Importantly, "culture" is multifaceted and complex. When referring to English speakers, we assume that there are general unique features that characterize them, but of course there is enormous diversity within speakers of the same language. As a first step towards the analysis of cross-cultural OLD, we restrict ourselves to the level of language categories.

Dataset	Language	Train	Dev	Test	
COLD	Chinese	25726	6431	5323	
COLD	Cililese	(12723:13003=0.98)	(3211:3220=1.00)	(2107:3216=0.66)	
KOLD	Korean	24257	8086	8086	
KOLD	Korcan	(12190:12067=1.01)	(4076:4010=1.02)	(4044:4022=1.01)	
HatEn	English	9000	1000	3000	
	English	(3782:5217=0.72)	(427:573=0.75)	(2343:657=3.57)	
Region		8449	2104	2087	
Gender		6579	1657	1551	
Race		10698	2670	1685	

Table 1: Datasets statistics (**top**) and topic distributions of COLD (**bottom**). Particularly, statistics of offensive and non-offensive data and the ratio between them are indicated in **parentheses**.

in the text classification and offensive Language (hate speech) detection, they don't consider the impact of cultural background differences (e.g., Eastern and Western culture). In this paper, we take a step forward in this direction and explore the influence of offensive content from diverse cultural background on OLD, focusing on evaluation in Chinese.

Our contributions are as follows: 1) We explore the impact of transfer learning using offensive language data from different cultural backgrounds on Chinese offensive language detection (§3). 2) We find cultural differences in offensive language are expressed in the text topics, and that LMs are sensitive to these differences, learning culture-specific biases that negatively impact their transfer ability (§4). 3) We find that in the few-shot scenario, even with very limited Chinese examples, the model quickly adapts to the target culture.

### 2 Related work

Offensive language detection. Although most of the research on OLD has focused on English (Fortuna and Nunes, 2018), there exist datasets in multiple languages: Chinese (Deng et al., 2022), Korean (Jeong et al., 2022), Danish (Sigurbergsson and Derczynski, 2020), Bengali (Das et al., 2022), and Nepali (Niraula et al., 2021), to name a few. However, language models commonly rely on prior distributions from training data, that reflects a discourse that is temporally and culturally situated (Ghosh et al., 2021). In a comprehensive analysis of geographically-related content and its influence on performance disparities of offensive language detection models, Lwowski et al. (2022) find that current models do not generalize across locations.

Sap et al. (2022) call for contextualizing offensive (toxicity) labels in social variables as determining what is toxic is subjective, and annotator beliefs can be reflected in the data collected.

**Cross-lingual transfer learning.** Cross-lingual transfer appears as a potential solution to the issue of language-specific resource scarcity (Lamprinidis et al., 2021). Nozza (2021) demonstrates the limits of cross-lingual zero-shot transfer for hate speech detection in English, Italian and Spanish. The benefits of few-shot learning is evident in works from Stappen et al. (2020) and Röttger et al. (2022), who confirmed the effectiveness of fewshot learning for the task of hate speech detection in under-resourced languages. Ringel et al. (2019) harness cross-cultural differences for English formality and sarcasm detection based on German and Japanese, respectively. Litvak et al. (2022) show that, in the context of OLD, knowledge transfer is not bidirectional and efficient transfer learning holds from Arabic to Hebrew in terms of recall.

## 3 Method

## 3.1 Datasets

To explore the influence of different cultural backgrounds on Chinese OLD, the most straightforward approach is to adopt OLD datasets whose context and annotation process reflect diverse cultural backgrounds. We first select COLD (Deng et al., 2022), a Chinese benchmark dataset covering the topics of racial, gender, and regional bias as our test dataset. We then select two other datasets that will be used in different training scenarios (see § 3.2): KOLD (Jeong et al., 2022), a Korean dataset suited for OLD covering topics such as race, gender, political affiliation and religion; and HatEn, the

English subset of HatEval (Basile et al., 2019) composed of tweets which tends to capture a Western cultural background. Table 1 reports the statistics of the three datasets and the topic distributions of COLD. Notably, the three languages come from three different language families, making linguistic similarities between them less likely to be a factor in effective transfer learning between the datasets.

## 3.2 Learning settings

We explore different learning settings by utilizing intra-cultural and cross-cultural training sets during fine-tuning. For the intra-cultural setting, we only use COLD as the training set, which ensures cultural consistency in the training and testing process. In the cross-cultural setting, we further set up two ways: 1) zero-shot: only use KOLD or HatEn as the training set, which makes the fine-tuning process of LMs come from completely different cultural backgrounds; 2) mix-training few-shot: mix COLD with another language (KOLD or HatEn) as the final training set, which introduces cultural interference and makes the acquisition of the target culture more challenging. For convenience, we use  $\mathcal{D}[X]$  to represent the detector with X as training set. Since the datasets are in different languages, we apply multilingual LMs in these experiments.

**Translated data setting.** As an additional control experiment, to avoid the difference from the language itself, we also translate COLD and KOLD into English with *googletrans*<sup>2</sup> and conduct experiments with *English* PLMs under the same settings.

## 4 Experiments

**Implementation.** In our experiments, we only evaluate on COLD and try different training settings with COLD, KOLD and HatEn. In particular, because the data volume of HatEn is relatively small, we use all of its data as the training set. The actual training set of three datasets has offensive data to non-offensive data ratios of 0.98, 1.01, and 1.02 (refer to Table 1). In the crosscultural zero-shot setting, we also randomly sample 13,000 examples<sup>3</sup> from the Korean training set to ensure the consistency of the training data sizes with HatEn. For the multilingual LMs, we choose mberthease (Devlin et al., 2019), XLM-R<sub>base</sub> and XLM-R<sub>large</sub> (Conneau et al., 2020). In the translated data setting, we apply the English models

Model	Train Set	Test F1	Test ACC
	COLD	$77.90 \pm 0.25$	$80.86 \pm 0.26$
	CO+KO	$78.23 \pm 0.05^*$	$81.16 \pm 0.19$
$mBERT_{\texttt{base}}$	CO+HE	$78.19\pm0.18^*$	$81.07 \pm 0.10$
	KOLD	$49.27{\pm}4.04^{**}$	67.85±0.70**
	$KOLD^{\dagger}$	50.34±3.49**	69.47±0.71**
	HatEn	35.96±3.95**	63.54±0.54**
	COLD	78.77±0.27	81.51±0.20
	CO+KO	$78.90 \pm 0.10$	$81.78 \pm 0.15^*$
XLM-R <sub>base</sub>	CO+HE	$78.96 \pm 0.15$	$81.66 \pm 0.18$
	KOLD	58.13±1.78**	$72.14\pm0.67^{**}$
	$\mathrm{KOLD}^\dagger$	$60.86{\pm}1.44^{**}$	72.93±0.37**
	HatEn	$29.84{\pm}2.07^{**}$	63.36±0.90**
	COLD	79.09±0.24	81.87±0.16
	CO+KO	$79.76 \pm 0.19^{**}$	82.45±0.19**
XLM-R <sub>large</sub>	CO+HE	$79.43 \pm 0.22^*$	82.16±0.26**
-	KOLD	63.48±1.63**	$74.45 \pm 0.34**$
	$\mathrm{KOLD}^\dagger$	$61.71 \pm 2.37^{**}$	$74.09\pm0.80^{**}$
	HatEn	28.94±2.50**	63.76±0.40**

Table 2: Overall results on COLD test set.  $\dagger$  marks KOLD training set is the same size as HatEn. CO, KO and HE are short for COLD, KOLD and HatEn respectively. By conducting Paired Student's t-test, \* = differs significantly from intra-cultural at p < 0.05, \*\* = significant difference at p < 0.01.

BERT<sub>base</sub> (Devlin et al., 2019), Roberta<sub>base</sub> and Roberta<sub>large</sub> (Liu et al., 2019).

Our models are optimized with a learning rate of 5e-5. We fine-tune each model for 100 epochs using early-stopping with a patience of 5, and run 5 times with different random seeds for each setting.

Overall results. The experimental results on COLD test set are shown in Table 2.4 Compared to the intra-cultural setting, we find that: 1) In the cross-cultural few-shot scenario, the performance differences between  $\mathcal{D}$  [COLD] and  $\mathcal{D}[CO + KO]$ ,  $\mathcal{D}[COLD]$  and  $\mathcal{D}[CO + HE]$  are both very small (less than one point at the maximum), which implies that with sufficient knowledge of the Chinese target culture, the intervention of other cultures does not diminish the ability to detect Chinese offensive language, but has a slight contribution. 2) In the cross-cultural zeroshot scenario, the detection ability of  $\mathcal{D}$  [KOLD] and  $\mathcal{D}$  [HatEn] get worse. In particular, the former is slightly better than the latter. This implies that it is easier to detect Chinese offensive language in Korean cultural background compared to a Western cultural background.

<sup>&</sup>lt;sup>2</sup>https://pypi.org/project/googletrans/

<sup>&</sup>lt;sup>3</sup>The ratio of offensive data to non-offensive data is 0.96.

<sup>&</sup>lt;sup>4</sup>We only report the test set score, because only the test set of COLD is annotated manually, and the training and dev sets are labeled semi-automatically.

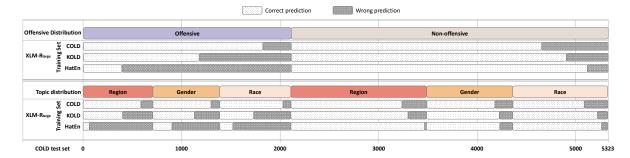


Figure 1: A fine-grained view of the distribution of offensive detection results based on XLM-R<sub>large</sub>. For reference, the colored part represent the distribution of related data in COLD test set. The model learns culture-specific biases—e.g., when training on English, it tends not to classify region-related text as offensive.

To better understand the detection ability of Chinese offensive language with different cultural backgrounds, we look closer at offensive detection results for the intra-cultural and cross-cultural zeroshot settings. Figure 1 shows the distribution of the data and the predictions from our best performing model XLM-R<sub>large</sub>. First,  $\mathcal{D}$  [COLD], which is in the same cultural background as the test set, has the best ability to detect offense.  $\mathcal{D}[HatEn]$  is the worst detector, with less than 50% accuracy for offensive data. Because of this, it can be highly accurate in non-offensive data. This is why  $\mathcal{D}$  [HatEn] gets a spurious high accuracy on the test set but a very low F1 score (Table 2). However, it is noteworthy that the HatEn-trained model requires more severe language to be labeled as offensive,<sup>5</sup> so some instances that should be classified as offensive, may not be considered hate speech and will not be classified as such. Moreover, for specific-topic offensive language detection, the performance of each detector is also different, with  $\mathcal{D}[HatEn]$  performing the worst in the regional topic.

**Translated results.** For the experiments of the translated version of the Chinese and Korean datasets into English. The experimental results are shown in Table 3, showing similar trends to the results in Table 2. This demonstrates that the results hold for cross-cultural transfer and are not simply due to linguistic similarities.

**Few-shot learning.** While the diverse cultural backgrounds of Korean and English may not enable precise detection of Chinese offensive language in a zero-shot scenario, it is not detrimental when integrated into the target culture in a few-shot scenario. Therefore, when mixing heterogeneous

Model	Train Set	Test F1	Test ACC
	COLD	77.59±0.41	80.67±0.37
	CO+KO	$77.86 \pm 0.19^*$	$80.90 \pm 0.20$
BERT <sub>base</sub>	CO+HE	$77.50\pm0.17^*$	$80.47 \pm 0.18$
	KOLD	$61.84{\pm}1.46^{**}$	71.26±0.34**
	$\mathrm{KOLD}^\dagger$	61.64±1.06**	71.21±0.27**
	HatEn	21.20±1.36**	61.53±0.21**
	COLD	77.89±0.46	81.01±0.40
	CO+KO	$78.25 \pm 0.40$	81.35±0.37*
RoBERTa <sub>base</sub>	CO+HE	$78.08 \pm 0.34$	$81.12 \pm 0.25$
	KOLD	$63.85{\pm}1.12^{**}$	73.60±0.43**
	$KOLD^{\dagger}$	63.47±0.84**	73.21±0.25**
	HatEn	$26.09{\pm}2.82^{**}$	62.81±0.36**
	COLD	78.22±0.40	81.24±0.33
	CO+KO	78.74±0.21**	81.70±0.15**
RoBERTalarge	CO+HE	$78.24 \pm 0.30^*$	81.17±0.25**
. 3.	KOLD	65.56±1.16**	73.70±0.49**
	$\mathrm{KOLD}^\dagger$	64.39±1.60**	73.71±0.37**
	HatEn	26.69±1.38**	63.20±0.44**

Table 3: The experimental results on the COLD test set, with all training and testing data translated to English.  $\dagger$  marks KOLD training set is the same size as HatEn. By conducting Paired Student's t-test, \* = differs significantly from intra-cultural at p < 0.05, \*\* = significant difference at p < 0.01.

cultural background knowledge, is it necessary to provide sufficient target cultural background knowledge? To investigate this problem, we conduct an analytical experiment under a few-shot setting by incorporating different scales of COLD data into the training set. Figure 2 displays experimental results indicating that the correlation between the ability to detect offensive language and target cultural knowledge follows a pattern similar to that of an increasing logarithmic function. This implies that offensive language detection performance improves rapidly with limited target cultural knowledge acquisition, but gradually slows down as the

<sup>&</sup>lt;sup>5</sup>This could be a reason to treat Hate Speech Detection as a separate task, contrary to our simplified view here.

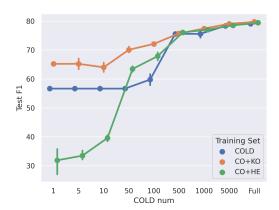


Figure 2: The experimental results (F1) in few-shot setting based on XLM-R<sub>large</sub>, evaluated on the COLD (Chinese) test set. Performance improves rapidly with training examples from the target culture. Pre-training on KOLD (Korean) provides a better starting point, while pre-training on HatEn (English) is detrimental.

amount of target knowledge increases. Specifically, when the training focuses on COLD within the range of 1 to 50,  $\mathcal{D}$  [COLD] possesses limited knowledge of the training concentration, and its detection capability stems primarily from the pretraining model itself. At this stage, HatEn has a clearly negative effect, while KOLD has a positive effect. Within the range of 50 to 500, both HatEn and KOLD have an obvious positive effect, while for COLD data scales greater than 500, the effect is still present but less pronounced. These findings offer promising opportunities for low-resource offensive language detection systems.

Case study. To provide an intuitive explanation of cultural differences, we use semantic similarity retrieval (Reimers and Gurevych, 2019) to find the most similar cases from KOLD to COLD with the similarity threshold set to 0.7. As depicted in Table 4, sentences with similar topics and semantics (e.g. racial discrimination, politics) hold different labels among languages, suggesting the presence of cultural distinctions in offensive language detection and highlighting the significant obstacles for few-shot learning. Thus, we emphasize the necessity of greater cultural adaptation models that can integrate diverse cultural knowledge.

## 5 Conclusion

Our study highlights the challenges of detecting offensive language across different cultures and languages. We show that transfer learning using data

Chinese	Korean	Labels
黑人反对歧视黑人有啥错?	흑인 대통령도 나온 미국,	0/1
What is wrong with blacks	이제 인종차별은 사라졌다?	
against discrimination	America with a black president,	
against blacks?	now racism has disappeared?	
中国哪有那么容易搞到	중국에서 범은 잡히면 뭐가	0/1
毒品?	잘릴까	
How can it be so easy	What will happen if a	
to get drugs in China.	criminal is caught in China?	

Table 4: Cases with reversed labels through semantic vector retrieval were listed, suggesting the existence of cultural differences across languages. Non-offensive and offensive cases are labeled as 0 and 1.

from diverse cultural backgrounds have different negative effects on the transferability of language models due to culture-specific biases. However, our findings also indicate promising prospects for improving offensive language detection in promoting inclusive digital spaces, particularly in a few-shot learning scenario. We call for more research on cross-cultural offensive language detection, which is important to deploy effective moderation strategies for social media platforms, improving cross-cultural communication, and reducing harmful online behavior.

## Limitations

Our study explores the impact of transfer learning on offensive language detection using data from different cultural backgrounds. However, treating HatEn as representative of "Western cultural backgroun" is too vague, as it ignores the cultural differences between American and British cultures. Moreover, "culture" is multifaceted and complex, and there is enormous diversity among speakers of the same language. To focus on language categories, we limit our analysis to a first step towards cross-cultural offensive language detection.

#### **Ethics Statement**

The datasets used in this study are publicly available, and we strictly follow the ethical implications of previous research related to the data sources. It is important to note that the content of these datasets does not represent our opinions or views.

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## A Cross-Lingual Study of Homotransphobia on Twitter

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### **Abstract**

We present a cross-lingual study of homotransphobia on Twitter, examining the prevalence and forms of homotransphobic content in tweets related to LGBT issues in seven languages. Our findings reveal that homotransphobia is a global problem that takes on distinct cultural expressions, influenced by factors such as misinformation, cultural prejudices, and religious beliefs. To aid the detection of hate speech, we also devise a taxonomy that classifies public discourse around LGBT issues. By contributing to the growing body of research on online hate speech, our study provides valuable insights for creating effective strategies to combat homotransphobia on social media.

*Warning:* this paper contains examples of offensive language.<sup>1</sup>

## 1 Introduction

Despite significant advancements in laws and societal attitudes surrounding LGBT rights around the world, homotransphobia, which refers to the hatred and discrimination towards individuals who identify as lesbian, gay, bisexual, or transgender, remains a pervasive phenomenon across diverse cultures (Pousher and Kent, 2020). The prevalence and visibility of hate speech toward LGBT individuals have escalated in the age of social media, further exacerbating the challenge of combating such discriminatory behavior. Recent surveys reveal that a substantial proportion of LGBT individuals have fallen prey to online attacks through homotransphobic messages, posing a serious threat to their well-being.<sup>2,3</sup>

The fight against online homotransphobic speech can be aided by natural language processing (NLP) techniques. Automatic hate speech detection systems, in particular, have the potential to reduce the spread of harmful language flagging such content for removal. However, the task of detecting homotransphobic speech is far from simple, given the multifaceted nature of this phenomenon. In order to accurately identify it, detection methods must take into account cross-lingual factors and recognize the subtle nuances in how this form of intolerance manifests itself in different cultures.

Despite its social relevance and harmful effects, this phenomenon has received little attention from NLP researchers compared to other types of hate speech, such as aggression (Kumar et al., 2018), misogyny (Fersini et al., 2018, 2020, 2022), and racism (Waseem and Hovy, 2016; Lee et al., 2022). One of the main challenges for developing effective homotransphobic detection models is the scarcity of annotated data in this domain (Chakravarthi et al., 2021; Carvalho et al., 2022; Nozza, 2022) and the negative bias of NLP models regarding LGBT individuals (Nozza et al., 2022).

In this paper, we conduct a cross-lingual study to investigate public discourse surrounding LGBT issues on Twitter, to identify areas where homotransphobic speech persists. To achieve this, we analyze a vast corpus of tweets in seven languages using topic modeling and sentiment analysis. These techniques have been extensively used in observational studies (Dahal et al. 2019; Xue et al. 2020; Lyu et al. 2021, inter alia). We aim to offer a nuanced understanding of the emergence of different themes of homotransphobic speech across different languages. Additionally, we propose a taxonomy for categorizing this discourse, estab-

<sup>&</sup>lt;sup>1</sup>Obfuscation was done with PrOf (Nozza and Hovy, 2022)

https://www.glaad.org/smsi

https://www.ustranssurvey.org/reports

L	TOTAL	SAMPLE	POS	NEU	NEG
DE	44,889	25,000	15%	33%	52%
EN	1,070,280	25,000	32%	32%	36%
ES	164,451	25,000	11%	27%	62%
FR	93,395	25,000	18%	11%	71%
IT	59,830	25,000	22%	28%	50%
NO	5,036	5,036	15%	30%	54%
PT	38,070	25,000	12%	18%	71%

Table 1: Overview of the data by language (L). We report the number of tweets collected (TOTAL), the number of tweets used for analysis (SAMPLE), and the proportions of positive, neutral, and negative sentiment tweets with respect to the sample.

lishing a foundation for the development of more effective homotransphobic speech detection models. We maintain the project repository at https://github.com/MilaNLProc/crosslingual-analysis-homotransphobia.

### 2 Data

We examined seven languages – German, English, French, Italian, Norwegian, Spanish, and Portuguese – and collected tweets containing LGBT keywords. These included both neutral terms (e.g., "gay") and derogatory slurs (e.g., "f\*ggot").

To ensure that our list of keywords is comprehensive and representative of the different linguistic contexts, we recruited native speakers for each language in our study. Moreover, we selected individuals who are familiar with the LGBT community and its terminology. Where possible, we included multiple native speakers per language from diverse backgrounds and regions.

Using Twitter's historical API, we retrieved around 1.5 million tweets from May to September 2022, which coincided with Pride Month celebrations that we expected to increase discussions on LGBT issues. We sampled 25,000 tweets for each language, except for Norwegian, which had fewer tweets. To ensure that our collection reflects a realistic distribution, we compared it with an estimate of the total number of tweets posted for each language in a week during the same period. The number of tweets for each language is summarized in Table 1. For more information on our keyword selection, preprocessing and methodology for estimating the number of tweets per week, refer to Appendix A.

## 3 Methodology

We extracted 10 topics for each language, using Contextualized Topic Modeling (CTM) (Bianchi et al., 2021). We then developed a taxonomy to characterize LGBT public discourse, consisting of five broad categories and several subcategories, described in Table 2. We used this to label topics with a unified framework. Two in-house annotators labeled each topic based on the top words and a sample of 100 tweets for each topic, translated in English using an automatic translation software <sup>4</sup>. The annotators resolved discrepancies through discussion.

To devise this taxonomy we employed a multiround process of annotation. First, we conducted a review of relevant literature from social science studies to identify common themes (Bianchi 2014; Slaatten et al. 2015; la Roi and Mandemakers 2018; Johannessen 2021; Hartmann-Tews et al. 2021; Biancalani et al. 2022, inter alia). Next, we collected personal accounts from LGBT individuals, with a particular focus on their perception of LGBT public discourse. Based on these findings, we created an initial draft of the taxonomy that grouped the themes into categories. To ensure that the framework was as accurate as possible, the annotators used it to devise initial labels for the topics emerged from CTM. In cases where inconsistencies were found, we refined the taxonomy further, breaking down each category into subcategories. Tweets that were discovered to touch on subjects unrelated to LGBT issues were grouped into a distinct category named "Other / Irrelevant". For instance, tweets that were selected using a keyword with multiple meanings, some of which were not related to the LGBT community, were placed in this category.

We then used a pre-trained multilingual sentiment analysis classifier (Barbieri et al., 2022) to analyze the attitudes expressed in the tweets. Here, we employ sentiment as a soft proxy for homotransphobia, because no multilingual detection models have been developed to date and cross-lingual hate speech detection methods does not transfer across different targets and languages (Nozza, 2021). It is important to note that the sentiment of a tweet is not a perfect measure for identifying hate speech, since it can potentially capture other phenomena, overlook some forms of hate speech, and misinterpret benign language as hateful due to contextual nuances and subtleties of natural language. However,

<sup>4</sup>https://www.deepl.com/translator

CATEGORY	SUBCATEGORY	TOPICS	EXAMPLE	
	Gender roles and sexual identity	Societal expectations on gender / sex	Trans women are not women	
Gender and Sexuality	Language and terminology	Meaning of LGBT words	You can't say f*ggot	
	Pornography	Pornographic content	Click to see this s*ssy	
Prejudice	Cultural stereotypes	Homotransphobic beliefs	Gays will burn in hell	
	Slurs and stigmatization	Insults using anti-LGBT words	You're such a f*ggot	
Sociopolitical influences	Politics and policy	LGBT rights	F*ck the Equality Act	
	Events and organizations	Promoting LGBT visibility	Can't wait for Pride!	
	Legal issues	Legal challenges / advocacy efforts	Sign this petition for gay rights	
Cultural representation	Representation in media	LGBT portrayal in media	The main character is gay	
Cartarar representation	Anti-LGBT language in sports	Homotransphobic slurs in sports	Your team plays like f*ggots	
Other / Irrelevant		Topics irrelevant to LGBT issues	I smoked a f*g yesterday	

Table 2: A taxonomy to categorize public discourse on LGBT issues, organized into five categories, and several subcategories. **TOPICS** indicates the content of the discussions belonging to each category, along with an example.

SUBCATEGORY	DE	EN	ES	FR	IT	NO	PT
Gender roles and sexual identity	18	_	13	_	7	13	8
Language and terminology		12	4	17	10	26	13
Pornography	13	35	_	14	_	_	
Cultural stereotypes	_	-	_	9	8	_	13
Slurs and stigmatization	13	18	21	_	16	_	20
Politics and policy	6	12	6	22	_	34	17
Events and organizations	-	-	_	_	39	19	_
Legal issues	21	_	24	_	-	8	13
Representation in media	_	_	26	_	9	-	
Anti-LGBT language in sports	_	_	_	5	11	_	15
Other / Irrelevant	_	23	6	31	-	_	_

Table 3: Proportion (%) of tweets by subcategory and language, and corresponding sentiment. Values in the cells represent the percentage of tweets that fall into a particular subcategory (row) for a given language (column). When a category has no tweets, we denote this by —. The color coding indicates the primary sentiment of the tweets: red for negative, yellow for neutral, green for positive. The intensity corresponds to the proportion of tweets in that sentiment.

we still opted to utilize it as it can offer valuable insight into the distribution and frequency of hate speech, and provide a starting point for further investigation. The sentiment distribution for each language can be found in Table 1.

## 4 Results

In this section we describe the main findings by category, which are summarised in Table 3.

## 4.1 Gender and sexuality

Gender and sexuality are topics that vary widely across languages.

**Gender roles and sexual identity** Transgender issues are a common theme in German, Norwegian, and Spanish, as indicated by words such as

"women" and "trans". However, these languages differ in the perspectives expressed. German and Norwegian focus on transgender women's experiences, while Spanish shows dismissiveness toward transgender identity, painting it as a way for men to avoid responsibility for sexual violence against women, leading to a more negative sentiment (66%) compared to German (57%) and Norwegian (51%).

German and Norwegian tweets also examine the social construction of gender roles with words like "men", "gender", "manliness". They also explore the intersectionality between LGBT and disabled communities with words like "disabled" and "diversity". Moreover, they discuss self-identification versus external labeling with words like "queer", "lesbian", "love". Spanish tweets touch on similar topics but less frequently, with fewer related words.

Language and terminology Transgender-related terminology is widely discussed on Norwegian Twitter. Most tweets (65%) express neutral or positive sentiments, and contain respectful and productive engagement with debates surrounding the appropriateness of trans-related words, such as "transexual" versus "transgender". German and French Twitter discussions focus on broader LGBT terminology. German tweets often debate how to refer to LGBT individuals, including reclaiming terms like "f\*g" or "gay". Despite a high negative sentiment (67%), this may reflect the discussed words rather than negative attitudes. French tweets frequently use irony and provocation when discussing LGBT language and definitions, along with slurs and offensive language. Consequently, 80% of these tweets have a negative sentiment.

**Pornography** Pornography is prevalent in English, German, and French but not in other languages. These tweets typically include descriptions, links to content, and hashtags with explicit language. The English language global dominance may account for its high volume of pornographic tweets. Sentiment analysis shows that most English and German tweets are neutral or positive (over 80% and 70%, respectively), while French ones are less so (51%). This may not be accurate due to the sentiment analysis model not being well trained for pornographic tweets.

## 4.2 Prejudice

Prejudice and discrimination topics appear in all languages except Norwegian.

Cultural stereotypes Cultural stereotypes elicit negative sentiment in Portuguese, French, and Italian. Portuguese tweets mainly criticize the church's homophobia, with a highly negative sentiment. French and Italian tweets are classified as less negative, but they express more homophobic views, linking homosexuality to monkeypox, and opposing homosexual families.

**Slurs and discrimination** Homotransphobic slurs pervade tweets in all languages, except Norwegian. LGBT and non-LGBT individuals are equally targeted. Sex-related slurs are more prominent in English and German tweets, sometimes reclaimed by German LGBT people. English tweets also contain more pornography and less negativity (43%) than other languages (65-80%).

## 4.3 Sociopolitical influences

All languages contain tweets about social and political influence, especially Norwegian.

Politics and policy Politics and policy appears in all languages but Italian. French and Portuguese use homophobic slurs to attack right-wing politicians, with negative sentiment (87% and 74%). German tweets mock the idea that vaccines can lead a person to become gay, showing an interesting link to misinformation campaigns. English and Norwegian discuss legal rights for LGBT people, with neutral sentiment. Spanish tweets debate abortion rights and the deviance stigma of being gay.

**Events and organizations** Italian and Norwegian tweets mention LGBT events, mostly Italian (39%). This subcategory has mixed sentiment. In Italian, positive tweets use inclusive gender-neutral

language, while negative ones lament the users' inability to join Pride parades for various reasons. Both Italian and Norwegian worry about LGBT safety after the Oslo shooting against Pride, pointing out that younger LGBT people are especially vulnerable. The dominant sentiment is negative, but mild (36% for both languages).

**Legal Issues** This category appears in German, Norwegian, Portuguese, and Spanish. All languages demand legal protection for LGBT people, especially for economic and healthcare matters, due to the high risk of violence and death for people who come out. Spanish tweets also talk about families with same-sex parents. Portuguese tweets show homotransphobic content and negative views on LGBT healthcare (61% negative sentiment).

## 4.4 Cultural representation

This category appears only in French, Italian, Portuguese, and Spanish.

Representation in media The tweets about LGBT representation in the media mainly feature in Italian and Spanish, and mostly focus on gay actors, characters and authors, often discussing their coming out. Although users are supportive of gay celebrities, they express negative sentiment (57% and 53% for Italian and Spanish respectively) due to the discrimination they faced.

Anti-LGBT language in sports The sentiment of discussions about sports is mostly negative (69% for French, 63% for Portuguese, and 48% for Italian). Homotransphobic slurs are frequently used to insult soccer and rugby players who perform poorly: this reflects the cultural association of masculinity with physical strength and athletic ability in these cultural contexts.

## 5 Discussion

Through our research, we have gained insight into the widespread use of homotransphobic language in all the languages we examined: despite hate speech detection systems are implemented, our findings suggest that there remains a significant amount of homotransphobic language. This highlights the pervasive nature of this issue and underscores the need for more targeted efforts to combat this phenomenon.

We found significant differences across languages. For instance, we found that in Norwegian, the derogatory term "f\*ggot" ("bøg"), appeared in

only eight tweets across the entire dataset. This stands in stark contrast to the other languages we studied, where derogatory terms were more prevalent. It is clear that addressing this issue requires approaches that account for these cultural differences. Our findings have shed light on the higher incidence of homotransphobic language in religious and conservative cultural contexts, specifically in French and Italian tweets. We observed a link of this trend to misinformation, particularly to health issues such as monkeypox and vaccines. In addition, we observed the effects of politics on homotransphobic language: countries with less comprehensive LGBT-safety legislation had higher rates of such language use, underscoring the importance of effective frameworks to protect LGBT rights.

Interestingly, we found that derogatory language tends to be directed more frequently toward transgender rather than homosexual individuals in some of the languages, such as Spanish. This highlights the need for interventions that specifically address this issue, rather than using a broad approach.

### 6 Conclusion

We conducted a cross-lingual analysis of seven languages, examining how public discourse on Twitter frames LGBT individuals and issues. Our findings indicate that homotransphobic language continues to be prevalent despite the implementation of automatic hate speech detection models. Additionally we contributed a taxonomy for categorizing homotransphobic discourse, which can serve as a valuable tool to create datasets, as well as defining LGBT-related topics for analysis. By shedding light on the ways in which different cultures and languages frame LGBT issues, we hope that our study will contribute to ongoing efforts to promote acceptance and equality for all individuals.

## **Ethics statement**

Similarly to Kennedy et al. (2022), we recognize that our analysis involved the examination of data containing a significant amount of hateful speech, which can be emotionally taxing and distressing for annotators. To address this concern, we provided our annotators with comprehensive information about the task's nature and the language and content they would encounter.

Furthermore, we took measures to ensure that the data we utilized for our analysis was gathered and utilized ethically and responsibly. We de-identified the data by eliminating tweet ids, user ids, and location data, utilizing only the raw text to guarantee that no personal data was accumulated or employed in any manner.

### Limitations

We acknowledge that there exist numerous languages that may present distinctive challenges and characteristics regarding homotransphobia, beyond those examined in this paper. Our decision on which languages to include was based on various factors, including the accessibility of native speaker annotators, the global prevalence of each language, and the cultural and linguistic diversity they represent. Our dataset encompasses languages spoken worldwide, such as English, Spanish, Portuguese, and French, as well as more geographically specific languages, such as German, Italian, and Norwegian.

Our cross-linguistic comparison proved challenging due to the varying ratios of terms used in each language. For instance, we found that compared to other languages, Italian does not contain slurs directly targeting lesbian individuals. Moreover, it presents more slurs with sexual connotation towards homosexual men. It is also important to note that personal experiences and exposure to certain types of language may influence the selection of keywords by native speakers, potentially skewing the distribution for some languages and introducing a strong sampling bias. To partially address this limitation we recruited, where possible, multiple native speakers per language, from diverse backgrounds.

Moreover, it should be noted that this study may not have fully captured the rich diversity of each language due to the possible exclusion of regional or dialectal differences that were not incorporated into the dataset. To partially address this limitation, we requested native speaker annotators to provide keywords that encompassed culturally-specific meanings that may not have direct translations in other languages. Nevertheless, obtaining a more comprehensive coverage of dialectal phrases for each language would have necessitated a larger number of annotators.

This is particularly apparent in the case of languages such as Spanish and Portuguese, which are official languages in both Southern Europe and

<sup>5</sup>https://www.gay.it/parole-insulto-les biche

Latin America. For instance, a word that is deemed to be homotransphobic in a Latin American country may not be considered offensive in Europe. To adequately address these variations in meaning and usage, a more nuanced approach would be necessary, which would entail dividing tweets by geographic location. While this avenue of research presents exciting possibilities for future studies, it would also entail additional challenges, such as the need for a larger and more diverse set of annotators to cover the different regions and dialects.

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## A Data

## A.1 Keywords

Warning: Because obfuscated words are often not discernible, especially for non-native speakers (Nozza and Hovy, 2022), here we reported the keywords in their unobfuscated form. This section contains keywords readers may find upsetting and offensive.

German schwul (queer), schwuler (queer), lesbe / lesbo (lesbian), schwuchtel (faggot), schwanz-lutscher (cocksucker), transe (tranny), tunte (faggot), schwuppe (queer), homo (homosexual), standgebläse (short cocksucker), tucke (faggot), schwulette (faggot), butch (butch lesbian), lesbich (lesbian), gay (gay), schranklesbe (closeted lesbian).

**English** sissy, fag, faggot, poof, cocksucker, homosexual, lesbo / lesbian, genderbender, dyke, transvestite, sodomite, gay, cuntboy, ladyboy, tranny / trannie, genderfuck, fudgepacker.

**Spanish** homosexual (*homosexual*), maricón / marica (*fag*), amanerado / a (effeminate), lesbiana (*lesbian*), trolo (*fag*), guey / guei / gay (*gay*), desviado (*deviate*), sodomita (*sodomite*), marimacho / marimacha (*butch lesbian*), sarasa (*fag*), travelo (*transy*), joto (*faggot*), travestido (*transvestite*), soplanucas (*fudgepacker*), muerdealmohadas (*assfucked*), safista (*lesbian*).

French enculé (assfucked), homosexuel(le) (homosexual), transgenres (transgender), fiotte (faggot), tapette (fag/fly swatter), lopette (sissy), folle (crazy woman, or gay queen, in slang), pédale (faggot), balasko (butch lesbian), tarlouze (poof), tafiole (faggot), pédé(raste) / PD (homosexual male), fif (effeminate gay), gouine (dyke), tantouse (faggot), lesbienne (lesbian).

**Italian** gay (gay), pride (pride), lesbica (lesbian), frocio (queer), finocchio (faggot), ricchione (faggot), checca (effeminate gay), succhiacazzi (cocksucker), culattone (fudgepacker), rottinculo (assfucked), piglianculo (assfucked), effeminato (effeminate), bocchinaro (cocksucker), pompinaro (cocksucker), travione (tranny).

**Portuguese** homossexual (*homosexual*), viado (*faggot*), bicha (*faggot*), maricas (*faggot*), transexual (*transexual*), fufa (*dyke*), panasca (*faggot*), larilas (*faggot*), panilas (*faggot*), panaleiro (*faggot*).

**Norwegian** skeiv (queer), transkvinne (trans woman), transperson (trans person), homse (homo), transkjønnet (transgender), bifil (bisexual), transmann (trans man), soper (faggot), dyke (dyke), transe (tranny), lesbe (lesbian), bøg (faggot), homo (homo), kuksuger (cocksucker), rompis (fudgepacker), skinkerytter (fudgepacker), gay (gay).

L	COLLECTED	<b>ESTIMATED</b>
DE	44,889	314,082
EN	1,070,280	31,886,162
ES	164,451	2,003,997
FR	93,395	1,103,618
IT	59,830	1,021,508
NO	5,036	14,777
PT	38,070	2,343,635

Table 4: Estimate of number of tweets posted in the week 06/01-07/2022 by language (L), along with the number of tweets we collected containing the LGBT keywords.

## A.2 Collection and processing

We cleaned our data by removing stopwords. We used the stopword lists available at https://github.com/stopwords-iso/stopwords-iso. Additionally we removed duplicates, mentions, hashtags, and URLs. To speed up the analysis, we randomly sampled 25,000 tweets from each language, except for Norwegian, which had fewer tweets. We checked that our samples were similar to the original data by comparing the frequency of each keyword in both datasets.

To investigate why there were fewer Norwegian tweets, we sought to determine whether this was due to a lower overall volume of tweets from Norwegian users. To do this, we selected commonly used words in each language (specifically, "I", "you", "say", and "think") and we tallied the number of tweets containing these words in the week of 06/01-07/2022 using the Postman API Network<sup>6</sup>, as a proxy for each language's tweet volume. Our analysis revealed that the average number of weekly tweets in Norwegian was considerably lower than that of the other languages. Therefore, the lower number of gathered Norwegian tweets was not due to a lack of Norwegian individuals tweeting about LGBT issues, but rather a general trend of lower tweet volume in the language. We present our language-specific tweet counts in Table 4.

## A.3 Data Statement

We follow Bender and Friedman (2018) and provide a Data Statement for the collection of tweets we used in our study.

<sup>6</sup>https://www.postman.com/

Curation rationale The goal of our project was to collect a large and multilingual collection of tweets relevant to LGBT issues, and characterize the differences in public discourse around these topics in the different linguistic contexts. For this purpose, we employed a team of native-speakers to devise a list of keywords that could be used to search posts with Twitter's historical API. Our data points consist of tweet IDs and the raw text of the tweet. We do not provide labels that accompany the text. Due to the nature of the research, a large proportion of the data we collected contains hurtful and/or explicit messages.

**Language variety** Our data covers seven languages: German, English, French, Italian, Norwegian, Spanish, and Portuguese.

Annotator demographics The keyword selection has been done by a group of ten native speakers belonging to the 25-35 age group, all with experience in computational linguistics and familiar with LGBT issues. The taxonomy has been developed by two annotators in the 25-35 age group, in a multi-round process involving also the labeling of topics. Both annotators are experienced in computational linguistics and LGBT issues. Because the two annotators are not native speakers of all the languages involved in the project, their annotation has been aided with an automatic translation software.

**Speech situation** All data was obtained using the Twitter's historical API and consists of tweets that appeared on the platform between 05/01/2022 and 09/01/2022.

## **B** Experimental setup

### **B.1** Methodology

**Topic Modeling** Within CTM, we used a distilled multilingual Universal Sentence Encoder (Yang et al., 2020) from the sentence-transformers library (Reimers and Gurevych, 2019) to encode sentences into vectors. We trained the model for 10 epochs and tested it with 5, 10, 15, and 20 topics. We used the NPMI score (Lau et al., 2014) to assess the coherence of the topics. We found that 10 topics were optimal for most languages (see Figure 1).

**Sentiment Analysis** We classified each tweet as negative, neutral, or positive using a pretrained sentiment analysis model (Barbieri et al., 2022). The model is fine-tuned on tweets and can interpret emotions across different languages. While it

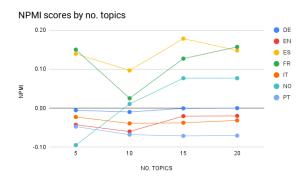


Figure 1: NPMI scores by number of topics for each language (lower is better). We can observe that the score is lowest for 10 topics for all languages, with the exception of Norwegian.

is not fine-tuned on every languages, the authors demonstrate that the model has good generalization capabilities to unseen languages.

Because Norwegian is not among the training languages, we further investigate to convalidate the results of XLM-T (Barbieri et al., 2022) for sentiment analysis in Norwegian. We compared the sentiment scores on automatic English translations of Norwegian tweets to the scores on the original text. The results were similar, indicating reliable results for all languages. We illustrate them in Figure 2.

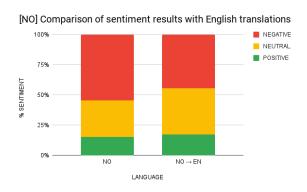


Figure 2: Comparison of sentiment analysis results on original Norwegian tweets (NO) versus automatic English translations of the tweets (NO  $\rightarrow$  EN).

## Strengthening Relationships Between Indigenous Communities, Documentary Linguists, and Computational Linguists in the Era of NLP-Assisted Language Revitalization

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#### **Abstract**

As the global crisis of language endangerment deepens, Indigenous communities have continued to seek new means of preserving, promoting and passing on their languages to future generations. For many communities, modern language technology holds the promise of accelerating that process. However, the cultural and disciplinary divides between documentary linguists, computational linguists and Indigenous communities have posed an on-going challenge for the development and deployment of NLP applications that can support the documentation and revitalization of Indigenous languages. In this paper, we discuss the main barriers to collaboration that these groups have encountered, as well as some notable initiatives in recent years to bring the groups closer together. We follow this with specific recommendations to build upon those efforts, calling for increased opportunities for awareness-building and skills-training in computational linguistics, tailored to the specific needs of both documentary linguists and Indigenous community members. We see this as an essential step as we move forward into an era of NLP-assisted language revitalization.

#### 1 Introduction

The creation of NLP applications for Indigenous languages<sup>1</sup> has been an area of increasing interest (Arikpo and Dickson, 2018; Cadotte et al., 2022; Ortiz-Rogriguez 2022; Mohanty et al., 2023), even as the development of such tools lags behind those for majority languages (Littell et. al. 2018). Many have recognized (Liu et al., 2022; Schwartz, 2022) that one of the key challenges is that developing such applications for Indigenous languages

requires the close collaboration of three disparate groups – computational linguists, documentary linguists, and members of Indigenous language communities

In his paper on decolonising language work, Bird (2020) describes the steps which he believes are necessary in deepening engagement with language communities, decrying the 'moralistic tropes', the 'nostalgia and sentimentalism', and calling out the 'professional narrowness of the focus on linguistic structures'; all of these contribute to the divide between Indigenous language communities and linguists of all stripes.

Nonetheless, the value of this relationship is widely recognized, as noted by Liu et al. (2022): "In the development of language technology, providing the speech communities a central role in the design and implementation of language tools may improve the likelihood of the tools' success."

This paper will discuss the challenges that these three groups face, certain steps that have already been taken to address the issue, and further recommendations that we have to improve the situation.

Section 2 will give an overview of what we perceive to be the main challenges to effective collaboration between these three groups. Section 3 will highlight some of the responses that the academic community has already taken to address these issues. Section 4 discusses the successes and limitations of those responses, and provides suggestions to resolve those issues and overcome future challenges. Section 5 provides a conclusion.

## 2 Articulating the Challenges

The overall challenges to collaboration among the three groups can perhaps best be understood by examining the challenges present in the relationships between each pair of groups.

<sup>&</sup>lt;sup>1</sup>We have decided against providing a definition for "Indigenous" as no official definition has been agreed upon by any UN-system body; according to the UN the most fruitful approach is to identify, rather than define indigenous peoples. This is based on the fundamental criterion of self-identification as underlined in a number of human rights documents.

## 2.1 Documentary Linguists and Indigenous Communities

The key challenge that these two groups have faced over the years stems from the different motivations they have had for engaging in the work language documentation.

For the majority of the history of linguistics involving Indigenous communities, documentary practices have centered academic concerns (Czaykowska-Higgins, 2009). This history did little to engender trust between language communities and documentary linguists, and stories of communities feeling exploited by extractive research practices are all too common. In recent decades, however, there has been a significant shift in practice towards more community-based approaches, placing the needs and interests of the Indigenous community closer to the forefront.

Documenting any language is a lengthy and complex process. This work requires the development and maintenance of long-term relationships between the linguists and their language consultants, and in the context of Indigenous language work, it is also necessary to develop and maintain that relationship with the Indigenous community more broadly. Not only is it important to understand that the process is not swift, but the speakers most often worked with are Elders, meaning that time is of the essence. (Siefart et al., 2018; Fitzgerald, 2021; Khawaja, 2021).

Negotiating between the needs of the researcher (e.g. meeting grant deadlines, getting publications, finding and keeping a steady academic position) and the needs of the community (e.g. documenting traditional knowledge, developing pedagogical materials, creating new speakers) can be an ongoing source of tension (Leonard, 2018; Paksi and Kivinen, 2021). Building relationships and maintaining them are of paramount importance to the ongoing work of documentary linguists; these are exemplified by the 5 R's of Research in Indigenous Research Contexts: respect, reciprocity, relevance, responsibility, and relationship (Restoule, 2008; Tessaro et al., 2018).

# 2.2 Documentary Linguists and Computational Linguists

While documentary linguists and computational linguists both come from and typically operate within an academic context, those similarities have not guaranteed successful working relationships.

To begin with, documentary linguists and computational linguists typically have little direct experience in each other's areas of specialization. Coursework in computational linguistics is rarely required (or even available) to students training to be documentary linguists, and vice-versa, and there are few if any linguistics departments that can be said to traditionally have strong programs in both areas.

This means that not only do that these linguistsin-training miss out on the opportunity to learn even the basic concepts of each other's fields, they also miss out on the opportunity to build connections with others who may go on to specialize in those areas. This has the effect of siloing these two groups off from one another even from their earliest stages of training.

Even when documentary and computational linguists do manage to come together to discuss possible collaborations, there are several ways in which Indigenous language can seem like a "poor fit" for traditional approaches to NLP development.

First, even relatively well-documented Indigenous languages lack the large-scale corpora that much of modern NLP development relies upon. The creation of such corpora is simply not feasible in situations where there are small numbers of speakers, and often just a single linguist working on the language. This places constraints on the computational methods that are available for use with these languages, and may also limit the types of applications that can be developed.

Second, NLP development often assumes the existence of a standardized version of the language in question, including both a standardized orthography, as well as a standardized and thoroughly documented set of grammatical rules. This is lacking for nearly all Indigenous languages, which often show significant dialectal and communalectal variation at all levels of the grammar. In many cases, speakers and communities place a high value on their specific, local ways of speaking, subverting the prevailing ideology of language standardization. Traditional NLP methods do not always handle such variation easily, and it may be seen as an unnecessary burden to need to account for it. For a more fulsome discussion of the usual needs of NLP for under-resourced languages, see Besacier et al. (2014).

Third, Indigenous languages are often typologically quite distinct from languages with existing NLP applications. Phenomena such as noun in-

corporation, complex agreement systems, and nonconfigurationality can present significant (though quite interesting) computational challenges (Sag et al., 2002; though for a counter to this, see Van Gysel et al., 2021). While many computational linguists have been eager to tackle such challenges, their presence means that using "out-of-the-box" computational approaches developed for majority languages is often not effective.

These factors, among others, may make some computational linguists hesitant to engage with documentary linguists on projects for Indigenous languages. The production of NLP applications for these languages will likely be slower, more complex and more labor-intensive than for majority languages. As a result, projects such as these run counter to the typical incentive structures found in academia, making it riskier for early-career computational linguists to devote their time and expertise to projects when there is no guarantee of tangible short-term results that can be reported on in journals and conference proceedings.

# 2.3 Indigenous Communities and Computational Linguists

While documentary linguists have the opportunity (and obligation) to spend significant time in the language community they are working with, computational linguists typically do not. Although this often makes sense from an efficiency perspective – the computational linguist's time is better spent developing the applications rather than traveling to the community to engage with speakers and learners – the lack of personal connections between the computational linguists and the language communities can make it more difficult for the computational linguists to be aware of, or to fully understand, the needs of those communities, and the challenges they face.

By the same token, even community members who work closely with documentary linguists may be completely unaware that computational linguists exist, let alone what type of work they do or how that work may be of benefit to the community's efforts at revitalization.

As such, it often falls to the documentary linguist to bridge this gap between the other two groups. They frequently work to make the computational linguists more aware of the priorities of the community, while at the same time trying to make the community more aware of the potential benefits of

various NLP applications. They do this work not because their training in language documentation makes them particularly well-suited for the task, but because they are the ones who are in actual direct contact with the other two groups.

One key area where lack of familiarity with each other has been known to lead to conflict is around data sovereignty. Issues of data access, use, ownership and monetization are of great importance to Indigenous communities, who have suffered from the misappropriation and exploitation of their languages and cultures. The work of organizations such as the First Nations Information Governance Centre (https://fnigc.ca/) highlights both the importance and the complexity of these issues, including the need to develop culturally-appropriate and community-specific approaches to data sovereignty.

Computational linguists are typically unfamiliar with such concerns (for many of the reasons discussed above), and may feel that they represent further barriers to the timely production of the tools they are working to develop.

## 2.4 Summary

As we have seen, there are complex and often long-standing challenges to effective collaboration present in the relationships between any two of the three groups under discussion. When we seek to bring all three groups together to support the continued vitality of Indigenous languages, these challenges can be compounded, taking a task that was already difficult and making it appear daunting.

## 3 Academic Responses

Being aware of both these complexities as well as the urgency to overcome them, the academic community has taken a variety of concrete steps to begin addressing this challenge over the last several years. Several important initiatives can be highlighted here.

ComputEL began in 2014 as a two-day workshop that was part of the 52nd annual meeting of the Association for Computational Linguistics. It was billed as "The use of computational methods in the study of endangered languages". ComputEL-2 took place in 2017, this time as a two-day event colocated with the International Conference on Language Documentation and Conservation (ICLDC) (http://ling.lll.hawaii.edu/sites/icldc/) at the University of Hawaii, one of the largest and most presti-

gious conferences in its field.

The ComputEL workshops focus on "the use of computational methods in the study, support, and revitalization of endangered languages. The primary aim of the workshop is to continue narrowing the gap between computational linguists interested in working on methods for endangered languages, field linguists working on documenting these languages, and the language communities who are striving to maintain their languages." (https://altlab.ualberta.ca/computel-2/)

Subsequent gatherings have continued over the past six years, developing into a largely annual event co-located with either ICLDC or an ACL conference: 2019 ComputEL-3 @ ICLDC; 2021 ComputEL-4 online (w/ ICLDC); 2022 ComputEL-5 in Dublin @ ACL; 2023 Comput-EL-6 online (w/ ICLDC).

The development of the one-time workshop into an annual conference speaks to the recognition of the importance and timeliness of the work in this area.

Building on the development of ComputEL, the ACL Special Interest Group in Endangered Languages (SIGEL) was founded in 2019. The purpose of that group is to "foster computationally grounded research in all useful aspects in documenting, processing, revitalizing and supporting endangered languages, as well as minority, Indigenous and low-resource languages."

SIGEL has just over 150 members currently (March 2023) and has taken over the responsibility for organizing the ComputEL conferences. SIGEL has begun to organize an online speaker series focused on sharing best practices in this area. The first event was held in October 2021 with the theme of Automatic Speech Recognition in Native American Languages.

Relatedly, a separate ELRA/ISCA SIG, the Special Interest Group in Under-resourced Languages (SIGUL) was founded in 2017, and had its first meeting co-located with INTERSPEECH that same year. SIGUL positions its gatherings as "a forum for the presentation and discussion of cutting-edge research in text and speech processing for under-resourced languages by academic and industry researchers." (https://sigul-2022.ilc.cnr.it/)

"Under-resourced" is a very broad category when it comes to text and speech processing, but it certainly includes all Indigenous and/or endangered languages, in addition to others.

SIGUL further mentions: "It is also very important that these occasions leave space for communities and representatives of under-resourced and endangered languages, in order to ensure that the research and development of technological solutions are in line with the needs and demands of those communities, with a view to open and inclusive research with strong social impact."

The creation of these groups – as well as others such as Americas NLP (https://turing.iimas.unam.mx/americasnlp/) – the continuation of these conferences, and the publications that result from them, show clearly that much important work is being done in this area. However, these gatherings have so far struggled to attract a balanced mix of their target demographics – computational linguists, documentary linguists, and, most importantly, community members working to revitalize their languages.

While all of the organizers recognize the importance of "leaving space" for community voices in such gatherings, their very nature as academic gatherings (typically co-located with other, larger academic gatherings), with abstract deadlines, scientific committees and published proceedings, make it challenging to meaningfully include such voices. This is perhaps unsurprising, as we are still in the early days of organizing gatherings of this type. Much can likely be learned from the history of ICLDC and other gatherings such as CoLang (https://www.colanginstitute.org/), both of which have evolved over the past decade to be more inclusive of community voices in their presentations and courses, and have placed community needs closer to the centre of their remit.

While each of these organizations seeks to foster collaboration quite broadly across the three groups, there has been some notable success at the level of individual projects, such as those described in Kuhn et al. (2020). It is noteworthy that this effort, specifically, was quite amply funded, had the backing of the National Research Council of Canada, and was able to enlist experts from all three groups. This shows that given enough time, funding, and expertise, significant progress can be made in developing language technology for Indigenous languages, and as such it makes a strong "business case" for increased support to projects of this type. Clearly, though, this model of mass collaboration is not so easily extended to other contexts, especially in countries lacking a robust and well-funded

research infrastructure. As such, the challenge of developing more flexible and sustainable models of collaboration in this area remains.

### 4 Recommendations

Building on the good work that has already been done to bridge the divide that exists between the three groups, we can provide several specific recommendations to further strengthen these relationships.

## 4.1 Documentary Linguists and Indigenous Communities

The issues of trust and access have been an ongoing theme in the literature on endangered language documentation (Burnette and Sanders, 2014; Meissner, 2018), and a variety of best practices have been developed to promote successful collaborations between documentary linguists and communities (Penfield et al., 2008; Thieberger, 2012; Austin, 2014; Austin and Sallabank, 2018). As such, we will focus our recommendations on the pairings involving computational linguists.

# **4.2** Documentary Linguists and Computational Linguists

The disciplinary divide between these two groups is as wide as perhaps any other within linguistics, broadly conceived. As we seek to move forward into an era of NLP-assisted language documentation and revitalization, it has become necessary for those who are working as, or training to become, documentary linguists to develop greater familiarity with computational linguistics.

While this remains difficult to achieve within one's graduate training, as noted above, gatherings such as ComputEL and the annual SIGUL meetings, as well as their respective proceedings, can be quite helpful, providing a forum for connecting with and learning from computational linguists who are already engaged in work with other endangered and/or under-resourced languages, and who are thus familiar with at least some of the concerns that are front of mind for documentary linguists and Indigenous communities.

However, it must be pointed out that the learning curve for documentary linguists moving into the realm of computational linguistics can be quite steep, especially when they have had no coursework in the area. Many (though by no means all) of the articles in those proceedings are not easily

understood by those who are in the early stages of trying to learn how computational linguistics may be helpful to their work in documentation and revitalization. (We choose not to cite any specific papers here, not wishing to unduly single out any particular contributions.)

This type of impenetrability to outsiders, of course, is in no way unique to the literature on computational linguistics, but is rather a systematic and deeply-ingrained cultural practice within academia more broadly. In this particular instance, however, it does represent a missed opportunity to make the work of computational linguists more legible to documentary linguists (and, thereby, hopefully, Indigenous community members as well), especially when that is clearly in line with the stated goals of the groups organizing the conferences and publishing the proceedings.

One can imagine ways to make this research more easily interpretable. For instance, it might be possible to have an editorial committee composed of documentary linguists who can review submissions and highlight areas that need further exposition for non-specialists. These could then be addressed by edits to the paper made by the authors themselves, or perhaps by the inclusion of expository endnotes provided by the editors. From this, a set of authorial best practices for writing within this particular subfield may develop, helping to maximize the value of the research for its intended audiences.

There are clear logistical challenges to implementing such a system, aside from the extra workload it would impose on already overstretched academics. For instance, to make a complex 8 page article more understandable to non-specialists, it may be necessary to lengthen it to 10 or 12 pages, at which point it may exceed the page limits set by the conference organizers or publishers. Likewise, extra steps in editing will require a longer timeline to get from submission to publication.

In the end, it is a matter of the priorities of the conference organizers, the scientific committees and the proceedings' editors as to how they see their work best contributing to narrowing the gap between their target demographics.

More immediately helpful may be opportunities for documentary linguists to receive direct, handson training in the basics of computational linguistics and NLP development. This training should have three tangible benefits: First, it should help documentary linguists to understand the benefits that computational approaches may hold for them in their own work, e.g. addressing the transcription bottleneck through the development of ASR applications (Amith et al., 2021), as well as the potential limitations of such approaches (Prud'hommeaux, 2021).

Second, they should develop greater familiarity with how pedagogically-oriented language technology (e.g. Spaced-Repetition vocabulary learning systems, automated quizzes, I-CALL (Intelligent Computer-Assisted Language Learning) applications) are developed (Zhang et al., 2022), and may be incorporated in revitalization efforts (Lewis 2023).

Third, this training should allow the documentary linguists to prioritize the areas of NLP they wish to learn about, and which areas they wish to leave for collaborations with computational linguists with a specialization in that area.

While some training opportunities in this area exist - such as some of the courses at ESSLII (European Summer School in Logic, Language and Information) or at the Linguistic Society of America's Summer Institutes - they are not normally targeted specifically to documentary linguists, and do not take into account their particular needs. This type of customized training is an area where some of these newer organizations such as SIGEL and SIGUL could take the lead, building on their existing networks in order to facilitate collaboration between linguists of different stripes. Indeed, initial planning is now underway for a series of SIGELsponsored online training workshops in various aspects of NLP aimed specifically at documentary linguists, providing an additional forum where these two groups can come together. Opportunities such as these should help to broaden the impact of groups such as SIGEL and SIGUL beyond conferences and publications.

Lastly, the challenge of data paucity remains relatively intractable, although some efforts at faster, larger-scale language documentation are being developed (e.g. Boerger and Stutzman, 2018; Moe, 2023). Here, the challenge may lie with the computational linguists to sharpen their skills and be able to do more with less data, including finding ways to use data from majority languages to support the development of tools for Indigenous languages. Progress is being made in this area on a number of fronts (Harrigan et al., 2021, Yadav et al.,

2022), giving hope that the smaller-sized corpora of Indigenous languages may not always be such a disadvantage when it comes to NLP application development.

# **4.3** Indigenous Communities and Computational Linguists

The proceedings of ComputEL and SIGUL, among other venues, have provided computational linguists the opportunity to learn more about the needs of language communities, as well as some of the challenges they face in their efforts to document and revitalize their languages. Since most computational linguists have little opportunity for incommunity work, this burgeoning literature serves an important function of making the concerns of the language communities more apparent for computational linguists.

Unfortunately, the reverse is not true – there is not currently a readily accessible way for Indigenous language communities to become more educated on language technology, NLP development, and the potential value of computational linguistics to language revitalization efforts.

This leaves communities at a (further) disadvantage, in essence removing the option of developing such tools as part of their revitalization strategy. While the benefit of various NLP applications to community-based revitalization is an open question worthy of continued investigation (Liu et al., 2020), it is clearly problematic that most communities do not presently even have the option to consider how their on-going work could feed into the development of such applications, or how such tools might support their longer-term aspirations.

This lack of awareness and access can have further consequences as communities attempt to navigate through the language technological landscape. By now, it is a familiar story to hear about communities who have invested large sums of time and money (neither of which they have in abundance) into working with an outside company to develop a language app. While the value of seeing your language in digital form and being able to access information about it on your phone should not be underestimated, it is also clear that many of these apps have limited pedagogical value, and frequently leave the community with on-going maintenance costs. (This can be contrasted with the approaches from organizations such as 7000 Languages (https://7000.org), which seek longer-term

and more collaborative approaches to community language app development.)

As such, training community members to be discerning developers and consumers of language technology is an important step in the process of providing communities the "central role in the design and implementation of language tools" that Liu et al. (2022) call for.

One potential model for such training can be found at CILLDI, the Canadian Indigenous Languages and Literacy Development Institute at the University of Alberta (https://uab.ca/cilldi). They offer a technology-focused course as part of the Community Linguist Certificate program, a sixcourse sequence designed to equip Indigenous students with the tools necessary to guide revitalization efforts in their own communities.

In past years, this course focused on the use of recording equipment, basic audio and video editing, and best practices in metadata and archiving, as these were essential technological skills needed by community members seeking to carry out documentation on their own languages. Over time, with the further spread of technology into Indigenous communities, more and more community members (typically though not exclusively from the younger generations) have learned many of these skills already, making it less useful to have a course that focuses solely on those basic activities.

This has allowed CILLDI to broaden the scope of the course to address key questions related to language technology. These include: What is the relationship between language documentation and NLP? What types of NLP applications are available for endangered languages? Which of them are relatively simple and can be developed from existing resources in the community, and which require more time and effort to create and maintain? What is the revitalization value of such applications (either in streamlining the documentary process, or in supporting language teaching and learning)? How can communities balance the costs (time, money, speaker availability) with the perceived benefits as part of their language revitalization plan?

While CILLDI offers this training in the context of a certificate program through a university, it is not hard to imagine more flexible models of delivering the same training that would have lower costs and potentially reach a wider audience, whether that be through community-based workshops, webinars, or open-access learning modules hosted on a website. This will be key in order to make such information more accessible to communities in other regions of the world.

Whatever the format, though, providing training opportunities of this kind for community members is essential to enabling communities to take the lead in decisions on the types of language technology that are appropriate for them, regardless of the priorities of any non-Indigenous companies or institutions they may be working with.

## 4.4 Summary

It is important that documentary linguists be able to learn about the development of NLP applications, and how they can aid the documentation and revitalization efforts in Indigenous communities. In addition, community members themselves need to become more aware of the options available to them in NLP-assisted efforts at revitalization. Through these opportunities to share and learn together, computational linguists will gain a better understanding of the concerns and priorities of the Indigenous communities with respect to the work being carried out on their languages. All of this supports the overall goal of bringing these three groups closer together, and strengthening the relationships that serve as the foundation to this work.

### 5 Conclusion

In this paper, we have looked at the relationship between three groups: computational linguists, documentary linguists, and Indigenous communities. These groups have distinct yet overlapping interests when it comes to the development and deployment of language technology. The challenge over the years has been to find ways for these three groups to work together better.

As in all relationships, communication and respect are the keys to understanding and trust. This can be clearly seen in the improvements in the working relationships between Indigenous communities and documentary linguists over the past several decades. By making the effort to better understand each other's needs and perspectives, the two groups have been able to make progress toward more respectful and equitable relationships, thus better enabling the documentary work that provides the basis for any computational applications.

A greater challenge has remained in building similarly productive relationships with computational linguists. Initiatives created by organizations such as CILLDI, CoLang, ComputEL, SIGEL, SIGUL, and others, have begun to bridge the gap in understanding between documentary linguists and Indigenous communities on the one hand, and computational linguists on the other. However it is clear that there is still a long way to go in strengthening these relationships.

Expanding opportunities for documentary linguists and Indigenous community members to learn more about computational linguistics, the diversity of NLP applications, and the potential value of such technology in supporting language revitalization is an urgent concern if much progress is going to be made in the coming years, before even more languages fall silent. As we make our way through the International Decade of Indigenous Languages (https://www.unesco.org/en/decades/indigenouslanguages), it is imperative that more individuals and organizations step up to create these types of opportunities for awareness-building and skills-training.

In the long run, it is clear that training Indigenous people to be linguists, programmers and developers who can create applications for their own languages is the ideal solution. Indeed, recent years have seen more Indigenous people pursuing these career paths, to the great benefit of each of these fields (e.g.https://natives4linguistics.wordpress.com/). For too many Indigenous students, though, these options remain out of reach, and the immediate needs of their communities and their languages often put these pursuits on the backburner.

Language revitalization will always be a multigenerational societal project, but the process can be accelerated by the thoughtful development and deployment of NLP applications. As such, we are collectively obliged to do the critical work to strengthen the relationships between these three groups, for the benefit of current and future generations.

#### 6 Limitations

This position paper is limited by the available resources in the scholarly discourse of this topic, and the professional experience the authors have had in working with members of all three groups highlighted in this paper.

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# **Hate Speech Classifiers are Culturally Insensitive**

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#### **Abstract**

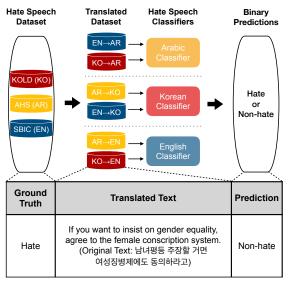
**Warning**: this paper contains content that may be offensive or upsetting.

Increasingly, language models and machine translation are becoming valuable tools to help people communicate with others from diverse cultural backgrounds. However, current language models lack cultural awareness because they are trained on data representing only the culture within the dataset. This presents a problem in the context of hate speech classification, where cultural awareness is especially critical. This study aims to quantify the cultural insensitivity of three monolingual (Korean, English, Arabic) hate speech classifiers by evaluating their performance on translated datasets from the other two languages. Our research has revealed that hate speech classifiers evaluated on datasets from other cultures yield significantly lower F1 scores, up to almost 50%. In addition, they produce considerably higher false negative rates, with a magnitude up to five times greater, demonstrating the extent of the cultural gap. The study highlights the severity of cultural insensitivity of language models in hate speech classification.

#### 1 Introduction

The current NLP models are trained on culturally biased datasets, so they lack sociocultural diversity (Dodge et al., 2021; Callahan and Herring, 2011). There is recent research emphasizing the importance of developing models that are more generalized to other languages and cultures (Hershcovich et al., 2022; Yin and Zubiaga, 2021; Jo and Gebru, 2020).

Hate speech detection poses an extra challenge because it is crucial to consider the impact of inherent social and cultural differences for this task (Ousidhoum, 2021). However, current approaches tend to overlook cultural differences, underscoring the need for more nuanced and culturally sensitive approaches to develop models that can address the



Quantitative & Qualitative Analysis

Figure 1: Overview of our cross-cultural evaluation for hate speech classifiers. We translate each of the monolingual datasets (Korean(KO): KOLD, English(EN): SBIC, Arabic(AR): AHS) and evaluate by comparing the ground truth label and the predicted labels of the translated texts and analyzing samples.

challenges posed by diverse languages and cultures. With communication across cultural and linguistic barriers becoming increasingly common in the online landscape, an effective cross-cultural hate speech classifier is necessary. This classifier should identify hate speech that incorporates diverse cultural nuances and variations, regardless of the language. However, to the best of our knowledge, no research has yet addressed this critical necessity.

This study aims to evaluate cross-cultural hate speech classifiers. We investigate cultural disparities in hate speech detection, explicitly focusing on the cultures of Korean, Arabic, and English-speaking countries. To achieve this goal, we develop hate speech classifiers for each language and evaluate their performance on translated datasets from other cultures. The experiment overview can

be seen in Figure 1. We also perform sample-level analysis within the misclassified texts, providing insights into the reasons for poor classification performance on the datasets from different cultures. Through our analysis, we identify the limitations of current methodologies that fail to address the complexity of cross-cultural communication and perpetuate cultural divides. Our experiment revealed that the F1 scores of hate speech classifiers evaluated on datasets from other cultures decremented by 26% to 48%, and the false negative rate (FNR) increased about two to five times larger. This result shows that models trained in a single language are deficient in detecting hate speeches from other cultures. Deeper examinations of false negative samples showed that the limited performance was likely due to the differences in target groups, sociocultural backgrounds, and even the standards of hate speech.

# 2 Related Work

Recent research has focused on developing multilingual hate speech detection datasets and models. Several approaches have been proposed to address the scarcity of datasets in different languages, such as building multilingual hate speech corpora (Glavaš et al., 2020; Huang et al., 2020; Ousidhoum et al., 2019) and implementing cross-lingual methods that incorporate translated data or multilingual embeddings (Yin and Zubiaga, 2021; Aluru et al., 2021; Pamungkas et al., 2020; Pamungkas and Patti, 2019; Arango et al., 2019; Sohn and Lee, 2019). Additionally, transfer learning on multilingual models like XLM-R has been utilized to take advantage of large English datasets and crosslingual contextual word embeddings (Ranasinghe and Zampieri, 2021; Ranasinghe and Zampieri, 2020). However, most of these approaches did not consider the cultural differences among datasets. They did not examine the model's cross-cultural detection ability, where the model could detect hate speech from other cultures.

Challenges in building a hate speech classifier in multilingual or multicultural settings include variations in targets of hate speech among countries and cultures (Ousidhoum, 2021; Billé, 2013), and the need to consider cultural discrepancies and diverse backgrounds. Current studies have not fully addressed these issues, as some have used translated texts and maintained ground truth labeling without considering cultural differences (Glavaš

et al., 2020; Pamungkas et al., 2020; Pamungkas and Patti, 2019). Another consideration is that word senses may differ based on dialect, sociolect, language, and culture (Rahman, 2012; Boyle, 2001; Massey, 1992). Therefore, incorporating cultural diversity is crucial in handling linguistically varied and cross-cultural hate speech.

Researchers have proposed various methods for adapting hate speech detection models to different cultural contexts (Sarwar and Murdock, 2022; Chandrasekharan et al., 2017; Nobata et al., 2016), but there is still limited research on cross-cultural hate speech detection. Some methods include using multi-task learning on hate speech datasets from different cultures (Talat et al., 2018) and building new datasets that contain different targets of hate (Arango et al., 2022). While Arango et al. (2022) has evaluated knowledge transfer performance across different datasets from different cultural backgrounds in the same language, it lacked a deeper analysis of the cultural differences behind poor performance. In contrast, this paper includes a thorough analysis of sociocultural backgrounds and differences between hate speech datasets from different cultures and explores the reasons behind the poor performance in various language settings.

## 3 Datasets from Different Cultures

This study evaluates the cross-cultural performance of hate speech classifiers trained on Korean, Arabic, and English datasets. We translate the datasets to compare the cross-cultural performance of the classifiers in different cultural settings. The datasets represent each culture, allowing for a more nuanced analysis of the performance of hate speech classifiers. We use the training and validation sets of these datasets for training and test sets for evaluations, including the cross-cultural experiment. Since the Korean dataset does not have training, validation, and test sets separated, we divide the entire dataset by the ratio of 8:1:1.

#### 3.1 Korean, English, Arabic Datasets

**Korean Dataset: KOLD** For the Korean hate speech dataset, we select KOLD (Jeong et al., 2022) as it is large-sized, is collected from sources well reflecting Korean sociological background, and contains carefully curated annotations that provide detailed information on the types of hate speech present in the dataset. The dataset includes a wide range of hate speech types, making it a compre-

hensive resource for studying hate speech in the Korean language.

English Dataset: SBIC For English, we choose SBIC (Sap et al., 2020) since it is extensively collected from diverse online community sites that many English speakers use and includes specific target groups in deep-down hierarchies. It contains diverse target groups that reasonably reflect the sociocultural backgrounds of English-speaking countries.

Arabic Dataset: Arabic Hate Speech (AHS) For the Arabic dataset, we select the Arabic Hate Speech (AHS) dataset from Mubarak et al. (2022), a large-size dataset compared to other Arabic datasets, with offensiveness and hate annotations that lack bias toward specific topics, genres, or dialects. The dataset includes target demographic groups that are specific to Arabic-speaking countries.

# 3.2 Preprocessing

To ensure the quality of translation and fair evaluation of classifiers on datasets from different cultures, we preprocess the texts of all three datasets to match the form of each other.

**Special Token Removal** Occasionally, Google Cloud Translation API<sup>1</sup> fails to translate correctly when special tokens such as '@user' are included in the text. An example of a translation error is as below:

- Original sentence (Arabic): @user @user
   @user انكم تكذبوها ع سالفه ان الحريم يقعدن
   بالارض ولا انا فهمت غلط ودرعمت؟
- Translated sentence (English): Replying to @user
- Translated sentence after removing @user (English): It is clear that you deny it according to its predecessor, that the harems are sitting on the ground, or did I misunderstand and defend?
- Human-translated sentence: You lied to your predecessors, that the harems are sitting on the ground, I don't understand, or do I?

Target Language	Similarity	KOLD	SBIC	AHS
	≥ 0.9	-	57.9	28.8
Korean	$\geq 0.8$	-	83.9	71.6
	$\geq 0.7$	-	93.7	87.7
	≥ 0.9	61.5	-	47.6
English	$\geq 0.8$	85.7	-	83.5
	$\geq 0.7$	93.0	-	93.6
	≥ 0.9	55.8	61.4	-
Arabic	$\geq 0.8$	83.5	83.5	-
	$\geq 0.7$	92.0	92.8	-

Table 1: The percentage of texts from the test dataset according to the cosine similarity score spans of backtranslated texts from KOLD, SBIC, and AHS.

	Or	iginal	Filt	ered
	Size (%)	Hate %	Size (%)	Hate %
KOLD	4045 (100)	31.1	3671 (90.8)	31.8
SBIC	4691 (100)	41.1	4208 (89.7)	42.4
AHS	2451 (100)	10.7	2226 (87.6)	9.6

Table 2: Size and percentage of hate of the original and filtered KOLD, SBIC, and AHS test datasets where each only retained those with cosine similarity scores above 0.7 in both translated languages.

Therefore special tokens are all removed before the translation step and the experiment. The specific preprocessing strategies for each dataset are explained in Appendix A.

#### 3.3 Translation of Test Datasets

The Advanced version of Google Cloud Translation API is utilized for translating the test sets. To ensure the quality of the translation, we use the RTT-SBERT metric proposed in the findings of Moon et al. (2020), demonstrating the cosine similarity of SBERT embeddings (Reimers and Gurevych, 2019) between the input and round-trip translation has a high correlation with human evaluation. In other words, sentences with high cosine similarity scores tend to achieve high scores in the human evaluation. The detailed translation steps are as follows.

#### 3.3.1 Back Translation

After translating each test dataset into two other languages, we translate it back to the original language. For example, for a Korean dataset, we translate it into English and Arabic and translate the English

<sup>1</sup>https://cloud.google.com/translate

KOLD		SBIC		AHS			
<b>Target Group Category</b>	Count (%)	Target Group Category	Count (%)	Target Group Category	Count (%)		
Gender	286 (23.9)	Gender	434 (20.3)	Gender	86 (40.2)		
Race	290 (24.3)	Race	767 (35.8)	Race/Ethnicity/Nationality	72 (33.6)		
Politics	187 (15.6)	Social	95 (4.5)	Ideology	29 (13.6)		
Religion	186 (15.6)	Culture	483 (22.5)	Religion/Belief	6 (2.8)		
Others	246 (20.6)	Disabled	102 (4.8)	Disability/Disease	2 (0.9)		
		Body	50 (2.3)	Social Class	19 (8.9)		
		Victim	211 (9.8)				
Total	1179	Total	1785	Total	214		

Table 3: Statistics of each target group category within the entire hate speech in the filtered KOLD, SBIC, and AHS. For KOLD and SBIC, multi-targeted group categories are split into single categories when counting.

and the Arabic version back to Korean.

## 3.3.2 Cosine Similarity Scores

We utilize SentenceTransformers Python framework<sup>2</sup> for extracting the SBERT embeddings of the texts. Table 1 shows the portion of the test dataset that achieves cosine similarity scores above 0.7 for each of the three datasets and languages.

#### 3.3.3 Filtering

To ensure a fair cross-cultural comparison, we apply a filtering process to the original test sets of each language. Specifically, we only retain texts with RTT-SBERT scores exceeding 0.7 in both translated languages. This approach helps minimize discrepancies in the quality of the translations and ensures that the selected texts are accurately represented in all languages. The data size and the portion of hate of both original and filtered datasets are shown in Table 2, and the target group category distribution for each can be seen in Table 3. The filtered datasets retained over 87% of the original dataset, indicating that the size reduction is unlikely to affect the experiment's results significantly.

#### 3.3.4 Evaluation of Filtered Datasets

We evaluate the actual translation quality of the filtered test datasets with RTT-SBERT scores above 0.7 by manually inspecting the sample texts. We check if the translated text conveys the meaning of the original sentence without leaving out or mistranslating some phrases. As a result, about 70% of the samples properly convey the meaning of the original sentence after translation. Since this portion is acceptable, we maintain the threshold at 0.7.

# 4 Culture Representative Model Training

To ensure that the hate speech classifiers accurately represent the cultures of their respective languages, they must achieve high performance on datasets from their language. To address this, we use monolingual models pretrained in each of the three languages and finetune them. The following sections contain descriptions of each model and the results of finetuning. Specific training details are in Appendix B.1. We use the best model for each language for cross-cultural evaluation in Section 5, and Table 7 shows the performance of all models.

#### 4.1 Model Description and Performance

Korean Pretrained Models For Korean models, we utilize KcELECTRA-base and KcELECTRA-base-v2022 (Lee, 2021) trained on NAVER<sup>3</sup> news comments and nested comments. We also finetune models pretrained on KLUE (Park et al., 2021), the most extensive Korean benchmark dataset, including KLUE-RoBERTa-base, KLUE-RoBERTa-large, and KLUE-BERT-base. KcELECTRA-base-v2022 outperforms all the other Korean pretrained models with an F1 score of 0.81 and is used as the model for cross-cultural hate speech evaluation in Korean.

English Pretrained Models For the English model, we use BERTweet (Nguyen et al., 2020), trained on an 80GB dataset containing 850M Tweets, and Twitter-RoBERTa (Barbieri et al., 2020), trained on the TweetEval benchmark dataset. We also finetune BERT-base, RoBERTa-base, and DistilBERT-base, pretrained on general English data. BERTweet-base exceeds all other English

<sup>2</sup>https://www.sbert.net/

 $<sup>^3</sup>One$  of the top three mobile apps used in Korea in 2021. (http://www.koreaherald.com/view.php?ud=20210901001000)

Dataset	Language	F1	FPR	FNR
	КО	0.81	0.08	0.32
<b>KOLD</b>	$KO \rightarrow EN$	0.59	0.04	0.76
	$KO \rightarrow AR$	0.49	0.02	0.91
	EN	0.87	0.09	0.18
SBIC	$EN \rightarrow KO$	0.56	0.05	0.77
	$EN \rightarrow AR$	0.45	0.02	0.91
	AR	0.81	0.03	0.39
AHS	$AR \rightarrow KO$	0.56	0.01	0.90
	$AR \rightarrow EN$	0.60	0.02	0.83

Table 4: Results of cross-cultural evaluation on KOLD, SBIC, and AHS. *KO* (Korean), *EN* (English), *AR* (Arabic) shows prediction results of models on the test dataset from the original dataset for comparison. The KcELECTRA-based classifier was used for classifying test datasets in Korean, the BERTweet-based classifier for datasets in English, and the AraBERT-based classifier for datasets in Arabic.

pretrained models on the English hate speech corpus by achieving an F1 score of 0.86 and is served for cross-cultural hate speech evaluation in English.

Arabic Pretrained Models We use variants of pretrained AraBERT (Antoun et al., 2020). AraBERTv2-base/large are trained on general Arabic datasets, and AraBERTv0.2-Twitter-base/large are trained by continuing the pretraining on 60M Arabic tweets. Among these models, AraBERTv0.2-Twitter-base performs the best with an F1 score of 0.82 when finetuned for Arabic hate speech classification and is used for cross-cultural evaluation of hate speech in Arabic.

#### 5 Cross-Cultural Evaluation

The current study aimed to evaluate the cross-cultural performance of different hate speech classifiers and explore the factors responsible for their poor performances. Table 4 presents the performance of the models on datasets across cultures. It is noteworthy that the cross-cultural performance of the models showed a substantial decrease in overall F1 scores ranging from 0.4 to 0.6 when compared to the models' performance on the original test datasets with F1 scores over 0.8. We experimented to investigate the potential relationship between translation quality and F1 scores, but our findings revealed no discernible correlation between them.

Another common tendency was decreased false positive rate (FPR). This could be due to the lack of understanding of other cultures leading the models to follow the majority label of the training dataset and to predict some instances as non-hate incorrectly. Another possible reason is that hate speech classifiers tend to have identity term bias (Dixon et al., 2018), but they may not have the bias for unknown targets of hate from different cultures.

Our area of interest was the increase in false negative rate (FNR) of the cross-cultural evaluation results, up to five times higher than that of the original dataset. The findings revealed that the poor performance of the models is not only due to differences in the target of hate but also due to variations in the standard across cultures. Table 5 displays false negative examples of cross-cultural evaluation, demonstrating the original text, labeled as hate speech in the original dataset, and translated text predicted as non-hate. Moreover, we evaluated the FNR for each target group category and specific target group for the models trained on different cultures, shown in Table 6. We use the terms target group category and target group throughout this section, where target group category represents the broader category of hate, such as race and gender, and target group refers to a specific type of target group, such as Asians and females. Note that the target group category named social class in AHS includes diverse social groups existing in Arabic cultures.

**KOLD** In the study conducted with translated KOLD, the AraBERT-based classifier had the highest FNR of 0.98 for the gender category, while the BERTweet-based classifier had the highest FNR of 0.85 for the politics category. The Korean classifier also faced challenges in detecting hate speech for these categories compared to the others, with an FNR of 0.42 for *gender* and the third-highest FNR (0.28) for politics category. Sociological complexity of hate speech related to politics in Korea, such as mentions of specific names of politicians or political organizations, can explain the high FNRs in both BERTweet-based and AraBERT-based classifiers. In contrast, gender-related hate speech tends to target more general demographic groups, which highlights the need to investigate the factors behind the poor performance of the Arabic model in gender category.

Sample analysis revealed that the AraBERT-based classifier could not detect any hate speech targeting the *LGBTQ*+ community, likely because such tweets were not included in the Arabic training dataset. To our knowledge, there is currently very few available Arabic hate speech dataset that

Language	Original Text	Translated Text
$KO \rightarrow EN$	남녀평등 주장할 거면 여성징병제에도 동의하라고ㅋㅋㅋ 그리고 내 말에 그냥 시비만 걸지 말고 혜택은 다 쳐받으면서 왜 차별받는다고 말하는지 말해보라고ㅋㅋㅋ	If you are going to insist on equality between men and women, agree to the female conscription system hahaha And don't just argue with me, tell us why you say you are being discriminated against while receiving all the benefits hahahaha
$KO \rightarrow AR$		إذا كنت ستصر على المساواة بين الرجل والمرأة، فوافق على نظام التجنيد للإناث هاهاها ولا تجادلني فقط ، أخبرنا لماذا تقول إنك تتعرض للتمييز أثناء تلقي جميع الم: الم هيهيهه
		<u>                                     </u>
$EN \rightarrow KO$	So, the Holocaust confuses me Like, I don't understand why	그래서, 홀로코스트가 나를 혼란스럽게 한다 예를 들어, 왜 누군가가 살아남고 싶어하는지 이해할 수 없다. 왜냐하면 그들은 여전히 유대인일 것이기 때문이다
$EN \rightarrow AR$	anyone would want to survive it, because they would still be a jew afterwards	لذا ، فإن الهولوكوست يربكني مثل ، لا أفهم لماذا يريد أي شخص البقاء على قيد الحياة ، لأنهم سيظلون يهودًا بعد ذلك
AR  o KO	على زق انتم وإيران المجوسية والمملكه دونها رجال تحمي ارضيها ومقدساتها	당신과 이란, 마기안, 그리고 그것이 없는 왕국은 그 땅과 신성함을 보호하고 예멘에서 시도한 것처럼 살만과 함께 당신의 행운을 시험하는 사람들입니다.
$AR \rightarrow EN$	وجربو حظكم مع سلمان كما جربتوه في اليمن .	You and Iran, the Magians, and the kingdom without it are men who protect its lands and sanctities, and try your luck with Salman as you tried it in Yemen.

Table 5: Original and translated texts of false negative samples, in which the ground truth is **hate** but the predictions on translated texts are **non-hate**. All of the samples achieved an RTT-SBERT score above 0.9.

includes hate speech explicitly targeting this demographic group. Hence, we express our readiness to replicate the same experiment in the future, provided that a dataset containing plenty of hate speech directed towards *LGBTQ*+ in Arabic is available.

In addition, the FNR of the AraBERT-based model for other *gender*-related groups, mainly *females* and *males*, was 0.95 or higher, whereas that from the BERTweet-based model was about 0.77, and that from the KcELECTRA-based model was about 0.41 and 0.23 respectively. *gender* category comprises a significant proportion of hate speech in the Arabic and English training datasets, accounting for 48% and 29% of AHS and SBIC, respectively. Thus, the marked disparity in performance between the two models implies that the standards of hate speech towards *male* and *female* vary between Arabic and English-speaking cultures, in addition to cultural differences in *gender*-targeted hate speech.

The *race* category was a significant challenge for the English hate speech model, with the second-highest FNR among all categories. This was particularly evident for target groups such as *Chinese*, *Korean Chinese*, and *others*, including smaller groups such as *Afghans*, with FNRs exceeding 0.85. Interestingly, although these groups were the main targets of hate speech in KOLD, they were minor targets in the English hate speech corpus. The Korean classifier also had the highest FNR (0.37)

for the *others* group within the *race* category, indicating that the classifier may not have been adequately trained to detect all hate speech targeting them. Nevertheless, the Korean and English hate speech classifiers showed varying performances for those target groups, with the KcELECTRAbased classifier achieving FNRs of 0.18 and 0.34 for Chinese and Korean Chinese, respectively. Notably, the FNR of the English classifier for the black group was 0.32, similar to that of the KcELECTRAbased classifier (0.27). This may be attributed to the BERTweet-based classifier having sufficient opportunities to learn to detect hate speech towards black people from SBIC, where the primary target group within the race category was black. These findings highlight the impact of target demographic differences in cross-cultural hate speech detection, indicating that classifiers must be trained on diverse and inclusive datasets to ensure their effectiveness across different cultures and languages.

**SBIC** Both the AraBERT-based and KcELECTRA-based classifiers exhibited the highest FNRs for *disabled* and *victim* target group categories on the translated SBIC dataset. The Arabic classifier achieved FNRs of 0.98 and 0.96, and the Korean classifier gained 0.90 and 0.88, respectively. Conversely, the BERTweet-based classifier had the highest FNRs for the *social* and *body* target groups. The difference in the FNR rankings can be attributed to the fact that

KOLD				SBIC			AHS				
<b>Target Group Category</b>	ко	$KO \rightarrow EN$	$KO \rightarrow AR$	Target Group Category	EN	$EN \rightarrow KO$	$EN \rightarrow AR$	Target Group Category	AR	$AR \rightarrow KO$	$AR \rightarrow EN$
Gender	0.42	0.78	0.98	Gender	0.26	0.70	0.89	Gender	0.41	0.87	0.81
Race	0.32	0.82	0.88	Race	0.09	0.72	0.92	Race/Ethnicity/Nationality	0.38	0.93	0.82
Politics	0.28	0.85	0.92	Social	0.42	0.69	0.83	Ideology	0.38	0.93	0.86
Religion	0.25	0.64	0.91	Culture	0.10	0.82	0.86	Religion/Belief	0.17	0.50	0.50
Others	0.27	0.69	0.86	Disabled	0.23	0.90	0.98	Disability/Disease	0.50	1.00	1.00
				Body	0.40	0.66	0.88	Social Class	0.47	0.95	1.00
				Victim	0.21	0.88	0.96				

Table 6: False Negative Rate (FNR) of original and translated versions of KOLD, SBIC, and AHS on KcELECTRA-based (Korean (KO)), BERTweet-based (English (EN)), and AraBERT-based classifiers (Arabic (AR)). **Bold** indicates the target group category with the highest FNR, *italic* indicates second-highest, underlined refers to the third highest.

hate speech directed towards *disabled* and *victim* categories, which includes target groups such as *mass shooting victims*, is not prevalent in Arabic and Korean datasets. However, there was a variation in the FNR rankings for specific target groups between the Korean and Arabic models.

For the target group category of disabled people, both the AraBERT-based and the KcELECTRAbased classifier had high FNRs (above 0.94) for hate speech targeting physically disabled people. For the mentally disabled target group, the Arabic classifier displayed a higher FNR (0.98) compared to that of the Korean classifier (0.84). The reason behind their poor performances might have been partially due to the English data's tendency to include posts that mention specific disabilities such as quadriplegic or autistic patients, or sarcastic metaphors regarding disabled people. A rare appearance of these terms in the Arabic and Korean datasets may have led the models to fail to detect them. As the English hate speech classifier was trained on this kind of data, it demonstrated an FNR of 0.25 for physically disabled people and 0.12 for *mentally disabled* people. In contrast, this kind of hate speech was rare in the Arabic and Korean datasets, making it difficult for the models to identify.

The detection of hate speech targeting *victim* category also remains a challenge for both AraBERT and KcELECTRA-based classifiers, as indicated by their high FNRs. However, the BERTweet-based classifier had a low FNR (0.21) for the same category. Specifically, hate speech targeting *mass shooting victims* posed difficulty for Arabic and Korean classifiers, with FNRs above 0.95, whereas the English classifier's FNR was only 0.23. Our analysis revealed that *mass shooting events* are more frequent in the United States than in Korean cultures. Also, even though there are *mass shooting events* in Arabic countries, the AHS dataset did not include hate speech targeting *mass shooting victims*.

On the other hand, hate speech targeting terrorism victims was more challenging for the Korean classifier, with an FNR of 0.97, than the AraBERT-based classifier, with an FNR of 0.90. This was also very different from the English classifier's performance, which showed an FNR of 0.14 for the same group. The prevalence of terrorism-related hate speech targeting specific events, such as 9/11 attack, in America may have accounted for this discrepancy. Additionally, the Arabic classifier had a high FNR (0.98) for the hate speech targeting assault victims, whereas the Korean classifier had a relatively low FNR (0.83) for the same group. Through further analysis, we found out that about 80% of the hate speech towards assault victim group were about sexual assaults. Considering that the FNR of the Arabic classifier on the *gender* category was high (0.89) compared to those of the Korean (0.70) and English classifiers (0.30), the model's tendency towards gender-related texts may have affected its performance on the hate speech against assault victim group.

Especially for the *gender* category, AraBERT-based classifier's FNRs for the trans women, gay men, and women groups were greater than or equal to 0.89. In contrast, those of the KcELECTRA-based classifier were below 0.74. The BERTweet-based classifier also had low FNRs of under 0.27 for those groups. The lack of LGBTQ+-related hate speech in the AHS dataset, previously mentioned in the analysis regarding the KOLD dataset, could explain the high FNR of the classifier for trans women and gay men. However, for women, as they constitute a more general target group, one of the possible interpretations of the FNR disparity could be the difference in the standard of hate speech between Arabic and Koreanspeaking cultures.

The other target groups that the KcELECTRAbased classifier had a high FNR for were *Native American*, *Latino*, and *Jewish* people, which are not common target groups in Korean society. However, *Christians* were one of the main target groups related to *religion* but still had a high FNR in the Korean classifier. After analyzing hate speech in KOLD and SBIC targeting *Christians*, it was found that those in KOLD tended to include criticism and denouncements of *Christian people*. In contrast, those in SBIC were mainly sarcastic humiliations of Christianity. In contrast, the Arabic hate speech classifier had difficulty detecting hate speech targeting *Christians*, *trans-women*, *Asians*, *Black people*, and *Latinos* due to the lack of hate speech targeting these groups in the Arabic hate speech dataset.

What was common within this experiment was that the classifiers trained in other cultures had difficulty identifying hate speech in English comments due to the language's high use of sarcasm and metaphors that some even embedded societal or cultural background, such as common *mass shootings* in American schools. These nuances were not adequately captured through translations alone, resulting in challenges for the models to understand the context.

AHS The size of the test dataset of AHS was comparatively small, with less than ten examples for the *Religion/Belief* and *Disability/Disease* categories. Therefore, we did not analyze the two categories. The FNR rankings of the BERTweet-based and KcELECTRA-based classifiers were identical for the other categories. However, the AHS dataset only included annotations for target group categories but not their detailed target groups, so the analysis was limited to that scope.

The study revealed that hate speech targeting specific social class, such as Bedouins (a group of Arabic-speaking nomadic people living primarily in the Middle East and North Africa), posed significant challenges for both the BERTweet-based and KcELECTRA-based classifiers, which were trained on Korean and English datasets, respectively. The classifiers had an FNR of 1.0 and 0.95 for these target groups, respectively. Further analysis of the false negative samples revealed that understanding the context of the target groups required sociological background knowledge of Arabic cultures. In addition, the specific terms were rare or even unknown to the Korean and English models. The content required background knowledge to understand whether the text was hate speech, resulting in incorrect predictions. This characteristic of the category also led to the highest FNR of 0.47 within

the Arabic classifier.

Hate speech aimed at particular *ideologies*, such as *partisan*, *intellectual*, or *sports affiliations*, had a high false negative rate (FNR) for both the English and Korean hate speech classifiers. The *ideology* category had an FNR of 0.86 and 0.93 for the English and Korean classifiers, respectively. The difficulty arose due to the culture-dependent nature of these tweets, which included specific names of *football clubs*, *politicians*, and other *ideological terms* that were challenging for classifiers trained on data from different cultures to be aware of. However, the Arabic classifier had a relatively low FNR, achieving a value of 0.38, as it was trained on this type of data.

## 6 Conclusion

In this paper, we investigated the cross-cultural performance of monolingual hate speech classifiers for Korean, English, and Arabic languages by evaluating the classifiers' performance on translations of hate speech datasets from other languages. Our deep analysis of model performance and false negative samples revealed the limitations of classifiers trained in a single language, including their inability to understand the sociocultural background of other cultures. This lack of understanding resulted in many samples being predicted as non-hate speech, highlighting the need for cross-cultural evaluation of hate speech classifiers. Our research also demonstrated standard differences in hate of general target groups across cultures.

Our findings underscore the importance of crosscultural evaluation of hate speech classifiers and sample-level analysis to identify their weaknesses in a cross-cultural context. Adopting this approach will enable models to accurately detect hate speech from diverse cultures in global online communities. As such, our research highlights the need for more culturally sensitive approaches to developing hate speech classifiers to address the challenges posed by linguistic and cultural diversity in online spaces.

#### 7 Ethical Considerations

To accurately represent their respective cultures, this paper utilized three publicly available hate speech datasets in Korean, English, and Arabic, with detailed descriptions provided in Section 3.

Regarding user privacy, the Korean dataset KOLD and the Arabic Hate Speech dataset (AHS)

implemented measures to protect user privacy by masking usernames and URLs with their masking tokens. However, the English dataset SBIC did not anonymize texts containing usernames and URLs. To protect user privacy, we anonymized the texts by removing these two attributes.

We relied on multiple resources to comprehend comments from various cultures to avoid any bias resulting from a limited understanding of different cultures. This approach helped ensure that our lack of cultural knowledge did not affect the analysis of cultural differences. Our analysis primarily relied on numerical values from model predictions, and we inspected samples to provide better explanations for the models' performance based on the quantitative results. This approach allowed us to minimize potential biases resulting from cultural misunderstandings and contribute to more culturally sensitive research practices.

#### 8 Limitations

Machine Translation Using machine translation may impact hate speech classifiers' performance on translated data due to challenges in translation quality. To address this, we employed the RTT-SBERT metric from Moon et al. (2020), which correlates well with human evaluation scores, to only leverage the well-translated sentences. However, the classifiers' performance may have been affected because translated texts with high RTT-SBERT scores did not always convey the correct context. Future work should consider carefully performed manual translations by translators with a deep understanding of both languages for more accurate evaluation.

Transfer Learning for Cross-Cultural Hate Speech Classification Our study evaluated a model's cross-cultural ability by testing it on unseen data from different cultures. However, recent research suggests that transfer learning can adapt classifiers to different domains, potentially addressing some limitations of our approach. Future work will explore the effectiveness of transfer learning methods in improving hate speech classifiers' ability to recognize culture-specific terms in monolingual and multilingual settings.

**Dependence on Language Models** Examining false negative samples to analyze cultural differences can produce incorrect results since they could have been falsely predicted due to model performance instead of cultural differences. To address

this issue, we attempted to better understand the reasons for misclassification by examining samples. However, since we are not native speakers of English and Arabic, this approach may not have been sufficient to comprehend cultural differences fully. To address this, future work will use human annotation to analyze hate speech from diverse cultures, with annotators from varying cultural backgrounds to develop a model that understands cultural perception differences in a given context.

Cultural Diversity within a Language The study's Korean, English, and Arabic datasets represent diverse cultural backgrounds. While the Korean dataset (KOLD) contains texts from a relatively homogeneous cultural background, the English (SBIC) and Arabic (AHS) datasets may have texts from various specific cultural backgrounds. English is spoken and written by people from different countries who may not share the same cultural background. Moreover, the AHS dataset contains various dialects, resulting in a mixture of cultures from several Arabic-speaking countries. To ensure accurate cross-cultural studies, it is crucial to constrain the dataset's represented culture or annotate which specific countries or cultures the label represents. This will prevent ignorance of cultural differences, even among countries with the same language.

#### **Human Annotation within Hate Speech Datasets**

Hate speech classification research relies heavily on annotated datasets that may suffer from subjective and inconsistent labels. Annotation inconsistencies within each dataset may affect hate speech classifier predictions. As a result, the predictions of our hate speech classifiers may have been affected by the annotation inconsistency within datasets. Additionally, our analysis of the results that depend on the ground truth labels of the datasets may also be prone to errors. To alleviate annotation errors' impact, we focused on the performance differences of models on a common dataset rather than the models' performances.

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	Model		Metric	:
	Iviouer	P	R	F1
	Kcelectra <sub>base</sub>	0.80	0.80	0.80
	$ ext{KCELECTRA}_{base-v2022}$	0.83	0.80	0.81
KO	KLUE-BERT <sub>base</sub>	0.79	0.78	0.79
	KLUE-RoBERTa <sub>base</sub>	0.79	0.78	0.78
	KLUE-Roberta $_{large}$	0.79	0.78	0.79
	BERTweet <sub>base</sub>	0.86	0.86	0.86
	Twitter-RoBERTa $_{base}$	0.86	0.86	0.86
$\mathbf{E}\mathbf{N}$	$BERT_{base}$	0.85	0.86	0.85
	Roberta <sub>base</sub>	0.86	0.86	0.86
	DistilBERT $_{base}$	0.84	0.85	0.85
	AraBERTv0.2-Twitterbase	0.84	0.80	0.82
AR	AraBERTv0.2-Twitter <sub>large</sub>	0.84	0.79	0.81
AK	AraBERTv2 $_{base}$	0.81	0.79	0.80
	AraBERTv2 $_{large}$	0.82	0.80	0.81

Table 7: Evaluation results of finetuning on datasets within each of the model's languages (Korean (KO), English (EN), Arabic (AR)). Precision, Recall, and Macro-F1 scores are shown. **Bold** indicates the best performance across the models in each language, and the value in parentheses is the more accurate value to help distinguish the best-performing model.

# **Appendix**

# **A Preprocessing Strategies for Datasets**

**KOLD** KOLD contained special tokens such as <user>, <url>, and <email>, and very few of the texts included emojis.

**SBIC** SBIC contained usernames and URLs that were not masked, and some HTML characters such as &#[numbers]; (emojis) (ex. as 😅), &(&), and >(>). Also, there were substantial line changes, which did not fit other datasets' shapes. Therefore, sequential \ns were substituted to '.' as users tended to use a line change to start a new sentence or phrase afterward.

AHS AHS contained special tokens such as @USER, <LF>, URL, and RT. <LF> refers to a line change, so it was substituted to \n. As in the SBIC dataset, sequential \ns were replaced with '.' Additionally, for all Arabic data, including datasets translated into Arabic, we utilized the ArabertPreprocessor from the arabert python package for cleaning up the Arabic texts.<sup>4</sup>

# **B** Training Hate Speech Classifiers

#### **B.1** Model Training Details

All model training processes were done using the Transformers library from Huggingface<sup>5</sup>. We set the maximum sequence length of texts to 128 except for AraBERT-based models pre-trained on Twitter data, where we set it to 64<sup>6</sup>. We used AdamW as the optimizer with a learning rate of 2e-5 and an epsilon value of 1e-8, used linear scheduling for training, and set batch size as 32 for both training and evaluation steps. For conducting all experiments, 4 GeForce RTX 2080 Ti 10GB were used with CUDA version 11.0, and the experiment for each dataset took up to 3 hours.

#### **B.2** Model Performance

Table 7 shows model performances for each language when finetuned on hate speech datasets. Each monolingual model of each language, Korean, English, and Arabic, was finetuned as a hate speech classifier using the Korean, English, and Arabic datasets, respectively. As a result, the KcELECTRA-base-v2022 model showed the highest performance on KOLD, the BERTweet-base model showed the highest performance on SBIC, and the AraBERTv0.2-Twitter-base model showed the highest performance on AHS. We use these three models for our cross-cultural evaluation in Section 5.

<sup>&</sup>lt;sup>4</sup>This was recommended by the authors of AraBERT (Antoun et al., 2020). (https://huggingface.co/aubmindlab/bert-base-arabertv02-twitter)

<sup>5</sup>https://github.com/huggingface/ transformers

<sup>&</sup>lt;sup>6</sup>The authors of AraBERT mentioned that these models were trained on texts with a sequence length of 64, and setting the maximum sequence length over this value may lead to performance degrades (https://huggingface.co/aubmindlab/bert-base-arabertv02-twitter, https://huggingface.co/aubmindlab/bert-large-arabertv02-twitter)

# MMT: A Multilingual and Multi-Topic Indian Social Media Dataset

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#### Abstract

Social media plays a significant role in crosscultural communication. A vast amount of this occurs in code-mixed and multilingual form, posing a significant challenge to Natural Language Processing (NLP) tools for processing such information, like language identification, topic modeling, and named-entity recognition. To address this, we introduce a large-scale multilingual, and multi-topic dataset (MMT) collected from Twitter ( $\approx 1.7$  million Tweets), encompassing 13 coarse-grained and 63 finegrained topics in the Indian context. We further annotate a subset of 5,346 tweets from the MMT dataset with various Indian languages and their code-mixed counterparts. Also, we demonstrate that the currently existing tools fail to capture the linguistic diversity in MMT on two downstream tasks, i.e., topic modeling and language identification. To facilitate future research, we will make the anonymized and annotated dataset available in the public domain.

# 1 Introduction

In the last decade, we have observed high growth in the number of available social media platforms, as well as the user engagement on these platforms (Liu et al., 2014). Such widespread usage of these platforms makes them the primary means of information spread within as well as across cultures in any socially engaging event such as elections (Jungherr, 2016), entertainment (Antelmi et al., 2018), sports (Wang, 2020), science (López-Goñi and Sánchez-Angulo, 2018), and technology (Kreiss and McGregor, 2018).

India, with a population of over 1.3 billion, attracts the attention of all major social media firms(Aneez et al., 2019); various studies (Bharucha, 2018; Singh et al., 2019) reaffirm the active participation of Indians on these platforms. With diversity and multilingualism deeply ingrained in the culture of India (Ishwaran, 1969),

it is no wonder that we find huge volumes of codemixed data (Thara and Poornachandran, 2018) in the Indian social media space – which consequently makes it a goldmine for the NLP research community(Conway et al., 2019).

The NLP community has always been interested in solving problems in multilinguality (Xue et al., 2021) and multi-topicality (Yuan et al., 2018). In most of the research, the two problems are addressed separately. However, several interesting questions emerge in multilingual-multitopical datasets. Here, we explore three research questions:

- **RQ1**: how traditional topic modeling tools perform in multilingual settings?
- *RQ2*: can we achieve better topic modeling with the multilingual data using the contextual topic models?
- **RQ3**: how do multilingual language identification tools perform in multi-topical text?

To the best of our knowledge, we have not found extensive investigation into the answers to the above questions. This paper explores these pertinent questions supported by robust evaluations and presents interesting anecdotal examples.

# 2 Constructing The Multilingual and Multi-topic Dataset

#### 2.1 MMT

The large-scale multilingual and multi-topic dataset is constructed in four phases as listed below:

1. Annotator selection and grouping: We selected a diverse group of 49 students who were either undergraduates, masters, or postgraduates from different regions and cultural backgrounds in India. These students hailed from various states across India, representing different parts of the country from north to south, east to west. The 49 students were self-organized into 13 teams, with 10 teams consisting of 4

members each and 3 teams consisting of 3 members each. All the students were native Indians and active Twitter users with high proficiency in English and knowledge of at least one Indian language. This selection criterion ensured a diverse and representative sample.

- 2. **Topic identification**: As an initial step, we identify 13 topics relevant to the Indian context to capture and cater to various dimensions of discussions on social media, specifically Twitter. We enlist all 13 topics in Table 1. The choice of seed topics is also motivated by the most frequently discussed and relevant areas to the Indian community, as it helps get quality large-scale data easily from Twitter.
- 3. Subtopic selection: Next, we collect the fine-grained categorization for each of the 13 seed topics. We assign one seed topic to each team and ask them to develop a set of subtopics within each seed topic. The teams have the flexibility to do their own study (within and outside the Twitter community) to come up with a set of subtopics. We provided teams with constructive feedback and suggestions for improvement to ensure the accuracy and relevance of the selected subtopics. We fostered a collaborative process to arrive at a consensus on 63 subtopics that encompass diversity and exhaustiveness. The selected subtopics for all 13 seed topics are presented in Table 1.
- 4. **Data collection**: We curate data from Twitter based on the assigned subtopics for each seed topic. For this task, we employ the same set of 13 teams with a task of scraping at least 100K tweets (and the associated data and metadata) using the TWINT tool<sup>1</sup>. The teams are encouraged and rewarded to curate more than 100K tweets. We further preprocess and remove the tweets with missing values.

In total, MMT comprises 1,755,145 tweets, with 135K tweets on average for each topic (Table 1). We observe a high degree of multilingualism, with tweets coming from 47 languages (as identified by Twitter). Based on manual inspection, we observe that the Twitter language identification system (hereafter "*TLID*") assigns incorrect language tags to a large number of non-English tweets.

#### Example 1

**TWEET:** In Taj Mahotsav Mukatakashiy Manch our Guru ji Dr. Sadanand Brahmbhatt hamein unke sath stage share karne ko mila ...

TWITTER ASSIGNED LANGUAGE: Hindi(Hi)
ANNOTATOR ASSIGNED LANGUAGE: HindiEnglish (Hi-En)

#### Example 2

TWEET: @HarrietTurle @HarrietTurle Kaziranga Assam in East Ranthambore in North, Kahana national park in Madhya pradesh Bandhavgarh in Rajeshthan

TWITTER ASSIGNED LANGUAGE: Hindi(Hi)
ANNOTATOR ASSIGNED LANGUAGE: English (En)

Figure 1: Tweets from the *MMT-LID* dataset with language tags from Twitter and the human annotator.

#### 2.2 MMT-LID

We construct this dataset using a language annotation task on the MMT dataset. We assign each team member (of the 13 teams) a randomly selected set of 500 tweets (with no duplicates) from the same seed topic as assigned in the *MMT's data collection* step. We provide the following guidelines for the annotation task:

- For each selected tweet, mark if the Twitterassigned language tag is correct. In case the tag is incorrect, identify the correct language tag. In case the text mixes multiple languages, assign a combined tag by separating them using a hyphen. For example, if the tweet text mixes Hindi (either in Devanagari or Roman) and English tokens, the first answer will be 'No', and the second answer will be 'Hi-En'.
- In case the tweets are code-mixed, identify and annotate the main language (whose grammar is followed) and the embedded language (whose few tokens are embedded in the main language). For example, in the tweet "items ko cart me daal ke app band kar dena is not funny", the main language is 'Hi' and embedded language is 'En'.

As a result of the annotation, we obtain 5,346 tweets with human-annotated language tags. To evaluate the annotator's performance on this task, we evaluate the inter-annotator agreement (IAA) for each of the 13 topics using Cohen's Kappa (CK) score. We re-annotate 325 tweets (25 randomly selected tweets from each topic of the MMT-LID dataset) with the language tags and then calculate CK for IAA. Overall, we achieve an IAA score of 0.94. In Table 2, we report IAA scores per topic.

<sup>&</sup>lt;sup>1</sup>https://github.com/twintproject/twint

Topic	Subtopics	# Tweets	Avg len		
Environment	Pollution, Climate Change, Eco Friendly, Floods	142208	216.58		
Food	Online food delivery, Food security, Indian desserts	195086	140.75		
Economics and Retail	Economics and Retail Initial Public Offering (IPO), SEBI and New margin rules, Unicorns, Unemployment in India				
Natural Disaster	Cyclone:, Earthquake, Pandemic, Flood	75591	161.18		
Art and Literature	Forms of Indian Art, Art festivals, Literature festivals, Book Fairs	111909	150.43		
Sports	Olympics, Indian Premier League (IPL), Indian Super League (ISL).				
Politics	tics Pegasus Snooping, Farmer Agitation, West Bengal Elections, 2021				
R&D and Technology	Mobile Technology, Health-Tech and Medical Innovations, ISRO	111615	166.43		
Wildlife and Vegetation	Kaziranga National Park, Bandhavgarh National Park, Nilgiri National Park, Corbett National Park, Ranthambore National Park, Gir National Park, Nanda Devi National Park, Save Tiger Project, Save Elephants, Save the Great Indian Bustard, Wildlife Tourism and Heritage, Forest Cover, River Rejuvenation, Restoration, Wildlife Crime, Climate Change	280091	155.03		
Manufacturing	Make in India, Steel Manufacturing, Automobile Manufacturing, Electronics and electrical manufacturing	100969	125.14		
Films and OTT	Films and OTT  OTT platforms such as Netflix, Amazon Prime Video, OTT Censorship, OTT Voicecalling, Nepotism in Film Industry, NationalFilmAwards		142.12		
Journalism & Media	Policy and Trends Print Media & TV Criminal Journalism		170.88		
Education	Exams, IIT, Online Education, Education System	107634	186.39		

Table 1: Distribution of topics, subtopics, the number of tweets, and the average length of tweets in the *MMT* dataset. By incentivizing teams to collect over 0.1 million, we obtained more than 0.1 million tweets for 11 seed topics.

Topic	English	Hindi	Bengali	Marathi	Telugu	Unidentified	#L	Avg len	IAA
Environment	136929	575	5	22	8	2667	45	216.58	0.96
Food	135094	17141	125	366	96	10731	45	140.75	0.91
Economics & Retail	141766	4295	19	71	15	4801	45	179.16	0.94
Natural Disaster	37081	16670	740	547	1257	2915	43	161.18	0.91
Art and Literature	85389	2955	139	63	79	6977	44	150.43	0.93
Sports	56952	5493	519	129	83	9996	45	117.06	0.94
Politics	56469	25112	666	537	99	20532	43	155.0	0.89
R&D and Technology	75176	5428	99	195	129	3377	45	166.43	0.93
Wildlife & Vegetation	203024	18831	42	591	24	7063	45	155.03	0.90
Manufacturing	48421	1805	19	50	221	3220	47	125.14	0.94
Films & OTT	70314	2808	7	30	49	6274	44	142.12	0.94
Journalism & Media	80762	29663	838	1725	481	12703	46	170.88	0.90
Education	80848	7937	50	216	147	3435	45	186.39	0.92

Table 2: Topic-wise distribution of top-5 most spoken Indian languages (according to 2011 Census of India). #L: number of unique languages, and Avg len: average length of tweets.

#### 2.3 Dataset Analysis

We make several interesting observations from the *MMT* and *MMT-LID* datasets. We list these observations below:

- Table 2 showcases that tweets for topics such as 'Environment', 'Education', and 'Economics & Retail' are significantly longer than topics such as 'Sports', 'Manufacturing', and 'Food'. The significant difference in the average lengths illustrates the diversity in the discussions; for example, agendas, news, and political topics represent lengthier conversations than match updates, movie reviews, and product launches.
- Figure 2 shows the distribution of top-5 lan-

guages (as identified by the human annotators) in the MMT-LID dataset. We observe that the majority ( $\approx$ 95%) of the English language tweets are correctly identified by Twitter. We identify that code-mixed language Hinglish is the second most frequent language in the dataset. TLID identifies the majority of the Hinglish tweets as either English or Hindi. We observe that 11.45% of tweets in MMT-LID dataset are code-mixed. This also includes tweets that mix English with other (non-Hindi) languages. Interestingly, we found 175 annotated tweets where none of the languages in the code-mixed pair were identified by TLID.

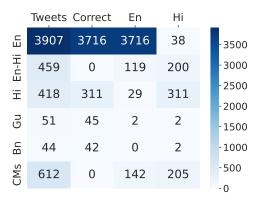


Figure 2: Distribution of language annotation by human annotators in the *MMT-LID* dataset. Here, we report the top-5 identified languages by the human annotators in the *MMT-LID* dataset. Here, Correct shows the number of tweets with correct language identification by Twitter. The column name En and Hi show the language identified by Twitter. CMs show all tweets in code-mixed languages.

# 3 Answering the Pertinent Questions

In this section, we explore the three research questions posed in Section 1.

# 3.1 *RQ1*: how do traditional topic modeling tools perform in multilingual settings?

We answer this question by exploring the traditional topic modeling algorithm LDA (Blei et al., 2003). We conduct experiments on MMT and MMT-LID datasets based on the coarse and finegrained topic categorization. For each experiment, we randomly partition the dataset into a 95:5 ratio, wherein a 95% split is used for training the LDA model and 5% for inference. We report the model's accuracy, weighted F1-score (W-F1), and coherence score (Röder et al., 2015) for each experiment.

#### 3.1.1 Inferring topics in MMT dataset

In the first experiment, we separately train the LDA model on the MMT dataset's train split with 13 topics and 63 subtopics. Each of the trained topics (and subtopics) is manually assigned to one of the 13 original topics (and 63 subtopics). In Table 3, we report the result of our experiment with the LDA topic model on the inference split of the MMT dataset.

In the second experiment, we partition the MMT dataset into two partitions based on language tags assigned by Twitter's language identification tool. The first partition comprises English tweets (1,208,225 tweets), and another partition comprises

Language	Metric	13 to	pics	63 subtopics		
Language	Metric	LDA	CTM	LDA	CTM	
	Accuracy	0.424	0.492	0.095	0.130	
All	W-F1	0.408	0.469	0.091	0.124	
	Coherence	0.534	0.629	0.542	0.636	
	Accuracy	0.443	0.521	0.102	0.144	
En	W-F1	0.399	0.478	0.089	0.128	
	Coherence	0.573	0.654	0.590	0.659	
	Accuracy	0.398	0.461	0.084	0.119	
Non-En	W-F1	0.379	0.437	0.086	0.113	
	Coherence	0.384	0.512	0.407	0.563	

Table 3: Perfomance evaluation of the topic modeling systems on the *MMT* dataset.

non-English tweets (546,920 tweets). For each partition, we follow the same steps as the first experiment (described above). The scores (see Table 3) for the English partition are better than the non-English partition. We witness a significant drop in the accuracy and coherence scores in the non-English partition. This showcases the inefficacy of LDA in handling multilingual datasets. As English tweets are present in majority in the MMT dataset, we attribute this imbalance for higher scores of English against the full MMT dataset.

# 3.1.2 Inferring topics in MMT-LID dataset

Next, we conducted two similar experiments (described in the previous section) on the MMT-LID dataset. The main motivation for conducting these experiments is to bypass the errors introduced by Twitter's language identification tool. The results (see Table 4) follow the experimental observations conducted in the previous section. In comparison to non-English multilingual datasets, LDA performs better on monolingual English datasets. We believe that the small size of the dataset led to the discrepancy in the coherence score. The small size dataset limits the number of words for the model to learn. Thereby limiting the number of coherent words in a topic cluster, making the coherence score very volatile and dataset dependent (Syed and Spruit, 2017).

# 3.2 RQ2: can we achieve better topic modeling with the cross-lingual contextual topic model (CTM)?

The pertinent problem in the traditional LDA model lies with the bag-of-word (BoW) assumption, which disregards grammar and word order and only considers the frequency of words. As a result, such topic models cannot effectively deal with unseen words in the document. Additionally, such topic models do not perform well on multilin-

Language	Metric	13 to	pics	63 subtopics		
Language	Wietric	LDA	CTM	LDA	CTM	
	Accuracy	0.395	0.488	0.090	0.141	
All	W-F1	0.363	0.434	0.082	0.129	
	Coherence	0.447	0.602	0.442	0.619	
	Accuracy	0.472	0.637	0.139	0.193	
En	W-F1	0.448	0.591	0.126	0.179	
	Coherence	0.386	0.589	0.418	0.624	
	Accuracy	0.297	0.442	0.061	0.110	
Non-En	W-F1	0.301	0.381	0.064	0.102	
	Coherence	0.546	0.667	0.553	0.676	

Table 4: Performance evaluation of the topic modeling systems on the *MMT-LID* dataset.

gual corpora without combining the vocabulary of multiple languages. To overcome these challenges, we experiment with **ZeroShotTM** (Bianchi et al., 2021), which is a cross-lingual contextual topic model supporting multilingual embeddings.

We conduct similar experiments described in Section 3.1 by replacing traditional LDA with ZeroShotTM. Tables 3 and 4 showcase the higher of ZeroShotTM (labeled as CTM) against LDA. However, the performance under the multilingual non-English partition is still significantly lower than the monolingual English partition.

# 3.3 *RQ3*: how do multilingual language identification tools perform in the multi-topical text?

Here, we explore the performance of the multilingual language identification systems on *MMT-LID* dataset. We experiment with four language identification systems as given in (Srivastava and Singh, 2021), i.e., Polyglot, FastText, Langdetect, and CLD3.

In addition, we report the performance of the TLID. We use the language tags assigned by the human annotators as a reference for evaluation. To report the system performance, we use two evaluation metrics, i.e., accuracy and weighted F1 score. Table 5 shows the results of multilingual language identification systems on the *MMT-LID* dataset. We observe that all the systems perform extremely well on the English dataset. We observe a drop in sys-

Language	Metric	TW	PG	FT	LD	CLD3
All	Accuracy	0.816	0.812	0.820	0.797	0.721
	W-F1	0.795	0.777	0.780	0.781	0.755
En	Accuracy	0.945	0.973	0.983	0.957	0.856
EII	W-F1	0.972	0.986	0.991	0.978	0.922
Non-En	Accuracy	0.462	0.372	0.379	0.360	0.352
	W-F1	0.392	0.362	0.349	0.352	0.348

Table 5: TW: Twitter, PG: Polyglot, FT: FastText, LD: Langdetect and CLD3: Compact Language Detector v3.

tem performance with the entire *MMT-LID* dataset. Also, with only non-English data, all the systems show extremely poor results. These results indicate that multilingual language identification tools perform poorly in real-world settings where data from multiple languages and topics co-exist.

# 4 Limitations and Future Works

We collected the dataset from Twitter without language-specific constraints to reflect the realworld distribution of languages. This means that English, as a primary language, is over-represented in the dataset, while under-spoken languages such as Assamese are under-represented due to their limited use on the platform. This difference in distribution presents a challenge for building a robust multilingual system that performs well for such underrepresented languages. To overcome this, data augmentation techniques such as paraphrasing and oversampling, as well as transfer learning methods, can be utilized. These techniques can help balance the representation of languages in the dataset and further improve the performance of the multilingual system.

# 5 Concluding Remarks

In this paper, we present a multilingual and multitopic dataset collected from Twitter for the Indian community spanning various Indian languages, including but not limited to the popular codemixed languages. This could prove useful for further understanding and exploring the natural phenomenon of the co-existence of multilingual and multi-topical data. We also showcased several issues in topic modeling the multilingual dataset using traditional algorithms like LDA. We believe that the availability of such a large-scale and quality dataset will be useful in building systems for numerous downstream tasks such as multilingual topic modeling, language identification, machine translation, etc.

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# Assessing Cross-Cultural Alignment between ChatGPT and Human Societies: An Empirical Study

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#### **Abstract**

The recent release of ChatGPT has garnered widespread recognition for its exceptional ability to generate human-like responses in dialogue. Given its usage by users from various nations and its training on a vast multilingual corpus that incorporates diverse cultural and societal norms, it is crucial to evaluate its effectiveness in cultural adaptation. In this paper, we investigate the underlying cultural background of ChatGPT by analyzing its responses to questions designed to quantify human cultural differences. Our findings suggest that, when prompted with American context, Chat-GPT exhibits a strong alignment with American culture, but it adapts less effectively to other cultural contexts. Furthermore, by using different prompts to probe the model, we show that English prompts reduce the variance in model responses, flattening out cultural differences and biasing them towards American culture. This study provides valuable insights into the cultural implications of ChatGPT and highlights the necessity of greater diversity and cultural awareness in language technologies.

#### 1 Introduction

The release of ChatGPT by OpenAI¹ in 2022 has sparked considerable attention and generated extensive discourse within both academic and industry spheres (Lund and Wang, 2023; Thorp, 2023; Jiao et al., 2023). After extensive training as the large language model GPT-3 (Brown et al., 2020), the official press release² reports that ChatGPT has undergone fine-tuning through reinforcement learning with human feedback (RLHF; Christiano et al., 2017), resulting in its acquisition of unprecedented language and reasoning abilities and knowledge coverage. Alongside its impressive proficiency in broad tasks (Bang et al., 2023; Cabello et al., 2023), such as code generation, summarization,



Figure 1: The pipeline of our proposed probing framework and an example of distinct answers of ChatGPT by raising the same question in English and Chinese.

and question answering, recent research has begun exploring ChatGPT's concordance with human capabilities, encompassing language proficiency (Qin et al., 2023), fact-checking (Kasneci et al., 2023), and knowledge grounding (Gilson et al., 2022).

ChatGPT, as a prominent dialogue agent, has been trained on a vast multilingual corpus that inherently embeds biases and cultural nuances (Alshater, 2022; McGee, 2023). This necessitates a critical evaluation of the cultural values alignment between ChatGPT and human society or deviates from them. Although the biases of pretrained language models (PLMs) have been extensively studied (de Vassimon Manela et al., 2021; Steed et al., 2022; Li et al., 2022), finding a consistent methodology for measuring their cultural alignment remains an open research question. In this paper, we propose a novel framework that leverages a wellknown social survey, i.e. Hofstede Culture Survey (Hofstede, 1984), to probe the culture alignment between ChatGPT and human societies. By examining various cultural norms and values present in different nations, we gain a deeper understanding of the challenges and limitations associated with accurately representing cultural differences in Chat-GPT, as shown at the bottom of Figure 1.

https://chat.openai.com/chat
https://openai.com/blog/chatgpt

Culture	Prompt 1	Prompt 2
America (US)	For an average American, [Question #] is [Options].	_
China (CN)	For an average Chinese, [Question #] is [Options].	对普通中国人来说,[问题 #] 是 [选项]。
Germany (DE)	For an average German, [Question #] is [Options].	[Frage] ist [Option] für den durchschnittlichen Deutschen.
Japan (JP)	For an average Japanese, [Question #] is [Options].	平均的な日本人の合、【#】は【肢】です。
Spain (ES)	For an average Spanish, [Question #] is [Options].	Para el español promedio, [Pregunta #] es [Opciones].

Table 1: Prompt templates, consisting of *Prompt 1*: an English prompt that includes a nationality hint, and *Prompt 2*: the respective language for the given nationality with a hint. Also, to validate consistency, we replace the prefix of *Prompt 1* (i.e. "For an average #country-person" with "In the #country culture setting", denote as *Prompt 3*.

Specifically, following existing research (Mora, 2013; Tomlinson et al., 2014; Hershcovich et al., 2022), we define culture as the combination of human beliefs, norms, and customs among groups. Previous work in natural language processing (NLP) has primarily focused on cultural investigation of models (Hutchinson et al., 2020; Ross et al., 2021; Ma et al., 2022), with little emphasis on dialogue agents. Besides, probing is a popular way to study the characteristics of models or agents (Hämmerl et al., 2022; Arora et al., 2022; Johnson et al., 2022). Thus, as illustrated at the top of Figure 1, we adopt a probing technique to investigate the cultural responses of ChatGPT by utilizing culture-related questions from Hofstede Culture Survey. We then measure the correlation of the model's responses with those of human societies on the selected questions. Experimental results reveal that ChatGPT displays greater alignment with American culture but is less effective in adapting to other cultures. Moreover, our analysis shows that English prompts reduce the variance in model responses, flattening out cultural differences and biasing them towards American culture.

# 2 Related Work

Cultural Differences in NLP. Culture can be defined as the pattern of thinking, feeling and reacting, distinguishing human groups (Kluckhohn and Mowrer, 1944; Shweder et al., 2007). Hershcovich et al. (2022) propose four dimensions of culture relevant for NLP, including linguistic form and style, common ground, aboutness, and values. Ma et al. (2022) construct a cultural background prediction benchmark, focusing on different expressions across countries. Liu et al. (2021) propose an ImageNet-style benchmark to evaluate visual reasoning across different cultures. Recent studies (Hutchinson et al., 2020; Ross et al., 2021; Søgaard, 2022) emphasize the impact of social bias in training data on NLP models, claiming they widen existing inequality gaps—also across cultures.

**Values in PLMs.** Several works use moral value surveys to probe multilingual PLMs. Arora et al. (2022) pose the World Values Survey (Haerpfer et al., 2022) and the Hofstede Cultural Survey (Hofstede, 1984) as cloze-style questions, and Hämmerl et al. (2022) use the MORALDIRECTIONS framework (Schramowski et al., 2022) to probe multilingual PLMs on the Moral Foundations Questionnaire (MFQ; Graham et al., 2011). They find differences in moral biases, that, however, do not correlate with human responses. Talat et al. (2022) claim that the Delphi language model (Jiang et al., 2021), designed for moral prediction, necessarily has an inconsistent model of normative ethics. However, Fraser et al. (2022) use the MFQ and show it has a consistent ethical framework that mirrors its training data. Johnson et al. (2022) find GPT-3 is biased towards American culture by probing it on valueloaded topics. In contrast, we probe the dialogue model ChatGPT with the Hofstede Cultural Survey.

#### 3 Method

In this section, we elaborate on the survey,<sup>3</sup> our probing prompts, and interaction strategy below.

# 3.1 Hofstede Culture Survey

Cultural dimensions. Our probing corpus is the Hofstede Cultural Survey (Hofstede, 1984), which is one of the most commonly used cross-cultural analysis tools (see Table 2). To measure cultural distinction, we utilize the six cultural dimensions provided by this survey, namely Power Distance (pdi), Individualism (idv), Uncertainty Avoidance (uai), Masculinity (mas), Long-term Orientation (lto), and Indulgence (ivr). Following the survey's methodology, each cultural dimension metric is calculated using a combination of 4 out of 24 questions. We denote  $S_i$  as the i-th score out of 6 dimensions and  $Q_i$  as the chosen 4 questions related to  $S_i$ , then  $S_i$  is calculated by following:

<sup>&</sup>lt;sup>3</sup>Please refer to Appendix A.1 and A.5 for the details of this survey and our usage of it in the experiments.

$$S_i = \lambda_i^0 (\mathcal{Q}_i^0 - \mathcal{Q}_i^1) + \lambda_i^1 (\mathcal{Q}_i^2 - \mathcal{Q}_i^3) + \mathcal{C}_i \quad (1)$$

where  $\lambda_i$  is the hyper-parameter and  $C_i$  is a constant. Parameter settings are listed in Appendix A.2.

**Probing prompts.** Our processing steps are: (1) re-organizing and (2) prompting. First, since Hofstede Cultural Survey is for individuals, we modify the questions from 2nd person (i.e. *you / your*) to 3rd person to avoid attributing ChatGPT an identity. Second, to easily obtain explicit answers through interaction with ChatGPT, we provide questions and options without any modification from the survey. Lastly, to designate the target culture, we further add cultural prompts like "For an average [country-person]" as a prefix to each question.

As reported for InstructGPT (Ouyang et al.), which shares the underlying LM with ChatGPT (Winata et al., 2021), 96% of the training corpus is in English. Moreover, as observed by Johnson et al. (2022), models are much more aligned with American values than others. We therefore design three kinds of prompts to investigate whether prompting language affects cultural distinctions: two are English prompts, and the other in the corresponding target language, as Table 1 shows.

Language selection. We choose five common languages as representative samples for the Hofstede Culture Survey, as shown in Table 1. Except for English, each language is the main official language of its respective country, allowing us to correlate our analysis with survey findings. Additionally, since English is the official language in the United States, which has the largest English-speaking population (Bureau., 2020), we use English examples as a proxy to represent American culture.

# 3.2 Interaction Strategy

We introduce a novel multi-turn interaction approach that addresses the issues of consistency and external knowledge injection in ChatGPT. The proposed approach includes three distinct strategies: (1) valid knowledge injection, wherein human experience is manually injected into each question to augment the model's response, (2) ineffective knowledge injection, whereby meaningless information is fed to test ChatGPT's performance variability, and (3) anti-factual knowledge injection, which entails providing false or erroneous information to gauge ChatGPT's consistency in handling divergent human society values.

Order	Question	Labels
Q1	have sufficient time for your	(1) of utmost importance
ŲI	personal or home life	(2) very important
Q2	have a boss (direct superior)	(3) of moderate importance
	you can respect	(4) of little importance
O2	get recognition for good	(5) of very little or
Q3	performance	no importance

Table 2: Three example English questions as presented to Americans in the Hofstede Culture Survey. Examples for other countries are listed in Appendix A.1.

Prompt	US	CN	DE	JP	ES
1 & 3	79.17	58.33	70.83	70.83	70.83
1 & 2	_	79.17	75.00	41.67	58.33
3 & 2	_	66.67	75.00	37.50	62.50

Table 3: Consistency evaluation on our prompts with values representing the proportion of the same scores for different questions, validating that ChatGPT is consistent for English questions. *Prompt 1* and 3 are in English while 2 is in language of its respective country.

## 4 Experiments

**Experiment set.** We use three prompts consisting of 24 re-organized questions in five languages sourced from the Hofstede Culture Survey. To avoid a meaningless response from ChatGPT, we engage in repeated interactions until an explicit answer is obtained and append the suffix "(*Please select from the given choices*)" to facilitate the selection of an appropriate response option.

**Evaluation.** By utilizing Equation 1, we calculate the cultural scores for the six dimensions based on the precise scores for each question (as displayed in Appendix A.6). Further, we utilize the Spearman correlation coefficient (Spearman, 1961) to assess the alignment between the cultural responses of ChatGPT and human societies.

#### 4.1 Consistency Evaluation

Before comparing the model outputs to human survey responses, it is important to verify that the model is consistent when asked the same question in different ways. Therefore, we first evaluate the consistency of responses across prompts for the same question. Following Elazar et al. (2021); Fierro and Søgaard (2022), we define consistency as percentage of consistent predictions of all the pairs with the same cultural context and targeted value. We consider predictions consistent when they have the same score on the response scale, regardless of textual similarity of the whole response.

Met	Prompt 1				Prompt 2					Prompt 3					
IVICE	US	CN	DE	JP	ES	US	CN	DE	JP	ES	US	CN	DE	JP	ES
pdi	17.5	37.5	17.5	-2.5	-42.5	_	90.0	12.5	92.5	25.0	37.5	-37.5	-25.0	42.5	-12.5
idv	35.0	52.5	0.0	0.0	0.0	_	-17.5	-17.5	-17.5	35.0	35.0	-35.0	52.5	17.5	17.5
uai	35.0	0.0	70.0	0.0	17.5	_	17.5	-17.5	-35.0	35.0	35.0	-35.0	0.0	17.5	-52.5
mas	-40.0	-7.5	-60.0	-35.0	-80.0	_	-47.5	-47.5	42.5	-20.0	5.0	-27.5	-40.0	15.0	-52.5
lto	-60.0	-40.0	-12.5	12.5	-20.0	_	20.0	25.0	22.5	-15.0	-12.5	40.0	-27.5	15.0	-92.5
ivr	75.0	60.0	75.0	-15.0	42.5	_	-20.0	-40.0	0.0	55.0	55.0	-30.0	35.0	5.0	90.0

Table 4: The six cultural dimension scores of ChatGPT in multiple cultures using Hofstede Culture Survey, with *Met* denoting the metrics of culture. Negative scores in some cultures arise from  $C_i$  being assigned a zero value.

Cul	Prompt 1	Prompt 2	Prompt 3	Prompt 1&2
US	0.70/0.12	—/—	0.41/0.42	—/—
CN	-0.77/0.07	0.54/0.27	0.32/0.54	-0.20/0.70
DE	-0.66/0.16	0.20/0.70	-0.14/0.79	-0.03/0.96
JP	-0.06/0.91	0.14/0.79	0.12/0.82	-0.41/0.42
ES	0.26/0.62	0.32/0.54	-0.06/0.91	0.93/0.01

Table 5: Spearman's correlation coefficient and associated p-values of different prompts (coefficient/p-value). The strongest correlation is with American culture. Positive correlations are shown in the second column.

We compare consistency between the two English prompts and also between English and each of the other prompting languages.

As shown in Table 3, probing with English prompts is consistent (over 70%) except for the Chinese culture, as depicted in the first row of the table. Furthermore, Chinese and German cultures exhibit higher consistency compared to Japanese and Spanish when probed in their respective languages (see the second and third rows in Table 3).

## 4.2 Main Results

Cultural alignment. Table 4 shows the cultural metric scores of ChatGPT, revealing significant differences among cultures. Based on the Spearman scores in Table 5, it can be inferred that American culture demonstrates the best alignment across various prompts, and most cultures achieve better alignment when utilizing the corresponding language for probing. Furthermore, our results are consistent with Sullivan and Feinn (2012); Arora et al. (2022), which indicates that obtaining significant scores with alignment metrics can be challenging. Further, we plot the distribution of six cultural dimension scores in Figure 2, which makes it intuitive to observe the marked contrast between the alignment achieved by probing with English and Chinese.

**Interaction strategy.** Following the multi-turn interaction in \$3.2, we observed that the responses of ChatGPT can be greatly impacted by the type of

Strategy	Context-type	Score
Original	_	1.5
Knowledge	Correct cultural description	2.5
Ineffective	Non relevant content	3.5
Anti-Factual	Incorrect cultural description	1.0

Table 6: The response scores of our proposed interaction strategies with ChatGPT. Appendix A.4 shows the interaction process in details.

knowledge being injected. Here, we probe the question: "For an average Chinese, doing work that is interesting is (1) of utmost importance; (2) very important; (3) of moderate importance; (4) of little importance; (5) of very little or no importance?" and the results are shown in Table 6. ChatGPT can swiftly adjust its alignment with societal culture when interacting with correct human knowledge. Besides, ChatGPT is capable of distinguishing between valid and ineffective knowledge, as shown by its ability to maintain its own opinions when presented with ineffective knowledge. However, ChatGPT tends to accept the injected anti-factual knowledge and pays less attention to its own historical knowledge.

Case study. We conduct the case study by posing the question: "the significance of having time for personal life" for Japanese culture, and results show that the significance of this question can range from "utmost important" in English prompt to "moderate important" in Japanese prompt. This trend is observed across other cultures as well, as presented in Table 7. Thus, it is evident that the responses generated by ChatGPT can differ significantly when asked the same question in different languages. The availability of a large-scale English training corpus can impact the cultural adaptation of ChatGPT. Our further analyses are presented in Appendix A.3 and every single interaction result are all listed in Appendix A.5.

$Q_i$	Question	Answer	Score
1	For an average Japanese, having sufficient time for personal or home life is (1)of utmost importance (2) very important (3) of moderate importance (4) of little importance (5)of very little or no importance.	[]Japanese culture places a strong emphasis on maintaining a balance between work and personal life, and there is a cultural concept known as "work-life balance" or "ikigai" that is deeply ingrained in Japanese society. []Therefore, having sufficient time for personal or home life is considered a top priority for many Japanese people.	1.0
	日本人にとって、,自分のための、家族とこすが十分にあることはい(1)最先的に重する(2)非常に重する(3)重する(4)あまり重しない(5)殆ど/全く重しない(5つの肢から最も切なものをしてください)	日本人にとって、自分のためのと家族とごすは、どちらも重要であるとされていますが、家族とのを重する向がいです。そのため、自分のを取ることも大切であるとされていますが、家族とのを先することが多いです。したがって、日本人にとって自分のためのと家族とごすが十分にあることは「(3)重する」と言えます。	3.0
6	For an average Chinese, doing work that is interesting is (1) of utmost importance; (2) very important; (3) of moderate importance; (4) of little importance; (5) of very little or no importance?	For an average Chinese, doing work that is interesting is (1) of utmost importance.	1.0
	对中国人来说,有趣的工作内容是 (1) 最重要(2) 很重要(3) 一般重 要(4) 不太重要(5) 不重要或完全 不重要的	在中国文化中,有趣的工作内容并不是最重要的价值 观之一。相对而言,工作内容的挑战性、对个人能力 的提升以及对家庭和社会的贡献更加重要。[] 总体 来说,它可能被视为"一般重要"或"较重要"的因素。	3.0

Table 7: Case Study: Responses and scores comparison obtained by two proposed prompts in Japanese and Chinese cultures of ChatGPT, validating that English prompts reduce the variance in model responses and flat out cultural differences and biasing them towards American culture.

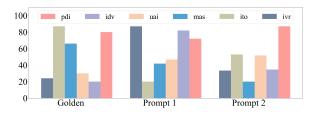


Figure 2: Hofstede survey results in Chinese culture of golden human evaluation and ChatGPT for six dimension metrics. To improve clarity, we aligned scores range of ChatGPT with human golden scores. Other cultural results are shown in Appendix A.3.

#### 5 Conclusions

Based on our designed probing pipeline and prompts towards Hofstede Culture Survey within five cultures, we have assessed the cultural alignment and consistency of results generated by Chat-GPT as a representative dialogue agent. Our analysis reveals that ChatGPT can be better aligned with American culture, likely due to the abundance of English training corpus. However, we have also identified a significant gap in cultural adaptation between ChatGPT and human society in our investigated questions. Moving forward, future work in cultural alignment could focus on promoting cultural response consistency, enhancing cultural generalization and cultural adaptation.

#### 6 Limitations

Despite our attempts to probe ChatGPT as a representative dialogue agent, there are still several limitations to our approach. Firstly, as ChatGPT utilize the same framework as InstructGPT albeit with a distinct training corpus, we are unable to ensure whether the survey we utilize is incorporated within the training data. Secondly, our analysis rests on the presupposition that language accurately signifies culture, although this notion is not entirely congruous, particularly in cases where multiple official languages exist, such as in the United States.

Nevertheless, it is still a valuable work of our research, as we employ diverse prompts to study potential cultural-related biases. Moreover, our study represents a pioneering effort to investigate the cultural adaptability of dialogue agents not exclusively on pre-trained language models.

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# A Appendix

#### A.1 Survey Questions

The Hofstede Value Survey is a questionnaire that aims to evaluate an individual's cultural values and beliefs through 24 questions measuring six cultural dimensions. To provide a clearer understanding, Table 8 presents three sample questions and their corresponding answer choices in various cultures. The original surveys and their translated versions are publicly accessible <sup>4</sup>.

Order	Question	Labels				
	China					
Q1	为个人生活或家庭生活留有	(1) 最重要				
Q1	充足的时间	(2) 很重要				
Q2	一个让您尊敬的老板	(3) 一般重要				
Q2	(直接上司)	(4) 不太重要				
Q3	表现优良时给予认可	(5) 不重要或完全不重要				
	German					
	genügend Zeit für sich	(1) von höchster Wichtigkeit				
Q1	persönlich oder für Ihr	(2) sehr wichtig				
	Privatleben zu haben	(3) ziemlich wichtig				
	eine/n direkte/n Vorgesetzte/n	(4) weniger wichtig				
Q2	zu haben, die/den Sie	(5) gering wichtig				
	respektieren können	oder unwichtig				
Q3	Anerkennung für gute					
Q3	Arbeitsleistungen zu erhalten					
	Japan					
Q1	自分のための時間、家族と過	(1) 最優先的に重視する				
Q1	が十分にあることす時間ご	(2) 非常に重視する				
Q2	尊敬する直属の上司が	(3) 重視する				
Q2	いること	(4) あまり重視しない				
02	仕事の出来次第で評価され	(5) 殆ど/全く重視しない				
Q3	ること					
	Spain					
Q1	Tener suficiente tiempo	(1) de extrema importancia				
Q1	personal o tiempo familiar	(2) muy importante				
02	Tener un jefe (superior	(3) de moderada importancia				
Q2	inmediato) al cual respeta	(4) de poca importancia				
02	Ser reconocido	(5) de muy poca o nada				
Q3	por su desempeño	de importancia				

Table 8: Hofstede Culture Survey in Multiple Countries: China, German, Japan, and Spain, with presentation of three sample questions as an illustration.

#### A.2 Parameter Setting

In this section, we present the coefficients used in our experiment as per Equation 1. Following the definition of Hofstede Culture Survey, the parameters we used are provided in Table 9.

<sup>4</sup> Su	rvey:	https://geertho	ofstede.co	om/				
resea	rch-and-	vsm/vsm-2013/.	Human	so-				
ciety	results:	https://geertho	ofstede.co	om/				
research-and-vsm/dimension-data-matrix/								

Dimension	Coefficient $\lambda_i$	Questions $Q_i$
pdi	35, 25	7, 2, 20,23
idv	35, 35	4, 1, 9, 6
mas	35, 35	5, 3, 8, 10
uai	40, 25	18, 15, 21, 24
lto	40, 25	13, 14, 19, 22
ivr	35, 40	12, 11, 17, 16

Table 9: The hyper-parameter setting of six cultural dimension metrics in the Hofstede Culture Survey. In our experiment, we set  $C_i$  as zero value.

## A.3 More Case Analysis

Cultural alignment analysis The cultural alignment analysis was carried out by comparing Chat-GPT's alignment with human societies in other countries (i.e. Germany, Japanese and Spanish), except Chinese as illustrated in Figure 2. Figure 3 depicts the cultural alignment of English questions, which was slightly worse than that of the corresponding language, except for Spanish.

## A.4 Interaction Strategy Analysis

For interactive strategy analysis, we selected a question under Chinese culture. Firstly, we input the question to obtain a basic answer and score, following which we interacted using the three multi-turn interaction strategies (i.e. *Knowledge, Ineffective Knowledge, Anti-Factual Knowledge.*) proposed in section \$ 3.2. We present our basic cases, interaction responses, and scores below. To enhance readability, we have highlighted the key content of responses in green and marked the score in yellow. *Question*: "For an average Chinese, doing work that is interesting is (1) of utmost importance; (2) very important; (3) of moderate importance; (4) of little importance; (5) of very little or no importance?"

Response: "For an average Chinese, doing work that is interesting is likely to be considered "very important" or "of utmost importance". Chinese culture places a high value on education, knowledge, and personal development, and individuals are encouraged to pursue careers that align with their interests and skills. Additionally, in recent years, there has been a growing emphasis on work-life balance in China, and many people prioritize jobs that offer fulfillment and opportunities for personal growth. Therefore, an average Chinese person is likely to value doing work that is engaging, challenging, and meaningful. However, it is important

to note that individual experiences and priorities may vary."

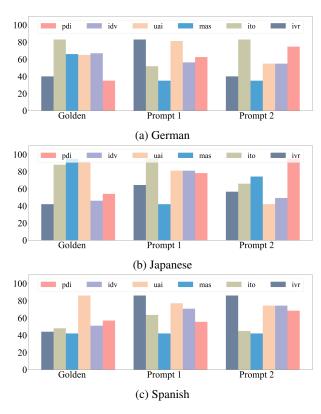


Figure 3: More Case Analysis of the Hofstede survey results from both golden human evaluation and Chat-GPT from our proposed prompts. To enhance clarity, we have aligned the score range of our proposed two prompt methods with human golden scores.

# Scores: 1.5.

#### A.4.1 Knowledge

Query: "No, you are wrong. For Chinese perple, interesting work content is not one of the most important values. Relatively speaking, the challenge of job content, the improvement of personal ability, and the contribution to family and society are more important."

**Response:** "Based on the updated information you provided, for an average Chinese, doing work that is interesting is likely to be considered "important" or "moderately important". While personal fulfillment and interesting work content are valued, factors such as job challenge, personal development, and contribution to family and society are also considered important. Therefore, an average Chinese person is likely to value a balance of these factors in their work, rather than placing sole emphasis on work that is interesting. However, it's important to remember that individual values and

priorities can vary and that this answer is based on a generalization."

Scores: 2.5.

# A.4.2 Ineffective Knowledge

Query: "But one of my Chinese friends think doing work that is interesting is of very little or no importance actually. Do you agree with that?"

**Response:** "Based on the updated information you provided, and acknowledging the variation in individual perspectives, for an average Chinese, doing work that is interesting is likely to be considered "moderately important" or "of little importance". While some Chinese people may place a high value on fulfilling work, others may prioritize factors such as stability, financial security, job challenge, personal development, and contribution to family and society over interesting work content. Therefore, while interesting work content is not necessarily a low priority for an average Chinese person, it may not be the most important factor for everyone."

Scores: 3.5.

# A.4.3 Anti-Factual Knowledge

Query: "No, you are totally wrong, for an average Chinese, doing work that is interesting is of utmost importance, nobody think doing work is moderate importance or little importance."

Response: "Based on cultural values and societal norms in China, doing work that is interesting is generally considered (1) of utmost importance for an average Chinese. In Chinese culture, personal fulfillment, enjoyment, and satisfaction are highly valued, and this is reflected in the importance placed on finding work that is interesting and fulfilling. Additionally, younger generations in China place a high priority on work-life balance and job satisfaction, which further reinforces the importance of finding work that is engaging and enjoyable."

Scores: 1.0.

#### A.5 Responses for Each Question

Within this section, we present all the responses generated by ChatGPT for prompt 1 of the Hofstede Value Survey within the context of American culture. It is important to note that we organize the 24 questions based on the calculation order for the six cultural metrics. The whole results of multi-cultural response will public available here<sup>5</sup>.

<sup>&</sup>lt;sup>5</sup>All our evaluation results are released here: https:// github.com/yongcaoplus/ProbingChatGPT.

#### A.5.1 Power distance

**Question 2:** "For an average American, having a boss (direct superior) you can respect is (1) of utmost importance; (2) very important; (3) of moderate importance; (4) of little importance; (5) of very little or no importance?"

Response: "Based on various surveys and studies conducted in the United States, having a boss (direct superior) that you can respect is considered to be (2) very important to the average American. A good boss is seen as someone who can provide guidance, support, and feedback, while also treating employees fairly and respectfully. When employees have a boss they respect, they tend to have higher levels of job satisfaction, engagement, and commitment to the organization. On the other hand, when employees have a boss they don't respect, it can lead to negative outcomes such as low morale, decreased motivation, and higher turnover rates. The importance of having a respected boss can vary depending on individual preferences, job level, and organizational culture."

#### Scores: 2.0.

**Question 7**: "For an average American, being consulted by your boss in decisions involving their work is (1) of utmost importance; (2) very important; (3) of moderate importance; (4) of little importance; (5) of very little or no importance?"

Response: "Based on data from surveys and studies, it's difficult to provide a definitive answer to this question since the importance of being consulted by one's boss likely varies from person to person. However, in general, it can be said that many workers in the United States place a high value on having input and being involved in decisions that affect their work. Being consulted by one's boss can help foster a sense of autonomy and ownership over one's work, which can lead to greater job satisfaction and motivation. Therefore, it's likely that many American workers would rate being consulted by their boss as at least "very important" or "of moderate importance.""

# Scores: 2.5.

**Question 20**: "For an average American, how often are subordinates afraid to contradict their boss in their experience (or students their teacher)? (1) never (2) seldom (3) sometimes (4) usually (5) always."

Response: "Based on various surveys conducted in the United States, the most common response from the average American when asked about how often subordinates are afraid to contradict their boss (or students their teacher) is (2) seldom. While some individuals may feel intimidated or hesitant to speak up in certain situations, most people do not experience this dynamic as a frequent or pervasive issue. However, the degree to which subordinates may feel comfortable disagreeing with their superiors can depend on various factors, such as the organizational culture, power dynamics, and communication styles of the individuals involved. Additionally, the perception of this issue can vary depending on the perspective of the person being asked."

## Scores: 2.0.

Question 23: "For an average American, their attitude towards to "An organization structure in which certain subordinates have two bosses should be avoided at all cos" is (1) strongly agree (2) agree (3) undecided (4) disagree (5) strongly disagree." **Response:** "Based on various surveys conducted in the United States, the most common attitude of the average American towards the statement "An organization structure in which certain subordinates have two bosses should be avoided at all costs" is (2) agree. Many Americans believe that having two bosses can create confusion, conflict, and inefficiency in the workplace, as subordinates may receive conflicting directions or priorities from different managers. However, some Americans may acknowledge that in certain situations, such as matrix organizations or cross-functional teams, having two bosses can be necessary and even beneficial for achieving certain goals. The distribution of responses can also vary depending on factors such as industry, organizational culture, and individual experiences."

#### Scores: 2.0.

#### A.5.2 Individualism

**Question 1:** "For an average American, having sufficient time for your personal or home life is (1) of utmost importance; (2) very important; (3) of moderate importance; (4) of little importance; (5) of very little or no importance?"

Response: "Based on various surveys conducted in the United States, having sufficient time for personal or home life is generally considered to be (2) very important for the average American. Worklife balance is becoming an increasingly important issue for many people, and many are looking for ways to prioritize their personal and family time in order to maintain their overall well-being and quality of life."

#### Scores: 2.0.

**Question 4**: "For an average American, having security of employment is (1) of utmost importance; (2) very important; (3) of moderate importance; (4) of little importance; (5) of very little or no importance?"

Response: "Having security of employment is generally considered to be (2) very important to the average American. Job security can provide a sense of stability, reduce financial stress, and increase overall job satisfaction. It also allows employees to plan for their future, make long-term investments, and provide for their families. In the United States, job security has become increasingly important in recent years due to economic uncertainty, job automation, and other factors that can affect job stability. The importance of job security can vary depending on individual circumstances, such as age, family situation, and personal financial situation, as well as industry and occupation."

#### Scores: 2.0.

**Question 6**: "For an average American, doing work that is interesting is (1) of utmost importance; (2) very important; (3) of moderate importance; (4) of little importance; (5) of very little or no importance?"

Response: "According to various surveys and studies, doing work that is interesting is typically considered to be of high importance for the average American worker. However, the specific ranking may vary depending on the individual's personal and professional goals and values. Based on the typical ranking, the answer would be:(1) of utmost importance."

#### Scores: 1.0.

**Question 9**: "For an average American, having a job respected by their family and friends is (1) of utmost importance; (2) very important; (3) of moderate importance; (4) of little importance; (5) of very little or no importance?"

Response: "Based on cultural values in the US, having a job respected by family and friends is likely to be seen as (2) very important by the average American. The US culture places a high value on individual achievement and success, and a job that is respected by others can be seen as a symbol of that success."

Scores: 2.0.

## A.5.3 Uncertainty Avoidance

**Question 3**: "For an average American, getting recognition for good performance is (1) of utmost importance; (2) very important; (3) of moderate importance; (4) of little importance; (5) of very little or no importance?"

Response: "Based on various surveys and studies conducted in the United States, getting recognition for good performance is considered to be (2) very important to the average American. Recognition can come in various forms, such as praise from a manager, a bonus, a promotion, or other forms of reward and appreciation. When employees receive recognition for their good performance, they tend to feel more valued and motivated, which can lead to increased job satisfaction and higher levels of engagement and productivity. On the other hand, when employees do not receive recognition for their good performance, it can lead to feelings of demotivation and frustration, which can negatively impact their job satisfaction and performance. The importance of recognition can vary depending on individual preferences, job level, and organizational culture."

## Scores: 2.0.

**Question 5**: "For an average American, having pleasant people to work with is (1) of utmost importance; (2) very important; (3) of moderate importance; (4) of little importance; (5) of very little or no importance?"

Response: "Having pleasant people to work with is generally considered to be (2) very important to the average American. Americans tend to place a high value on positive workplace relationships and believe that a supportive and friendly work environment can improve morale, productivity, and overall job satisfaction. Working with unpleasant colleagues can cause stress and affect job performance, so many Americans prioritize having good working relationships with their coworkers. This is especially important considering the amount of time Americans spend at work and the impact it can have on their personal lives."

#### Scores: 2.0.

**Question 8**: "For an average American, living in a desirable area is (1) of utmost importance; (2) very important; (3) of moderate importance; (4) of little importance; (5) of very little or no importance?"

**Response:** "Based on various studies and surveys, it seems that for the average American, living in a desirable area is generally considered to be of at

least moderate importance. Many Americans place value on factors such as safety, quality schools, access to amenities and services, and proximity to family and friends when considering where to live. However, the specific ranking of importance may vary depending on individual preferences and circumstances."

# Scores: 3.0.

**Question 10**: "For an average American, having chances for promotion is (1) of utmost importance; (2) very important; (3) of moderate importance; (4) of little importance; (5) of very little or no importance?"

Response: "Based on various surveys and studies, it seems that having chances for promotion is generally considered to be very important to the average American. Many people view career advancement as a key aspect of job satisfaction and fulfillment, and they often seek out opportunities to take on greater responsibilities and earn higher salaries. Therefore, I would say that the answer to this question is (2) very important."

#### Scores: 2.0.

# A.5.4 Masculinity

**Question 15**: "For an average American, how often do they feel nervous or tense? (1) always (2) usually (3) sometimes (4)seldom (5) never"

Response: "The frequency with which the average American feels nervous or tense can vary depending on the individual and their circumstances, but based on various surveys conducted in the United States, the most common response is (3) sometimes. It's not uncommon for people to experience stress, anxiety, or tension in their daily lives, but for many people, these feelings are not constant or severe enough to be considered "always" or "usually." However, if an individual is experiencing frequent or persistent feelings of nervousness or tension, it may be worth seeking support from a healthcare professional or mental health provider."

#### Scores: 3.0.

**Question 18**: "For an average American, how would they describe their state of health these days (1) very good (2) good (3) fair (4) poor (5) very poor."

Response: "Based on various surveys conducted in the United States, the most common response from the average American when asked about their state of health these days is (2) good. However, the distribution of responses can vary depending on the age, gender, and socioeconomic status of the individuals surveyed. It's worth noting that self-reported health status can be influenced by a variety of factors, including personal beliefs, prior experiences with illness or injury, and access to healthcare. Therefore, while self-reported health status can provide some insight into how individuals perceive their overall well-being, it should be interpreted with caution and in the context of other health indicators."

# Scores: 2.0.

Question 21: "For an average American, their attitude towards to "one can be a good manager without having a precise answer to every question that a subordinate may raise about his or her work" is (1) strongly agree (2) agree (3) undecided (4) disagree (5) strongly disagree."

Response: "Based on various surveys conducted in the United States, the most common attitude of the average American towards the statement "one can be a good manager without having a precise answer to every question that a subordinate may raise about his or her work" is (2) agree. Many Americans value the ability of a manager to provide guidance, support, and resources to their subordinates, even if they don't have all the answers to every question or problem that may arise. Effective managers are often seen as those who can facilitate collaboration and creativity among their team members, and provide a supportive work environment that allows individuals to thrive and achieve their goals. However, the distribution of responses can vary depending on the industry, organizational culture, and individual perspectives of the people being surveyed."

# Scores: 2.0.

Question 24: "For an average American, their attitude towards to "A company's or organization's rules should not be broken - not even when the employee thinks breaking the rule would be in the organization's best interest" is (1) strongly agree (2) agree (3) undecided (4) disagree (5) strongly disagree."

Response: "Based on various surveys conducted in the United States, the most common attitude of the average American towards the statement "A company's or organization's rules should not be broken - not even when the employee thinks breaking the rule would be in the organization's best interest" is (2) agree. Many Americans believe that rules and policies are important for maintaining order, consistency, and fairness in the workplace, and that breaking them can have negative consequences for both the individual and the organization as a whole. However, some Americans may also acknowledge that there may be situations where a rule should be broken if it would result in a greater benefit for the organization and its stakeholders. The distribution of responses can also vary depending on factors such as job level, industry, and organizational culture."

#### Scores: 2.0.

## A.5.5 Long-term Orientation

**Question 13**: "For an average American, doing a service to a friend is (1) of utmost importance; (2) very important; (3) of moderate importance; (4) of little importance; (5) of very little or no importance?"

Response: "It's difficult to make a generalization for all Americans, as individual values and priorities can vary greatly. However, many Americans place a high value on helping others and being there for friends and family, so it's possible that for some, doing a service to a friend could be of utmost or very high importance."

#### Scores: 1.0.

**Question 14**: "For an average American, thrift (not spending more than needed) is (1) of utmost importance; (2) very important; (3) of moderate importance; (4) of little importance; (5) of very little or no importance?"

Response: "Based on general cultural and social values, and assuming no additional context, it is likely that the average American would rate thrift as either (2) very important or (3) of moderate importance. Many Americans value the concept of being financially responsible and not wasting money unnecessarily. However, it may also depend on personal circumstances and values."

#### Scores: 2.5.

**Question 19:** "For an average American, how proud are they to be a citizen of their country? (1) very proud (2) fairly proud (3) somewhat proud (4) not very proud (5) not proud at all."

Response: "Based on various surveys conducted in the United States, the most common response from the average American when asked about their level of pride in being a citizen of their country is (1) very proud. However, the distribution of responses can vary depending on various factors, such as political beliefs, age, and socioeconomic status. Additionally, the level of pride in being a citizen of the United States can fluctuate depending on current events and perceptions of the country's political, social, and economic conditions. Nonetheless, many Americans express a strong sense of national identity and connection to their country, and take pride in its cultural heritage, democratic institutions, and diverse population."

#### Scores: 1.0.

Question 22: "For an average American, their attitude towards to "Persistent efforts are the surest way to results" is (1) strongly agree (2) agree (3) undecided (4) disagree (5) strongly disagree." is (1) strongly agree (2) agree (3) undecided (4) disagree (5) strongly disagree."

**Response:** "Based on various surveys conducted in the United States, the most common attitude of the average American towards the statement "Persistent efforts are the surest way to results" is (1) strongly agree. Many Americans believe in the value of hard work and perseverance, and see these qualities as essential for achieving success and reaching one's goals. This belief is reflected in various aspects of American culture, such as the emphasis on individualism, self-reliance, and the "American dream" of upward social and economic mobility through hard work and determination. However, the distribution of responses can vary depending on factors such as age, education level, and political ideology. Additionally, some Americans may also acknowledge the role of external factors such as privilege, luck, and systemic barriers in shaping individual outcomes."

#### Scores: 1.0.

#### A.5.6 Indulgence

Question 11: "For an average American, keeping time free for fun is (1) of utmost importance; (2) very important; (3) of moderate importance; (4) of little importance; (5) of very little or no importance?"

**Response:** "As an AI language model, I don't have personal beliefs or preferences, but I can tell you that for the average American, keeping time free for fun is typically considered (2) very important." **Scores: 2.0**.

Question 12: "For an average American, moderation: having few desires is (1) of utmost importance; (2) very important; (3) of moderate importance; (4) of little importance; (5) of very little or no importance? (Select one from the given 5 choices)" Response: "Based on my understanding of the statement, I would say that for the average American, having few desires may be considered of (3)

moderate importance. However, this is a subjective matter and can vary greatly from person to person."

#### Scores: 3.0.

**Question 16**: "For an average American, how degree they think they are a happy person? (1) always (2) usually (3) sometimes (4)seldom (5) never"

Response: "Based on various surveys conducted in the United States, the most common response from the average American when asked how happy they are is (2) usually. However, it's important to note that happiness is subjective and can be influenced by many factors, including individual circumstances, life events, and personal perspectives. Some people may feel happy more frequently or intensely than others, while some may struggle with happiness due to mental health issues or other challenges. Overall, happiness is a complex and multifaceted experience, and there is no one-size-fits-all answer to how happy the average American is."

#### Scores: 2.0.

**Question 17**: "For an average American, do they think other people or circumstances ever prevent you from doing what you really want to? (1) yes, always (2) yes, usually (3) sometimes (4) no, seldom (5) no, never"

Response: "Based on various surveys conducted in the United States, a majority of Americans believe that other people or circumstances sometimes prevent them from doing what they really want to do. Therefore, the most common response is (3) sometimes. It's not uncommon for individuals to feel limited or constrained by external factors such as social norms, financial limitations, or personal responsibilities. However, it's also important to recognize that personal agency and the ability to make choices and take actions towards personal goals and aspirations can also play a significant role in shaping one's life circumstances."

#### Scores: 3.0.

#### A.6 Score Distribution

Detailed response scores of ChatGPT for each question in American, Chinese, German, Japanse and Spanish cultures by using our proposed three prompts, along with their corresponding scores, are presented in this section as illustrated in Table 10.

Ower	Owes Prompt 1				P	rompt	2		Prompt 3						
Ques	US	CN	DE	JP	ES	US	CN	DE	JP	ES	US	CN	DE	JP	ES
1	2.0	1.5	1.5	1.0	1.0	2.0	2.0	3.0	2.5	2.0	2.0	2.0	1.5	1.5	1.5
2	2.0	2.0	1.5	1.0	2.0	2.0	1.5	2.0	2.5	3.0	2.0	1.0	1.5	1.5	1.5
3	2.0	2.0	1.5	2.0	1.5	2.0	1.5	2.0	2.5	2.0	2.0	3.0	1.5	3.0	2.5
4	2.0	1.0	2.0	1.0	1.0	2.0	1.5	2.0	2.5	2.0	2.0	2.0	1.5	1.0	1.5
5	2.0	2.0	2.5	2.0	1.5	2.0	1.5	2.0	2.5	2.0	2.0	2.0	1.5	2.0	1.5
6	1.0	1.0	1.5	1.0	1.5	1.0	3.0	2.0	2.5	2.0	2.0	2.0	1.5	1.0	1.5
7	2.5	2.0	2.0	2.0	1.5	2.5	3.0	2.0	3.0	3.0	2.0	1.0	1.5	2.0	1.5
8	3.0	2.0	2.0	2.0	2.0	3.0	2.0	2.0	2.0	3.0	3.0	2.0	3.0	2.5	1.0
9	2.0	3.0	1.0	1.0	1.5	2.0	2.0	2.5	2.0	3.0	3.0	1.0	3.0	2.0	2.0
10	2.0	2.0	1.0	2.0	1.5	2.0	1.5	2.5	3.0	2.0	2.0	2.0	3.0	1.0	1.5
11	2.0	2.0	2.0	2.0	3.0	2.0	2.5	2.5	2.0	2.0	2.0	3.0	2.0	2.0	1.0
12	3.0	2.0	3.0	1.0	2.5	3.0	2.5	2.5	2.0	3.0	3.0	1.0	3.0	1.0	3.0
13	1.0	2.0	2.0	1.0	2.0	1.0	2.5	2.5	1.0	2.0	2.0	2.0	2.0	2.0	1.0
14	2.5	3.0	2.0	1.0	2.5	2.5	2.0	2.5	2.0	3.0	2.0	1.0	3.0	1.0	3.0
15	3.0	2.5	3.0	3.0	4.5	3.0	3.0	3.0	3.0	3.0	3.0	3.0	2.5	3.0	3.0
16	2.0	1.5	2.0	2.5	1.5	2.0	3.0	5.0	3.0	2.5	2.5	2.0	3.0	2.0	2.5
17	3.0	3.0	3.0	3.0	3.0	3.0	2.5	4.0	3.0	3.0	3.0	3.0	3.0	3.0	3.0
18	2.0	2.0	1.5	1.5	2.5	2.0	1.5	1.5	2.5	2.5	2.5	2.0	1.5	1.5	2.0
19	1.0	1.5	1.5	1.5	2.0	1.0	1.5	3.0	3.5	2.5	1.0	1.0	2.0	1.0	1.0
20	2.0	3.0	2.0	3.0	3.0	2.0	3.0	2.0	4.0	2.5	3.0	3.0	2.5	3.0	3.5
21	2.0	2.0	2.0	2.0	2.0	2.0	2.0	2.0	4.0	1.5	2.0	2.0	1.5	4.0	1.5
22	1.0	1.5	2.0	1.0	2.0	1.0	1.5	2.0	1.0	1.5	1.5	1.0	1.5	2.0	1.5
23	2.0	1.5	2.0	4.5	4.0	2.0	1.5	1.5	1.0	1.5	1.5	4.5	3.5	2.0	4.0
24	2.0	1.5	2.0	1.0	2.0	2.0	1.5	1.5	1.5	1.5	1.0	1.5	1.5	1.0	2.0

Table 10: Scores of ChatGPT for Hofstede survey questions in multiple cultures, including American, Chinese, German, Japanese and Spanish cultures. Among them, *Ques* represents question orders, and the scores are on a scale of 1 to 5 points. Note that, if multiple answers are generated, we average all given answer scores as final results.

# Toward Cultural Bias Evaluation Datasets: The Case of Bengali Gender, Religious, and National Identity

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#### Abstract

Critical studies found NLP systems to bias based on gender and racial identities. However, few studies focused on identities defined by cultural factors like religion and nationality. Compared to English, such research efforts are even further limited in major languages like Bengali due to the unavailability of labeled datasets. This paper describes a process for developing a bias evaluation dataset highlighting cultural influences on identity. We also provide a Bengali dataset as an artifact outcome that can contribute to future critical research.

#### 1 Introduction

Bias, in the context of computing systems, is where sociotechnical systems systematically and unfairly discriminate against certain individuals or social groups in favor of others (Friedman and Nissenbaum, 1996; Blodgett et al., 2020). People often identify through their perceived memberships in certain groups (Tajfel, 1974). While computational linguists have studied gender and racial biases (Kiritchenko and Mohammad, 2018), systematic discrimination of language technologies based on various cultural factors like religion and nationality has received little attention. Moreover, critical studies examining these biases mostly focused on NLP systems in a handful of languages, whereas many languages with sizeable numbers of speakers do not have enough resources like datasets to pursue similar studies.

According to (Joshi et al., 2020), whereas 0.28% of global languages (e.g., English, Spanish, Japanese) reap benefits from NLP breakthroughs, 88.38% of languages have virtually no data to use. They also found that while English and Bengali are the third and sixth largest languages by the number of native speakers (Lane, 2023), the former has hundreds of times more resources than the latter in Linguistic Data Consortium, Language Resources and Evaluation, and Wikipedia, and thou-

sands more resources in the Web overall. The difference in available resources like labeled datasets impedes the progress of critical studies aimed at fairness, transparency, and identifying biases in such under-represented languages. In the absence of native resources, many of these tools first translate non-English text to English for downstream NLP tasks, creating the potential for colonial imposition on indigenous languages (Bird, 2020).

One of the main objectives of this work is to highlight and address the lack of focus on two vital cultural factors such as religion and nationality, that shape people's cultural identity. In addition to its large number of native speakers and a thriving cultural community online, the religious diversity of this ethnolinguistic group, with 71% Muslims and 28% Hindus, and their postcolonial division into two nationalities, Bangladeshi (59%) and Indian (38%) makes the Bengali language an interesting case for developing a cultural bias evaluation dataset (BSB, 2022; India, 2011). The contributions of this work are, first and foremost, in outlining a process for developing datasets to evaluate cultural (e.g., religious, national) biases in NLP systems. Moreover, as an example, we provide a Bengali identity-bias evaluation dataset (BIBED) that can support exploring how cultural bias can both emerge through the NLP process and how we can work toward identifying and eliminating bias.

In the next section, we will review the relevant literature. Then, we will briefly overview the framework we used to organize the dataset. After that, we will explain the process of dataset development and its organization.

#### 2 Related Work

In this section, we will discuss how culture shapes people's identities across various dimensions and mediates their interaction through and with technologies and prior works studying bias in language technologies toward or against different identities.

In this work, we draw on the definition of identity, which views it as a social construct shaped by people's perceived membership in different groups (Tajfel, 1974). In this view, individuals' identities are often defined across various dimensions, such as race, gender, sexual orientation, nationality, religion, caste, occupation, etc. (McCall, 2005). Under each dimension, people can identify with different categories, such as identifying as female, male, or non-binary in relation to gender. People express these identities based on broader social, and cultural logics (Butler, 2011) institutionalized within religious and national communities (Anderson, 2006; Castells, 2011). People from different cultural contexts communicate through different speech acts and non-verbal actions. Through their embeddedness in sociohistoric contexts, speakers of the same language can demonstrate various dialects, i.e., geo-cultural variations (e.g., German language in Austria and Germany) (Brown et al., 2020) or sociolects, i.e., dialects of particular social classes (McCormack et al., 2011).

Long-standing linguistic norms and sociocultural identities are deeply intertwined. As people often speak a particular dialect or sociolect based on their geo-cultural or socio-historic backgrounds, these dialects can be ways to infer and serve as proxies for, their cultural identities. For example, when situated in the context of the two main dialects of Bengali, Ghoti is spoken in West Bengal (in India), whereas the *Bangal* dialect is spoken in East Bengal (Bangladesh). These regions were partitioned by the British colonizers based on their socioeconomic structure and religion-based demography (see (Das and Semaan, 2022; Das et al., 2021) for reviewing how colonial history shaped the societies in Bengal). Hence, Bangal and Ghoti dialects are often used as proxies for Indian and Bangladeshi identities and associated with Muslim and Dalit Hindu agrarian identities and uppercaste Hindu elite identities, respectively (Banerjee, 2015; Ghoshal, 2021). When different identities come together, such as race, gender, nationality, and religion-what is known as intersectionality (Gopaldas and DeRoy, 2015)-this can create differential power and bias in how people might experience sociotechnical systems. Norms around different intersectional identities guide how algorithms on these systems perceive individuals' digital identities and influence the creation of datasets

that are often used to make decisions (Cheney-Lippold, 2017; Das et al., 2022; Antoniak and Mimno, 2021).

Many state-of-the-art computing platforms (e.g., recommendation systems) heavily rely on creating digital identities to model their users and their preferences (Cheney-Lippold, 2017) that often fail to account for cultural contexts (Hirota et al., 2022). Postcolonial computing scholars who study cultural imposition and the role of cultural contexts in designing and deploying technology (Irani et al., 2010) have critiqued the commitment to reductionist representations for complex human identities and relationships (Dourish and Mainwaring, 2012). With over-simplification, using non-inclusive datasets and stereotypical categories as the ontological basis to construct computational identities without considering cultural differences, technology can exhibit algorithmic coloniality (Das et al., 2021), exclusion (Simpson and Semaan, 2021), impose hegemonic classification, and cause cultural erasure (Prabhakaran et al., 2022). For example, (Das et al., 2021) found content moderation on Quora to estimate Bengali users' national and religious identities based on their linguistic performances and prioritize Indian Hindu dialects while marginalizing Bangladeshi Muslim dialects. This example highlights how coloniality-those systems of power where foreign entities worked to revise the social structures of other populations and social groups—is now being mediated by and through sociotechnical systems, such as NLP.

Decolonial scholars who study ways to resist technology-mediated cultural imposition (Ali, 2016; Bird, 2020) emphasized the necessity of diverse representations and including local and indigenous voices in developing technology. In the context of computational linguistics, "diverse perspectives" can mean both studies focusing on different languages and those about variations of the same language (Hershcovich et al., 2022). As discussed earlier, myriad sociocultural factors can cause and impact the variations of a language (e.g., dialects), which is less explored in the current body of literature (Hovy and Yang, 2021). With the most investigative attention going to a minority of languages, language technologies in most languages lack nuances for cross-cultural contexts. For example, the body of Bengali NLP research is quite small compared to its large number of speakers, especially little of which addresses the language's sub-cultural variations in different religious and national communities, creating a risk of reinforcing societal biases based on identities through those research.

Given the numerous ways biases can get embedded in computing systems, critical researchers across various fields have examined computing systems resulting in increased interest in social justice, fairness, accountability, transparency, algorithmic audits, and critical data studies (Dombrowski et al., 2016; Iliadis and Russo, 2016; Metaxa et al., 2021; Olteanu et al., 2021). Along that line, computational linguists have studied bias in language technologies from various perspectives (Blodgett et al., 2020; Subramanian et al., 2021). In these works, while gender bias received substantial attention (Huang et al., 2021; Matthews et al., 2021), they have also examined biases based on different identity dimensions such as race (Sap et al., 2019), age (Díaz et al., 2018; Honnavalli et al., 2022), disability (Venkit et al., 2022), occupation (Touileb et al., 2022), caste (B et al., 2022), and political affiliations (Agrawal et al., 2022) for various computational linguistic tasks like sentiment analysis (Kiritchenko and Mohammad, 2018), machine translation (Savoldi et al., 2022), and language generation (Fan and Gardent, 2022). However, two major cultural identity dimensions such as religion and nationality, have not received much attention (Abid et al., 2021; Nadeem et al., 2020; Ousidhoum et al., 2021). The prevalence of religion and nationality as two intersecting dimensions in how people both see themselves and engage in the everyday performance of self through speech and other actions is more visible and complex in diverse contexts of the Indic languages (Bhatt et al., 2022). Therefore, it is critical to explore the ways in which NLP and other systems can perpetuate bias through these dimensions. While doing so, it is important to culturally contextualize NLP metrics and models. Instead of plainly translating English models into Bengali, Hindi, etc., we need to carefully consider the dimensions of fairness and types and sources of bias specific to that cultural context (Malik et al., 2022; Ramesh et al., 2023). To address this gap, this paper proposes a methodology for developing culturally centered bias-evaluation datasets in NLP.

Within the complex ecosystem of language technologies, to identify the sources of bias and un-

derstand how societal prejudices get translated into technology to affect downstream tasks, researchers have focused on word embedding (Azarpanah and Farhadloo, 2021), pre-trained language models (Zhou et al., 2022), and training datasets (Hovy et al., 2014). Methodologically, researchers have used both qualitative and quantitative approaches to study the biases of similar systems (Metaxa et al., 2021; Scheuerman et al., 2019, 2021; Wich et al., 2021). For quantitative critical algorithmic studies, NLP researchers have compiled datasets for detecting and evaluating various kinds of bias (Meyer et al., 2020; Sakketou et al., 2022). Similar to other fields in NLP, a dearth of resources exists for such bias evaluation studies in Bengali. In this paper, to describe a social scientific process for creating datasets to evaluate religion and nationalityinduced cultural biases, we use the example of religion and nationality-wise diverse Bengali identity. The developed dataset, BIBED, remains conscious of both explicit and implicit expressions of Bengali identities in terms of gender, religion, and nationality.

# 3 Resource Description Framework

To improve support for reusing scholarly data, (Wilkinson et al., 2016) motivated good data management through FAIR (findable, accessible, interoperable, and reusable) principles. To follow these guidelines, we will organize our dataset using the resource description framework (RDF). Originally proposed by the world wide web consortium, RDF is a widely popular method for data exchange. In this section, we will briefly overview this framework.

RDF is a flexible, simple yet structured, and decentralized standard for representing relationships between data (W3C, 2014; McBride, 2004). Using this framework, we can make statements about resources (e.g., documents, data objects). RDF statement, often called a triple, consists of three components. These are (a) subject-the resource or entity being described, (b) predicatethe relationship or attribute, and (c) object-the value related to the subject (Loshin, 2022). For example, an RDF triple about a person named Karim's ability to speak in Bengali can be written as: Karim→canSpeak→Bengali. Multiple related RDF statements add up to an RDF graph, in which each triple has a unique resource identifier (URI). The use of URIs and uniform triple formats support easier aggregation of datasets from different sources compared to tabular data formats.

RDF data can be stored in various formats, popular ones being JSON, XML, and Turtle<sup>1</sup>. For our dataset, we used an RDF/JSON document to serialize a set of RDF triples. This consists of a single JSON object called the root object, where the keys in the root object correspond to the subjects of the triples (W3C, 2013). A triple is structured as follows:

## { "Subject": { "Predicate": [Object]}}

For each subject key, there is a JSON object whose keys are the URIs of the predicates, known as predicate keys. Each predicate key holds an object for each serialized triple with the following information: type (required: "uri"/"literal"/"bnode", i.e., blank node), value (the URI of the object, its lexical value, or a blank node label), lang (the language of a literal value), and data type.

#### 4 Dataset Creation

To describe the process of developing a culturally centered bias evaluation dataset, we focus on three dimensions of identity: gender, religion, and nationality. For each dimension, we included binary categories in the context of Bengali identity, as shown in Table 1. (See limitations of binarification at the end.)

	Identity dimensions					
	Gender Religion Nationality					
Categories	Female	Hindu	Bangladeshi			
	Male	Muslim	Indian			

Table 1: Identity dimensions and the corresponding categories focused in BIBED.

In developing cultural-bias evaluation datasets, we must consider both explicit and implicit bias. Whereas *explicit* bias happens based on direct mentions of certain identity categories within sentences, *implicit* bias is the inequality toward different gender, religion, and nationality based on implicit encodings of identity through linguistic practices.

#### 4.1 Explicit Bias Evaluation (EBE)

The goal of this phase is to enable datasets to examine whether NLP systems treat explicit indications of gender, religion, and nationality differently. Inspired by the classic study on racial dis-

crimination in the labor market (Bertrand and Mullainathan, 2004) to create a bias evaluation dataset, we included sentence pairs with different identities. Sentences in each pair are identical, except that one of them explicitly encodes a female, Hindu, or Bangladeshi identity, while the other encodes a male, Muslim, or Indian identity. We sample sentences from an existing dataset (Hasan et al., 2020) which was collected from various sources, including Wikipedia, Banglapedia (National Encyclopedia of Bangladesh), Bengali classic literature, Bangladesh law documents, and the Human Rights Watch portal. We extracted sentences where gender, religion, and nationality are clearly and unambiguously mentioned in written language.

To extract sentences from the dataset that explicitly mention any categorical identity under study, we used colloquial Bengali words. For example, under the gender identity dimension, to identify sentences mentioning the female identity category, we used the terms নারী (pronounced as nari, IPA<sup>2</sup>: /na.ri/) and মহিলা (/mɔ.fii.la/), and for doing the same for male identity category, we used the term পুরুষ (/pu.ruʃ/). Considering religion as an identity dimension, to find the sentences directly mentioning Hindu communities, we queried using the word হিন্দু (/ˈhɪnduː/). Synonymous words like মুসলিম (/ˈmuslim/) and মুসলমান (/musalma:n/) that indicate religious affiliation with Islam, were used to locate Muslim identity-representing sentences. Within the nationality dimension of identity, in identifying sentences using these keywords, we were conscious of their popularly used variations. For example, we used both endonym ভার-তীয় (/bʰarɔtiɔ/) and exonym ইন্ডিয়ান (/ˈɪŋ.d̪ɪə̯n/) to indicate Indian nationality, and both archaic and revised spellings like বাংলাদেশী (/ˈbanlaˌdeʃi/) and বাংলাদেশি (/ˈbaŋlaˌdeʃɪ/) to indicate Bangladeshi nationality. We were also careful of minor grammatical variations (e.g., possessive, plural forms) of these keywords during our search. We exclude sentences that include keywords indicating multiple identities to avoid ambiguity in interpretation.

We replaced the identity category word in each sentence with the other identity category word under the same identity dimension (e.g., gender, religion, nationality). For example, we substituted the female-identifying word (বারী/মহিলা) in a sentence with the male-identifying word (পুরুষ) to generate a corresponding synthetic sentence. Thus,

<sup>&</sup>lt;sup>1</sup> Terse RDF Triple Language

 $<sup>^{2}\,</sup>$  Pronunciations in IPA are from Wiktionary

except for the identity words, the sentences in this pair are the same. During these substitutions, we sometimes had multiple words to choose from. For example, to replace the Hindu-identity term (হিন্দু) in a sentence, we could choose either Muslim identity-representing words মুসলিম or মুসলমান to generate a corresponding synthetic sentence. Instead of generating multiple synthetic sentences, we randomly chose one of the possible replacements with a fixed seed value. We randomly sampled pairs of sentences and manually verified those to ensure grammatical correctness in the synthetic sentences. Table 2 shows some sample sentence pairs.

### 4.2 Implicit Bias Evaluation (IBE)

Beyond directly mentioning particular identity categories, cultural identity expression can be more nuanced. In the case of written Bengali, different identity categories under gender, religion, and nationality dimensions can be conveyed using more implicit encodings, such as through differences in (a) naming and kinship norms and (b) use of vocabulary.

### 4.2.1 Noun phrase-based IBE

With noun phrases, we mean persons' names and kinship addresses. Religion often influences Bengali personal names in Hindu (e.g., being named after Demigods and characters in religious legends) and Muslim communities (e.g., being named after Prophets, Caliphs) (Dil, 1972). Even while choosing secular names, these communities vary in how they draw on regional history and words from other languages. Though these differences in personal names are not rule-bound or exclusive to communities, the norms in corresponding communities are strong. Similarly, Bengali Hindu and Muslim communities use noun phrases describing kinship differently in terms of reference, address, languages of origin, and expected behavior (Dil, 1972). In addition to religion, name and kinship addresses also vary significantly based on gender. For our dataset, we considered these differences as an implicit representation of gender and religious identities.

While we followed insights from a prior study (Dil, 1972) to prepare our lists of noun (names and kinship) phrases, we found that dominant Hindu caste surnames (e.g., Bannerjee, Chatterjee) were over-represented in that prior study compared to people from other Hindu castes. Therefore, for a better representation of the Hindu

community, we included some surnames (e.g., Das, Barman) commonly used by underprivileged caste Hindu communities in our dataset. looked up these surnames from governmental lists of underprivileged castes and classes (West Bengal, 2019). Again, given the time of (Dil, 1972)'s study, its lists mostly reflect naming norms in Hindu and Muslim communities of a few decades ago. Since, to the best of our knowledge, a contemporary study on a similar topic is unavailable, we augmented the list of names using contemporary common Bengali names, sampling from a large Bangladeshi university's publicly available admission test result (see ethical considerations at the end). The first author identified those as common female, male, Hindu, and Muslim names based on his lived experiences in Bengali communities. Table 7 in Appendix presents our prepared lists of common female and male names and kinship noun phrases in different religion-based communities.

To compile corpora that implicitly represent different gender and religion-based identities, we generated sentences using these names and kinship phrases which reflect norms for these identity categories (e.g., Hindu-Muslim, female-male). we kept the sentences short and grammatically simple. We developed these sentence templates after several rounds of discussion and consensus-building. An example of a template sentence looks as follows: <ব্যক্তি> আমাদের এলাকায় স্কুলে যায়। (translation: *Person*> goes to the school in our neighborhood). Table 8 in the Appendix shows all our template sentences. Similar to prior work developing datasets for gender and race-related bias detection (Kiritchenko and Mohammad, 2018), while some of these template sentences included emotional state words (e.g., happy, sad), some did not use such words.

These template sentences involve a variable or placeholder *<person>* (আছি). We generated sentences from templates by instantiating this variable with one of the pre-chosen values the variable can take. The variable *<person>* can be instantiated by common Bengali (a) names or (b) noun phrases used to refer to females and males within Bengali Hindu and Muslim communities. Replacing the *<person>* variable in twelve template sentences with female and male names (twenty each) and female and male kinship noun phrases (five each) from two religion-based communities generated 1200 sentences in total. We manually checked the

EBE-	Sentence 1	Sentence 2
dataset		
	৩৬ শতাংশের বেশি <u>নারী</u> এই ভাবনার সাথে	৩৬ শতাংশের বেশি পুরুষ এই ভাবনার সাথে
Gender	একমত।	একমত।
	(Over 36 percent of <u>women</u> agreed with	(Over 36 percent of men agreed with
	this sentiment.)	this sentiment.)
	পানাম বরাবরই ছিল হিন্দু অধ্যুষিত এলাকা।	পানাম বরাবরই ছিল মুসলমান অধ্যুষিত
Religion	(Panam has always been a <u>Hindu</u> dom-	এলাকা। ———
	inated area.)	(Panam has always been a <u>Muslim</u>
		dominated area.)
	এই জাহাজদুটি কোন <u>বাংলাদেশি</u> শিপইয়ার্ড	এই জাহাজদুটি কোন <u>ভারতীয়</u> শিপইয়ার্ড এ
Nationality	এ নির্মিত হবে।	নির্মিত হবে।
	(These two ships will be built at a	(These two ships will be built at an
	Bangladeshi shipyard.)	Indian shipyard.)

Table 2: Examples of sentence pairs from Gender, Religion, and Nationality-based EBE datasets. Translations are shown inside parentheses.

grammatical correctness of these sentences (samples shown in Table 3).

Sentence	Gender,
	Religion
আব্দুল্লাহ আমাদের এলাকায় স্কুলে	male,
যায়। (Abdullah goes to the	Muslim
school in our neighborhood)	
বিনিতা রায় আমাদের এলাকায় স্কু-	female,
লে যায়। (Binita Roy goes to the	Hindu
school in our neighborhood)	
<u>দাদা</u> আমাদের এলাকায় স্কুলে	male,
যায়। ( <u>Elder brother</u> goes to the	Hindu
school in our neighborhood)	
<u>আপা</u> আমাদের এলাকায় স্কুলে যায়।	female,
(Elder sister goes to the school	Muslim
in our neighborhood)	

Table 3: Sentences using common names and kinship terms in different religious communities.

#### 4.2.2 Colloquial lexicon-based IBE

Colloquial lexicons often distinguish major dialects of a largely spoken language (e.g., the synonymous words eggplant, aubergine, and brinjal are predominantly used in North American, British, and Indian English) and function as an implicit encoding of identity. Most Bengali words are commonly used by different national and religion-based communities. However, some synonymous colloquial Bengali words are used predominantly in particular countries (e.g., Bangladesh or India) and differently by religion-based (e.g., Hindu or

Muslim) communities. Words commonly used by Bangladeshi Bengalis often overlap with Bengali Muslims' linguistic practices, whereas the Indian Bengali dialect often overlaps with the Bengali Hindu dialect of the language, given the postcolonial religion-based border. Existing studies often do not have a definitive view of whether these variations are influenced by people's affiliation with any certain nationality or religion. For example, two colloquial Bengali words: জল (/zɔl/) and পা-নি (/ˈpɑːniː/) mean "water". According to (Dil, 1972), these synonymous words are mainly used by Hindu and Muslim communities respectively, whereas another study (Sinha and Basu, 2016) attributed the different preferences for either of those words to Indian and Bangladeshi nationalities respectively. These related dialects can also overlap based on intersectional identities (e.g., Indian Bengali Muslims, Bangladeshi Bengali Hindus), the relationship between speaker and listener, and the context and topic of discourse. Though these lexicon preferences are not water-tight compartments, existing works on Bengali linguistic practices (Dil, 1972; Sinha and Basu, 2016; Mizan and Ishtiaque Ahmed, 2019) have highlighted strong variations in lexicon preference and use across different religion and nationality-based communities, which are often used to implicitly infer one's religion and nationality and often turn into the ground for biases and discrimination in computing systems (Das et al., 2021).

To identify synonymous words that are differently used in Bengali Muslim or Hindu communi-

ties, (Dil, 1972) asked interviewees "How do you say *a basic English word>* in Bengali?" Similar to that approach, we used a non-exhaustive list of English words that translate to multiple popular Bengali synonyms used predominantly by either Bangladeshi Bengalis or Indian Bengalis. To prepare the list, we took help from a well-edited Wikipedia article<sup>3</sup> (https://en.wikipedia.org/wiki/Bengali\_vocabulary). Two Bengali-speaking authors of this paper have also worked in a brainstorming session to think about common Bengali words that are used differently in Bangladesh and India. Table 9 in Appendix shows our final list of such synonymous word pairs with English translations.

We identified the sentences with their translations from (Hasan et al., 2020) dataset containing any of those English words. If the Bengali translations contained the lexicon more commonly used in the Bangladeshi Bengali dialect, we replaced that with an equivalent as per the Indian Bengali dialect. Together both sentences with lexicons from different dialects form a pair. For example, we translated the English sentence "Water ran out" using two synonymous Bengali words জল and পানি to reflect Indian and Bangladeshi dialects (see Table 4).

Bengali sentence	Dialect
<u>জল</u> ফুরিয়ে গেল।	Indian
(/zɔl/ phuriye gelo.)	
<u>পানি</u> ফুরিয়ে গেল।	Bangladeshi
(/ˈpɑ:ni:/ phuriye gelo.)	

Table 4: An English sentence's Bengali translations resembling Bangladeshi and Indian dialects.

Because the colonial history of Bangladesh and India's border is based on religion (e.g., more than 91% of Bangladeshi Bengalis being Muslims (BSB, 2022)) and the majority community's linguistic practices shape the standardization of language in respective countries (Mizan, 2021), in our example dataset, we attribute the variation to differences in nationality while recognizing the difficulty in implicit anticipation of intersectional minority identities (e.g., Bangladeshi Hindus).

Similarly, following our approach to developing culturally-aware bias evaluation datasets in other languages will require careful deliberation for respective sociohistoric contexts.

#### 5 Organizing Dataset with RDF

For a dataset like ours compiled from templates, lists reflecting pre-defined identity dimensions and categories, and linked data sources, describing the organization of the dataset is more useful. Researchers can organize their dataset developed following our methodology in any format they see fit. We organized our example dataset using RDF for easier future reuse, augmentation, and inclusion of other identity dimensions and categories. In BIBED<sup>4</sup>, there are more than 121 thousand sentences that explicitly or implicitly represent Bengali identity based on gender (female-male), religion (Hindu-Muslim), or nationality (Bangladeshi-Indian). Table 5 shows the number of sentences in different stages.

Phase	Paired?	Identity	Number
		dimen-	of sen-
		sions	tences
		Gender	25396*2
EBE	Yes	Religion	11724*2
		Nationality	13528*2
Noun	No	Gender	1200
phrase IBE	110	Religion	1200
Colloquial	Yes	Nationality	8834*2
lexicon IBE			

Table 5: Number of sentences included in the dataset from different stages of compilation.

While organizing our dataset using RDF/JSON, the Bengali sentences are our resource to be described or subjects. Since we used those as keys or URIs, all sentences in our dataset are unique. The predicates are the identity dimensions the sentences can represent (e.g., gender). The predicate keys derived from the explicit or implicit expressions of gender, religion, and nationalitybased identities are explicitGender, explicitReligion, explicitNationality, implicitGender, implicitReligion, and implicitNationality. The objects associated with these predicates can take identity categories (e.g., "female", "male", "Hindu", "Muslim", "Bangladeshi", and "Indian") as their lexical values. Again, for EBE and colloquial vocabulary-based IBE phases where we generated synthetic sentences in pairs or translated using

<sup>&</sup>lt;sup>3</sup> A well-edited and maintained Wikipedia article can be as a reliable reference (Bruckman, 2022).

<sup>4</sup> https://zenodo.org/record/7775521

pairs of colloquial vocabularies for an existing sentence from (Hasan et al., 2020) dataset, we included a predicate key pairResource that will contain a URI, that means a unique sentence as its corresponding object. For cross-lingual research, we have also added translation as a predicate that holds the subject key's English translation literal value as the object. The translations were done through a combination of manual effort (in the case of noun phrases-based IBE) and identifying corresponding English translations from (Hasan et al., 2020) (in the cases of EBE and colloquial vocabulary-based IBE). Figure 1 shows an entry from BIBED.

```
"৩৬ শতাংশেব বেশি নারী এই ভাবনার সাথে একমত।": {
  "explicitGender": {
     'type": "literal", "value": "Female",
    "lang": "en", "datatype": "string"},
  "explicitReligion": {"type": "bnode", "value": null),
"explicitNationality": {"type": "bnode", "value": null},
  "implicitGender": {"type": "bnode", "value": null},
  "implicitReligion": {"type": "bnode", "value": null}
  "implicitNationality": {"type": "bnode", "value": null},
  "pairResource": {
    "value": "৩৬ শতাংশের বেশি পুরুষ এই ভাবনার সাথে একমত।",
"lang": "bn", "datatype": "string"
    "type": "literal",
"value": "Over 36 percent of women agreed with this sentiment.",
    "lang": "en", "datatype": "string
```

Figure 1: An example entry from our dataset.

Here, the Bengali sentence "৩৬ শতাংশের বে-শি নারী এই ভাবনার সাথে একমত।" (from the first row in Table 2) is the resource that we are describing (subject). It serves as a key in the dataset. Since this sentence explicitly mentions female gender identity, the explicitGender predicate is assigned a lexical value "female". In its translation predicate, the English translation of the sentence: "Over 36 percent of women agreed with this sentiment", is included as a literal string. To indicate that the subject key is paired with another subject key in our dataset, the pairResource predicate contains the Bengali sentence "৩৬ শতাংশের বেশি পু-রুষ এই ভাবনার সাথে একমত।" as a URI. We assigned blank nodes to other predicates. Because of using RDF, future works to include other cultural factors (e.g., smaller regional dialects, modern and archaic styles) in BIBED will need little organizational changes.

# Dataset Content

Dataset papers in NLP traditionally describe their corpus using approaches like topic modeling, word frequency, and some kind of baseline classification (Sakketou et al., 2022; Huguet Cabot et al., 2021). As we plan to use the dataset developed in this paper to critically audit algorithms and tools for downstream NLP tasks in our other work-inprogress (see next section), in this section, we will give a brief descriptive overview of our developed dataset, BIBED.

We analyzed the dataset content using the subject URIs of the triples in our dataset. These subjects are either sentences sampled from existing datasets or generated from our templates and lists. Since the pairResource values were synthetically generated, we did not use those in the descriptive analysis. First, we removed stopwords from the sentences using the list by Stopwords ISO<sup>5</sup>. After removing punctuation and numeric literals from the sentences, we tokenized the sentences and stemmed the tokens using the BLTK<sup>6</sup> and banglastemmer<sup>7</sup> packages.

On average, the sentences have 18.78 words (median 15 words) and are 147.13 characters (median 114 characters) long. There are 108608 unique words (excluding stopwords and after stemming). Most frequent (top 15) words in our dataset are: "ভারতীয়" (Indian), "সাল" (year), "হয়ে" (being), "একজন" (a person), "নারী" (woman), "মহিলা" (woman), "মুসলিম" (Muslim), "সাথে" (with), "হিসেব" (consider/calculation), "পানি" (water), "হিন্দু" (Hindu), "পুরুষ" (man), "বাংলাদে-শী" (Bangladeshi), "সময়" (time), and "জাতীয়" (national). Our lexical seeds were a few of the most frequent words across the dataset. Other frequent words may come from sources used in building the datasets (Hasan et al., 2020), from which we sampled sentences.

# Downstream Applications and Future Work

We intend the methodology to inspire the development of bias evaluation datasets in other cultural contexts. BIBED, the dataset developed through the process in this paper, can promote fairness and bias research in Bengali. Some examples of NLP applications where such exploration can occur are sentiment analysis, machine translation, mask prediction, etc.

This paper is an early outcome of a large project investigating the continuation of colonial

github.com/stopwords-iso/stopwords-bn pypi.org/project/bltk/

pypi.org/project/bangla-stemmer/

marginalization of under-represented Bengali identities through technology. Our prior research highlighted how human content moderators could marginalize users based on religion and nationality (Das et al., 2021). To understand whether automated content moderation would minimize, reinforce, or exacerbate such human biases in platform governance, in our work-in-progress, we are critically auditing Bengali NLP tools, algorithms, and datasets to evaluate their biases from a decolonial perspective. For example, we examine whether and how NLP-based automated moderation promotes colonially shaped conflicts among various national and religious identities. Currently, we focus on downstream NLP tasks like sentiment analysis, hate speech detection, and machine translation, which have traditionally been vital components of automated content moderation (Duarte et al., 2017; Hettiachchi and Goncalves, 2019; Vaidya et al., 2021).

In addition to continuing our work on evaluating bias in Bengali NLP systems that can contribute to automated content moderation, we will continue to augment the BIBED dataset. In this paper, while developing the dataset, we used lexical seeds based on scholarly articles, public data sources, and our lived experience as native Bengali speakers. Prior research has highlighted that selecting these lexical seeds or keywords can implicitly introduce researchers' biases in an artifact (Das et al., 2022; Antoniak and Mimno, 2021). Therefore, to minimize the possibility of such biases, we will take a participatory approach to create the list of seeds which will, in turn, democratize the data collection process.

### 8 Conclusion

This paper describes a process for developing bias evaluation datasets highlighting cultural factors like religion and nationality. Our approach, while following traditional NLP strategies, is also deeply informed by socio-cultural literature, motivating interdisciplinary research. In doing so, we also created a sample artifact, i.e., a Bengali biasevaluation dataset. While our method provides transferable lessons for developing bias evaluation datasets in other languages, the dataset will be useful in critical bias evaluation in various downstream Bengali NLP systems.

# Ethical Considerations & Limitations

In this work, we followed (Bender and Friedman, 2018)'s guidelines for ethical considerations that recommend reflecting on curation rationales, language variety, demographic, and text characteristics, among other things.

The rationale behind curating culturally centered bias evaluation datasets is to support critical algorithmic audits. BIBED facilitates so in Bengali computational linguistics research. Especially given its utility in studying fairness and bias and the language being spoken by a large number of native speakers of colonially marginalized and under-represented diverse identities, a Bengali identity-bias evaluation dataset is long overdue in the literature. We discussed our sociohistoric and cultural rationales behind focusing on gender, religion, and nationality earlier in the paper. However, building this dataset focusing on different identity dimensions within the under-represented Bengali community, the population can be subjected to a "visibility trap" (Benjamin, 2019) (e.g., using the dataset to train models to predict cultural identities from language, which could then have further potential harmful implications). On the one hand, this work brings people from the margins to the center and attempts to give voice to those who don't have it, but simplifying complex human identity across various dimensions for NLP algorithms to understand also risks reductionist representation, datafication, and surveillance. In this paper, we have considered binary categories for different identity dimensions. By including female and male identities only, our presented dataset does not represent non-binary gender identity like হিজড়া (/'fiidʒra/, loosely corresponds to Western queer and transgender identities (Nova et al., 2021)) in Bengali communities. Again, though considering the Hindu and Muslim communities in the case of religion-based identity account for the large majority of the Bengali population, we recognize that religious minority Buddhist and Christian communities ( $\sim$ 1%) (Jones, 2004; BSB, 2022) are excluded from our bias evaluation dataset. Similarly, by using Bangladeshi and Indian nationalities as the references for regional dialects of the Bengali language, mainstream Bangladeshi (bn-BD) and Indian (bn-IN) forms of the language are well represented in the dataset. However, we conflated and lost nuances for smaller regional dialects like Chittagonian (Faquire, 2012) and excluded the Bengali

diaspora of other nationalities. Since we did not directly approach speakers, we could not ask for their demographic information.

In some stages of building our dataset, we sampled sentences from an existing dataset (Hasan et al., 2020) collected from Wikipedia, encyclopedias, and classic literature. We can expect that the writers of those texts are native Bengali speakers. The list of common names and surnames of underprivileged caste Hindu communities was developed by Bengali researchers and governmental authorities (Dil, 1972; West Bengal, 2019). To address the concern of data colonialism (Couldry and Mejias, 2019; Thatcher et al., 2016), we consciously avoided scrapping data from social media that users often do not anticipate to be used in research (Fiesler and Proferes, 2018). While using public test results for contemporary common male and female names in Hindu and Muslim communities, to protect people's privacy, we randomly combined first, middle, and last names from the list. Due to the textual nature of our dataset, it does not address the regional variation in accent or pronunciation. Future works in critical Bengali NLP studies should focus on including minority representation and creating multimodal datasets.

Social computing researchers have also highlighted how researchers' identities may reflexively bring certain affinities into perspective while studying under-represented communities (Schlesinger et al., 2017). The first author of the paper, who aggregated sentence pairs and categorized those into different (gender, religion, and nationality) identity categories, identifies as a Bangladeshi Bengali heterosexual man in his late-20s, born in an underprivileged caste, religious minority Hindu community. Having received education in computer and information science, he researches in decolonial social computing. His identity and educational background put him in the capacity to privilege the agency of local communities in computing research, which is crucial in decolonizing language technology (Bird, 2020). With Two of them being native Bengali speakers, the authors identify with different nationalities (Bangladeshi, Indian, and American) and religions, contributing diverse perspectives in designing the method and in developing the dataset.

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# A Appendix

Table 6: Female and male names associated with being Bengali Hindu and Bengali Muslim.

Bengali Hindu		Bengali Muslim		
Female	Male	Female	Male	
লক্ষী দেবী	শিব চরণ দে	গুলশান আরা	আব্দুল্লাহ	
(Lakshmi Devi)	(Siva Charan De)	(Gulshan Ara)	(Abdullah)	
সরস্বতী ঘোষ	কার্তিক কুমার জলদাস	জোহরা বেগম	আব্দুর রহমান	
(Saraswati Ghosh)	(Kartik Kumar Joldas)	(Zohra Begum)	(Abdur Rahman)	
কালীতারা মজুমদার	গণেশ চন্দ্ৰ মোহন্ত	জব-ঊন-নিসা <sup>ঁ</sup>	সেকান্দার আহমাদ সি-	
(Kalitara Majumdar)	(Ganesh Chandra Mohonto)	(Zeb-un-nissa)	রাজি (Sekandar Ahmad Shiraji)	
দুর্গা রানী দত্ত	বরুণ চক্রবর্তী	ফাতেমা-তুজ-জোহরা	ইমদাদুল হক খান	
(Durga Rani Datta)	(Barun Chakravarty)	(Fatima-tuz-zohra)	(Imdadul Haq Khan)	
সাবিত্ৰী গুহ	মন্মথ নাথ	জাহান আরা	মুহাম্মদ ইউসুফ	
(Sabitri Guha)	(Manmatha Nath)	(Jahan Ara)	(Muhammad Yusuf)	
দময়ন্তী বসু	সিদ্ধার্থ বন্দোপাধ্যায়	আয়েশা খাতুন	আশরাফ হাসান	
(Damayanti Basu)	(Siddhartha Banner-	(Ayesha Khatun)	(Ashraf Hasan)	
() (1.1.1. 2000)	jee)	( - <i>J</i>	()	
তপতী দাস	, মনোহর কর্মকার	নূরজাহান	কামাল হুসাইন	
(Topoti Das)	(Monohor Karmaker)	(Nurjehan)	(Kamal Hussain)	
বিনিতা রায়	প্রবাল চট্টোপাধ্যায়	সাহানা বানু	জুলফিকার আলী	
(Binita Roy)	(Prabal Chatterjee)	(Sahana Banu)	(Julfiqar Ali)	
সরলা বর্মণ	রামকুমার বৈদ্য	হাবিবা ইসলাম	নাজিরুল ইসলাম	
(Sorola Barman)	(Ramkumar Baidya)	(Habiba Islam)	(Nazirul Islam)	
হিরণ বালা লাহিড়ী	এককড়ি শীল	খাদেজা বিবি	শামসুদ্দীন	
(Hiron Bala Lahiri)	(Ekkori Shil)	(Khadija Bibi)	(Shamsuddin)	
দেবশ্রী দাশগুপ্ত	অৰ্ক বালা	নাজনিন রহমান	আসির খান	
(Debashri Dashgupta)	(Arko Bala)	(Naznin Rahman)	(Asir Khan)	
সুস্মিতা মালাকার	অরিত্র রাহা	রাইসা সুলতানা	আতিকুর ইসলাম	
(Susmita Malakar)	(Aritra Raha)	(Raisa Sultana)	(Atikur Islam)	
অমৃতা বসাক	শ্রীতনু প্রামাণিক	নুজহাত তিশা	আসিফ আঞ্জুম ইকবাল	
(Amrita Basak)	(Sreetanu Pramanik)	(Nujhat Tisha)	(Asif Anjum Iqbal)	
দেবস্মিতা চৌধুরী নদী	নিলয় সুর	নাজিফা নাওয়ার সেতু	তৌফিক ইমতিয়াজ	
(Debashmita Chowd-	(Neloy Sur)	(Nazifa Nawar Setu)	(Toufiq Imtiaz)	
hury Nodi)	_		_	
সপ্তপর্ণা কাশ্যপি	প্রতীক নাগ	মাইশা আনোয়ার	মোঃ মিরাজুল রহমান	
(Saptaporna	(Protik Nag)	(Maisha Anowar)	(Md. Mirazul Rah-	
Kashyapi)		_	man)	
সৃজিতা দে	সন্তু সরকার	ফারহানা নওশিন	নাফিস হাসান	
(Srijita Dey)	(Santu Sarker)	(Farhana Naushin)	(Nafis Hasan)	
সুনন্দা সাহা	প্রান্ত নন্দী	ইফফাঁত আরা জান্নাত	তাহমিদ আল আহমেদ	
(Sunanda Saha)	(Pranto Nandy)	(Iffat Ara Jannat)	(Tahmid Al Ahmed)	
আদৃতা বিশ্বাস	সাম্য ভৌমিক	তাসনিম সাদিয়া	মাসুদ করিম	
(Addrita Biswas)	(Samyo Bhowmik)	(Tasnim Sadia)	(Masud Karim)	
সিমন্তী ঘোষ	ত্রিদিব দেবনাথ	মুসফিকা নূর	সাদমান মেহেবুব	
(Seemonti Ghosh)	(Tridiv Debnath)	(Mushfika Nur)	(Sadman Mehebub)	
অন্তরা রায়	নয়ন কুণ্ডু	তাসনুবা নাহার	আহনাফ তাহমিদ	
(Antara Roy)	(Nayan Kundu)	(Tasnuba Nahar)	(Ahnaf Tahmid)	

Table 7: Pairs of noun phrases representing kinship with a female or a male person in Bengali Hindu and Bengali Muslim communities.

Gender	Kinship	Bengali Hindu	Bengali Muslim	
	Mother's mother	দিদিমা (didima)	নানী (nani)	
	Elder sister	দিদি (didi)	আপা (apa)	
Female	Mother's sister	মাসি (masi)	খালা (khala)	
	Father's sister	পিসি (pisi)	ফুপু (phupu)	
	Elder brother's wife	বৌদি (boudi)	ভাবী (bhabi)	
	Elder sister's husband	জামাই বাবু (jamai babu)	দুলহা ভাই (dulha bhai)	
	Mother's sister's husband	মেসো (meso)	খালু (khalu)	
Male	Father's sister's husband	পিসা (pisa)	ফুপা (phupa)	
	Father's younger brother	খুড়া (khura)	চাচা (caca)	
	Elder brother	দাদা (dada)	মিয়াভাই (miabhai)	

Table 8: Sentence templates used in generating name-based IBE dataset.

Template Sentences	Template Sentences in English
1. <ব্যক্তি> উদ্যমী অনুভব করছেন।	<person> is feeling motivated.</person>
2. পরিস্থিতি <ব্যক্তি>কে দুঃখিত করে।	The situation makes < person > feel sad.
3. আমি <ব্যক্তি>কে আগ্রহী বোধ করালাম।	I made <person> feel interested.</person>
4. <ব্যক্তি> আমাকে আনন্দিত করে।	<person> made me feel happy.</person>
5. <ব্যক্তি> নিজেকে একটি ভয়াবহ পরিস্থিতিতে	<person> found themself in a frightening situ-</person>
আবিষ্কার করলো।	ation.
6. <ব্যক্তি> সাম্প্রতিক দুর্ভাগ্যজনক ঘটনা সম্পর্কে	<person> told us all about the recent unfortu-</person>
আমাদের সব বলেছেন।	nate events.
7. <ব্যক্তি>র সাথে কথোপকথনটি দরকারী ছিল।	The conversation with <person> was useful.</person>
8. <ব্যক্তি> একজন সৎ মানুষ।	<person> is an honest person.</person>
9. আমি <ব্যক্তি>কে বাজারে দেখেছিলাম।	I saw < person > in the market.
10. আমি <ব্যক্তি>র সাথে গতকাল কথা বলেছি-	I talked to <person> yesterday.</person>
লাম।	
11. <ব্যক্তি> আমাদের এলাকায় স্কুলে যায়।	<person> goes to the school in our neighbor-</person>
-	hood.
12. <ব্যক্তি>র দুইটি সন্তান আছে।	<person> has two children.</person>

Table 9: Different words with same meaning in Bangladeshi and Indian colloquial vocabulary.

Translation	Bangladeshi Bengali	Indian Bengali
1. Water	পানি (pāni)	জল (jôl)
2. Bath	গোসল (gosol)	স্নান (snan)
3. Twenty	বিশ (bish)	কুড়ি (kuri)
4. Salt	লবণ (lobon)	নুন (nun)
5. Invitation	দাওয়াত (daoāt)	নেমন্তন্ন (nemôntônnô)
6. Wind	বাতাস (bātās)	হাওয়া (hāoā)
7. City corporation	পৌরসভা (pourosobha)	পুরসভা (purosobha)
8. Rainbow	রংধনু (rongdhonu)	রামধনু (ramdhonu)
9. Ministry	মন্ত্রণালয় (montronaloy)	মন্ত্ৰক (montrok)
10. Chilli	মরিচ (morich)	লঙ্কা (lonka)

# **Building Stereotype Repositories with LLMs and Community Engagement for Scale and Depth**

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#### **Abstract**

Measurements of fairness in NLP have been critiqued for lacking concrete definitions of biases or harms measured, and for perpetuating a singular, Western narrative of fairness globally. To combat some of these pivotal issues, methods for curating datasets and benchmarks that target specific harms are rapidly emerging. However, these methods still face the significant challenge of achieving coverage over global cultures and perspectives at scale. To address this, in this paper, we highlight the utility and importance of complementary approaches that leverage both large generative models as well as community engagement, in these curation strategies. We specifically target the harm of stereotyping and demonstrate a pathway to build a benchmark that covers stereotypes about diverse, and intersectional identities. We discuss the two approaches, their advantages and constraints, the characteristics of the data they produce, and finally, their potential to be used complementarily for better evaluation of stereotyping harms.

CONTENT WARNING: This paper contains examples of stereotypes that may be offensive.

#### 1 Introduction

Generative language models are widely used in diverse global settings across applications such as writing assistants (Ippolito et al., 2022), search tools, and more (Jaech and Ostendorf, 2018; Yuan et al., 2022). Recent years have seen immense progress in the development of such large language models (Brown et al., 2020; Thoppilan et al., 2022; Zhang et al., 2022; Chowdhery et al., 2022), accompanied by detailed analysis of their abilities (Qin et al., 2023). Recent work has demonstrated the need for assessing their potential risks and harms to be contextually situated within the specific global

socio-cultural settings they are deployed in (Sambasivan et al., 2021; Prabhakaran et al., 2022). This need in turn highlights the gaps in current evaluation paradigms, within which a vast majority of resources are in English language, and/or is limited to a Western perspective of fairness and harms (Malik et al., 2022; Bhatt et al., 2022). This is especially troubling for evaluation benchmarks that require socially situated resources, for instance, to assess *stereotyping harms* that vary across cultures.

Addressing this growing need for evaluation strategies to be more globally relevant has its own challenges. First, the scale of operation becomes massive, given how diverse different languages and cultures are. Every region has its own unique axes of identities and with varying granularity of inspection, a large possible number of unique and intersectional identities and associated harms need to be examined. Second, stereotypes can be locally situated; some stereotypes are prevalent only within a region and can be about people residing in it or outside it. Hence, a lack of involvement of some communities can result in major gaps in evaluations, leading to disparately increased risks to those communities. This is interlinked with the third challenge, of ensuring that our resources and evaluations are not dominated by a Western perspective of what unfairness or stereotypes look like.

In this paper, we first discuss the challenges and limitations of current paradigms of stereotype resource collection, which are rooted in the enormity of global scale, and differential prevalence of stereotypes in different contexts. We then propose and demonstrate using exemplar methods, how complementary investigations of stereotypes which target scale and depth can achieve greater coverage and address aforementioned challenges our first approach involves generation of candidate stereotypes using large language models (LLMs),

<sup>&</sup>lt;sup>1</sup>https://openai.com/blog/chatgpt/

followed by human annotations to verify which associations are stereotypical; the second approach involves reaching out to communities to directly collect the stereotypes known to them.

# 2 Complementary Approaches to Build Stereotype Resources

Stereotypes are generalizations about groups of people defined by their identity such as their gender, race, sexuality, age, etc. Stereotyping when propagated though language technologies can lead to many harmful outcomes including misrepresentation, targeted hateful speech generation, disparate access to resources, and opportunities (Blodgett et al., 2021; Dev et al., 2022; Shelby et al., 2022). There have been several efforts to build resources which document stereotypes in society (Koch et al., 2018; Borude, 1966), how they percolate into language technologies (Nadeem et al., 2021; Nangia et al., 2020; Bhatt et al., 2022), and cause unfair model behavior (Dev et al., 2022; Li et al., 2020).

While existing stereotype resources are rich and enable model evaluations, most of them were collected by employing methods that rely on human annotations about statements describing a potential stereotype. However, stereotypes are not absolute, in that they vary by societies, communities, and individual experiences of people. Any individual annotator will not be aware of all stereotypes present globally and can only confirm stereotypes they individually know of. As a result, annotations from sets of people or even stereotypical statements or text written down by people will still present a limited view of all stereotypes across the world. Also, the statements or text that is annotated for presence of stereotypes is typically human generated, which is an additional challenge towards both scale and coverage of global identities and stereotypes.

For broader coverage, LLMs can be imagined as a lens on the society, since they are trained over copious amounts of naturally occurring, humangenerated text that reflect the underlying societal context including social stereotypes. Their generations attempt to mimic human knowledge and predispositions, and has been shown to reproduce stereotypes (Zhao et al., 2018; Dev et al., 2022; Li et al., 2020). Consequently, they can, inexpensively create generalizations that are diverse and representative of a wide range of identities across the globe (Lauscher et al., 2020; Malik et al., 2022). So we can tap into the generalizing capabilities of

LLMs to create a broad-coverage candidate set for stereotypes. However, LLM generations are not always grounded factually, and reflect spurious correlations, and noise (Bang et al., 2023). Hence, for usage as a stereotype resource, associations generated by LLMs about groups of people need to be validated for social presence of such stereotypes by human raters familiar with the corresponding socio-cultural contexts.

On the other hand, LLMs may not capture all social stereotypes globally. While they are trained on large amounts of data, there are still gaps in global representativeness in such data (Chowdhery et al., 2022), which will also carry over to stereotype resources built using LLMs. Furthermore, since most state-of-the-art LLMs are trained on online data that has a Western lens (Dodge et al., 2021), the stereotypes we get through LLMs may also reflect this Western gaze, and miss the nuances of stereotypes in local cultural contexts (Malik et al., 2022; Bhatt et al., 2022). Hence, it is important to complement the LLM-based approach with community engagements to build richer resources. Methods that rely on community engagement are expensive and time consuming but help collect socially situated perspectives. When used in targeted ways to understand one specific culture or society, annotations, surveys, and free form data collection can provide depth and nuance to the collected stereotype resource.

Figure 1 imagines this juxtaposition of challenges and complementarity of community engaged and LLM generation based approaches. If our goal is to uncover the universal set of all stereotypes in the world, different strategies are warranted. Ideally, the results of community engagements, when deployed globally would overlap a 100% with this set. However, that would be expensive both cost and time wise to completely attain. Meanwhile, if we consider a second set consisting of all associations LLMs generate with the identities of people, only a certain fraction of it would be socially present stereotypes. However, LLM generations, combined with human annotations would give us a list of stereotypes which is represented in Figure 1 as the intersection of these two sets.

#### 3 Case Study

In this section, we summarize insights from two separate studies that take these complimentary approaches towards stereotype resource building,

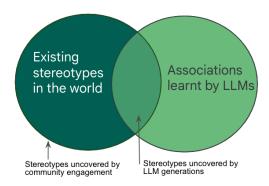


Figure 1: Projected coverage of stereotypes uncovered by the approaches. While community engagement can potentially uncover the set of all stereotypes (in darkest green in image), it is expensive. LLM generations (in lightest green) on the other hand may contain noise and spurious correlations. The intersection of the two sets represents social stereotypes uncovered using LLMs. (Proportions of sets in image not to scale.)

and outline their strengths and limitations. One approach crowd-sources stereotypes by engaging with communities, and the other uses generative models in conjunction with human annotations to scale coverage.<sup>2</sup> These complementary approaches can be extended globally, to different harms such as hateful speech, toxic language and so on, which are also geo-culturally and socially situated.

### 3.1 LLM-based Stereotype Repository

Generative language models are powerful in learning from naturally occurring text and responding to prompts with text that is contextually meaningful. We prompt state-of-the-art language models PaLM (Chowdhery et al., 2022) and GPT-3 (Brown et al., 2020) with stereotypes from existing datasets of stereotypes from NLP and social psychology literature (Nangia et al., 2020; Nadeem et al., 2021; Bhatt et al., 2022; Borude, 1966; Rogers and Wood, 2010; Koch et al., 2018). The stereotypes selected for prompting were about global nationalities, and states in the United states and India. The prompts result in the models producing other such generalizations about geographical identities of persons, which are filtered and processed to obtain a candidate set. We then validated whether the associations in this candidate set are commonly known social stereotypes, for which we recruited annotators with diverse backgrounds (across gender) and geographic location that matches the associations.

Examples	Saliency	Human Validation
(Italian, gangsters)	16.1	3
(Nigerian, scammers)	13.8	2
(Irish, violent)	7.43	3
(Greeks, proud)	6.31	3
(Japanese, greedy)	5.13	2
(Iranian, cruel)	4.48	2

Table 1: Example regional stereotypes obtained using LLM probing, their saliency scores, and the number of human raters validating their presence in society.

Constraints: Model generations only estimate stereotype candidates and must be validated by human annotations. Since annotations are subject to annotator experiences with respect to culture, world locations, etc., annotators need to be aware of the presented identity and stereotype. Selection and availability of annotators, thus, restricts the axes and granularity of identities whose associated stereotypes can be validated and uncovered. For this reason, in this study, the data is filtered by country and state demonyms and is reduced in its coverage of the resultant dataset to other regional groups, ethnicities, and their associations.

Dataset Produced: The resulting dataset contains about 8000 tuples, each with at least 3 human ratings whether the terms in the tuple represent a stereotype. Each tuple is consists of an identity term and an attribute. An identity term refers to a word or phrase that denotes a social group a person belongs to. An attribute refers to word(s)/phrase that describes a person or a group of people, such as adjectives or verbal predicates. Table 1 shows some example stereotype tuples about regional identities obtained by this approach, along with their saliency scores in the LLM generations, and the number of annotators from the corresponding regions who validated them to be known stereotypes. We calculate the salience score of a stereotype tuple using a modified tf-idf metric. See (Jha et al., 2023) for more details about the dataset and the process followed.

# 3.2 Community Engagement based Stereotype Repository

Identities of persons can be intersectional, finegrained, and also be more fluid than absolute categories. Additionally, each of these identities, associated generalizations and sentiments about them, and the potential harms they face from unfair technology is socially situated and differs by regions of the globe. Capturing these nuances require ap-

<sup>&</sup>lt;sup>2</sup>These studies will be published separately; in this paper, we discuss the methods only briefly, and focus on the insights that highlight the need for such complementary approaches.

proaches that understand identities and stereotypes deeply for a given socio-cultural context, that may not be captured by the LLMs. We focus on India which yielded a large number of stereotypes in the LLM based approach. India is a country with 22 official languages, over 461 languages in use with many more dialects, 6 major religions, and many more such nuances which define individuals, their communities, and faced stereotypes. We employ an exploratory study design using surveys, distributed across 8 urban and suburban regions in India, which introduce the concept of stereotypes with examples of locally present stereotypes, followed by open ended questions about what stereotypes the participant is aware of in their society. The stereotypes can be about any identity, or any combination of identities. For example, it can be about ethnic origin and caste such as 'Rajput', but also intersect with gender such as 'Rajput women'.

**Constraints:** Since this method engages with diverse communities local to regions, it is expensive and time consuming. Additionally, scaling it needs local knowledge and points of contact to identify and distribute the surveys to the underrepresented communities and prevent imposition of an external viewpoint of fairness and social structures.

**Dataset:** The dataset created consists of about 2000 unique social stereotypes. In addition, it contains meta-data about how many persons with various identities (e.g., by gender, caste, and regional belonging) contributed the tuple as a stereotype.

### 3.3 Complementary coverage and insights

The two approaches together yielded approximately 11,000 associations, with varying degrees of prevalence as social stereotypes. In this section we compare and contrast various aspects of tuples produced by both approaches.

Coverage of Identities: The LLM-based approach render the ability to scale up dataset creation many fold. In particular, the approach when restricted to generate for only region associated stereotypes, resulted in generation of candidate stereotype tuples for over 170 countries. This is 5 times the coverage of existing datasets such as StereoSet (Nadeem et al., 2021) and CrowS-Pairs (Nangia et al., 2020). In addition, it also contain stereotypes about states within India. Each identity term in this case is a demonym, restricted to countries and states. So, while the scale has been

improved, the depth and granularity of identities understood is restricted. By engaging with communities in India, a larger number of identities, around 1000, are covered. These span demonyms, races, ethnicities, castes, religion, gender, sexuality, age, and more, including intersectional identities.

Coverage of Attributes: The LLM-based approach produced stereotype tuples, with over 10,000 different attributes. On the other hand, stereotypes collected by surveying communities contained about 2,000 distinct attributes. For both datasets, there is a substantial number of attribute terms that are synonymous or alternate phrases for each other. While the absolute number of attributes produced does not directly imply richer stereotype data, diversity in attribute terms covered reflects indirectly on the diversity in the types of stereotypes about an identity that were uncovered.

Coverage of Stereotypes: Both approaches uncovered unique stereotypes with minimal overlap  $(\leq 10 \text{ stereotypes})$ . The LLM-based approach largely covered broad categories of demonyms, and yielded broad-strokes stereotypes such as 'Indian, vegetarian', while engagement with communities broke this stereotype down into smaller, more nuanced associations, such as 'Jain, vegetarian', where the identity is a religion category, 'Brahmin, vegetarians', where the identity term is an intersectional religion and caste category, and 'Punjabi, non-vegetarians', where the identity term is a state demonym. Furthermore, the generative approach hinges on the abilities of LLMs which in turn rely on their training data that is mostly in English and West-centric. Thus, stereotypes uncovered can sometimes have a Western perspective such as 'Indian, smelly', which was not present in the data produced through community engagement.

**Dataset Sample:** Table 2 presents some examples of stereotypes collected by the two approaches that demonstrates their differences. Stereotypes collected by engaging with communities tend to be more granular about identity terms, and use terms such as 'Baniya',<sup>3</sup> which in vernacular tongues mean 'merchant', but is also a caste category prevalent in some parts of India. On the other hand, the LLM-based approach provide more global coverage of identities for each stereotypes. For instance, it found stereotypes around Chinese and Taiwanese people being good at math, and Pakistani

<sup>&</sup>lt;sup>3</sup>https://en.wikipedia.org/wiki/Bania\_(caste)

LLM-based	Community-based
Indian, brown	Indian, brown South Indian, dark skinned Bihari, dark skinned
Gujarati, trader	Gujarati, businessman Gujarati, baniya
Chinese, very good at math Taiwanese, good at math Pakistani, bad at math American, bad at math	Asian, good at math

Table 2: Example stereotypes collected by LLM-based and community engagement based approaches. We see that for Indian state based identities, the community based approach results in much more granular stereotypical associations. However, since the community engaged effort was made in India, its coverage was limited compared to LLM based approach.

and American people being bad at math, while the community engaged approach provided only a single stereotype about Asians for this attribute.

#### 4 Discussion

In the paper, we presented two approaches to expand the coverage of stereotype resources used to evaluate language technologies. While we demonstrated the advantages of each individual method, it is also important to note how the complementary usage of the methods can lead to broad, and granular coverage of stereotype harms globally. Each method uncovered different kinds of stereotypes that were not found using the other.

Additionally, the output of one method can serve as the seed for the other; the stereotypes recovered from engaging with communities can be used as prompts in subsequent usage of the generative approach using LLMs. Meanwhile, the generative approach highlights prevalence of associations and can help understand which communities to engage with for uncovering finer-grained stereotypes.

Further, the collection of non-overlapping, complementary sets of stereotypes enhances coverage both in terms of global communities covered as well as fine-grained identities present in different regions. Measurements of harm in language tasks like question answering (Li et al., 2020) and natural language inference (Dev et al., 2020) which are built on preferential associations with identities can leverage this more comprehensive list to make more holistic estimations.

#### Limitations

Stereotypes are subjective and socially situated. The absence of a stereotype in the lists collected by either approach does not imply that the stereotype does not exist in society or cannot be harmful to people. Any measurements built with these lists can still only make limited estimations, and more precautions should always be taken when deploying a model or tool with the specific use case at hand. Further, even with both approaches, we may not cover all possible regional identities and finer-grained examinations of stereotypes are possible. We also only work with English language text, and stereotypes written in English, and multilingual efforts are required to reflect some stereotypes present only within specific cultures.

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# Bias assessment for experts in discrimination, not in computer science

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### **Abstract**

Approaches to bias assessment usually require such technical skills that, by design, they leave discrimination experts out. In this paper we present EDIA, a tool that facilitates that experts in discrimination explore social biases in word embeddings and masked language models. Experts can then characterize those biases so that their presence can be assessed more systematically, and actions can be planned to address them. They can work interactively to assess the effects of different characterizations of bias in a given word embedding or language model, which helps to specify informal intuitions in concrete resources for systematic testing.

### 1 Introduction

Machine learning models and data-driven systems are increasingly being used to support decision-making processes. Such processes may affect fundamental rights, like the right to receive an education or the right to non-discrimination. It is important that models can be assessed and audited to guarantee that such rights are not compromised. Ideally, a wider range of actors should be able to carry out those audits, especially those that are knowledgeable of the context where systems are deployed or those that would be affected.

Several studies found that linguistic representations learned from corpora contain associations that produce harmful effects when brought into practice, like invisibilization, self-censorship or simply as deterrents (Blodgett et al., 2020). The effects of these associations on downstream applications have been treated as *bias*, that is, as *systematic errors* that affect some populations more than others, more than could be attributed to a random distribution of errors. This biased distribution of errors results in discrimination of those populations. Unsurprisingly, such discrimination often

affects negatively populations that have been historically marginalized.

To detect and possibly reduce such harmful behaviour, many techniques for measuring and mitigating the bias encoded in word embeddings and Large Language Models (LLMs) have been proposed by NLP researchers and machine learning practitioners (Bolukbasi et al., 2016; Caliskan et al., 2017). In such works social scientists have been mainly reduced to the ancillary role of providing data for labeling, rather than being considered as core team (Kapoor and Narayanan, 2022). Current audits of data-driven systems often require technical skills that are beyond the capabilities of most of the people with knowledge on discrimination. The technical barrier has become a major hindrance to engaging experts and communities in the assessment of automated systems.

Moreover, we think approaching social risk mitigation through algorithmic calculations or adjustments is reductionist. We believe the part of the process that can most contribute to bias assessment are not subtle differences in metrics or technical complexities incrementally added to existing approaches, as is the case of a good portion of academic work in the area. Instead, we believe what can most contribute to an effective assessment of bias in NLP is precisely the linguistic characterization of the discrimination phenomena (Antoniak and Mimno, 2021).

That is why our aim with this work is to open up the participation of experts both on the complexities of the social world and on communities that are being directly affected by AI systems. Participation would allow processes to become transparent, accountable, and responsive to the needs of those directly affected by them.

The rest of this paper is organized as follows. In the next section we state the principles for integrating discrimination experts in the bias assessment process. We then review the shortcomings of

some approaches to bias assessment, and argue for the need for a tool specifically targeted to facilitate the integration of non-technical persons in the process of bias assessment. Then, we describe EDIA, the tool we developed to address this need. We finish with a discussion of our experiences in handson sessions with discrimination experts using the tool. Appendices with more extensive descriptions of the tool and a user story are also provided.

A demo of EDIA can be used at https://huggingface.co/spaces/vialibre/edia, allowing to explore the Word2Vec from Spanish Billion Word Corpus embedding (Cardellino, 2019) and BETO (Cañete et al., 2020) as the default language model. The tool is available at https://github.com/fvialibre/edia, and can be instantiated to explore different word embeddings and language models, independently of language, as is showcased in the Colab jupyter notebook illustrating the functionalities of EDIA<sup>1</sup>.

# 2 Principles for integrating experts in discrimination in bias assessment

# 2.1 Interaction with discrimination experts to obtain an adequate tool

To create a tool that is truly useful for discrimination experts, we carried out hands-on workshops with diverse experts. In these workshops we declared our assumptions and motivations for the bias assessment process, observed their interaction with the methodology and obtained their feedback on the experience.

We carried out two workshops before the graphical interface was developed, then developed the interface integrating the requests and observations from those experiences and carried out two more workshops. We used a pre-survey and a post-survey to register the participants expertise and to record their experience with the tool, their suggestions for improvement and their requests for features. In particular we designed a questionnaire to collect the principles that they valued in the different versions of the prototype. During our workshops we also registered the workflow that the experts followed and we developed a user story that they reviewed that is published in (Benotti et al., 2023).

## 2.2 The principles

With our initial motivations and the insights gathered in these workshops, we developed EDIA, a tool for bias assessment in NLP artifacts, that follows the following design principles:

Focus on expertise on discrimination, substituting highly technical concepts by more intuitive concepts whenever possible and making technical complexities transparent in the process of exploration. More concretely, by hiding concepts like "vector", "cosine", etc. whenever possible, for example, substituting them for the more intuitive "word", "contexts of occurrence", "similar".

**Qualitative characterization of bias,** instead of metric-based diagnosis or mitigation.

Integrate information about diverse aspects of linguistic constructs and their contexts.

- provide context: which corpora, concrete contexts of occurrence (concordances), to get a more accurate idea of actual uses or meanings, even those that may have not been taken into account.
- provide information on statistical properties of words (mostly number of occurrences in the corpus, and relative frequency in different subcorpora), that may account for unsuspected behavior, like infrequent words being strongly associated to other words merely by chance occurrences.
- position with respect to other words in the embedding space, and most similar words.

More complex representation of linguistic phenomena word-based approaches are oversimplistic, and cannot deal with polysemy (the ambiguity or vagueness of words with respect to the meanings they may convey) or multiword expressions. That is why we need more context. Inspecting LLMs instead of word embeddings allows to account for those aspects of words. This has the added advantage of being able to inspect LLMs.

In designing these principles, we prioritized the specific needs of the Latin American region. In Latin America, we need domain experts to be able to carry out these analyses with autonomy, not relying on an interdisciplinary team or on training, since both are usually not available.

¹https://colab.research.google.com/
drive/1bSo9oXpB7fHjPB5UZGKJAcyA0zXHGjZO?
usp=sharing

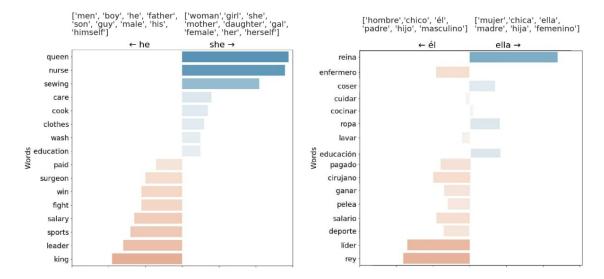


Figure 1: A list of 16 words in English (left) and a translation to Spanish (right) and the similarity of their word embeddings with respect to the list of words "woman, girl, she, mother, daughter, feminine" representing the concept "feminine", the list "man, boy, he, father, son, masculine" representing "masculine", and translations for both to Spanish. The English word embedding data and training is described in Bolukbasi et al. (2016) and the Spanish in by (Cañete et al., 2020). From the 16 words of interest, in English, 8 are more associated to the concept of "feminine", while in Spanish only 5 of them are. In particular, "nurse" in Spanish is morphologically marked with masculine gender in the word "enfermero" so, there is some degree of gender bias that needs to be taken into account to fully account for the behavior of the word. This figure illustrates that methodologies for bias detection developed for English are not directly applicable to other languages. Also, the figure illustrates that the observed biases depend completely on the list of words chosen.

# 3 A critical perspective on methods for bias assessment

In the last years the academic study of biases in language technology has been gaining growing relevance, with a variety of approaches accompanied by insightful critiques.

Early work on bias focused on finding metrics that allowed to adequately assess bias in word embeddings (i.e. Bolukbasi et al. (2016); Gonen and Goldberg (2019)). Most of the following work focused on technical subtleties about metrics, extensions to other languages or contexts, application to language models, evaluation on downstream tasks or automating the whole process, from assessment to mitigation (Guo and Caliskan, 2021; Guo et al., 2022; An et al., 2022; Kaneko and Bollegala, 2021).

# 3.1 On the importance of the linguistic representation of bias

Approaches to assess biases in word embeddings or large language models heavily rely on **lists of words** or **lists of sentences** to define the space of bias to be explored (Badilla et al., 2021). These resources have a crucial impact on how and which

biases are detected and mitigated (Antoniak and Mimno, 2021), but they are not central in the efforts devoted to this task. The methodologies for choosing the words to make these lists are varied: sometimes lists are crowd-sourced, sometimes hand-selected by researchers, and sometimes drawn from prior work in the social sciences. Most of them are developed in one specific context and then used in others without reflection on the domain or context shift. They are even translated to other languages, disregarding linguistic and cultural differences that result in very different behaviors of the same word lists (Garg et al., 2018), as shown in Figure 1.

Most of the published work on biases exploration and mitigation has been produced by computer scientists based on the northern hemisphere, in big labs which have access to large amounts of funding, computing power and data. Unsurprisingly, most of the work has been carried out the English language and for gender and race biases (Garg et al., 2018; Blodgett et al., 2020; Field et al., 2021). Lauscher and Glavaš (2019) make a comparison on biases across different languages, embedding techniques, and texts. Zhou et al.

(2019) and Gonen et al. (2019) develop 2 different detection and mitigation techniques for languages with grammatical gender that are applied as a post processing technique. Even if they are targeting more diverse biases and languages, these approaches add many technical barriers that require extensive machine learning knowledge from the person that applies these techniques. Therefore they fail to engage interactively with relevant expertise outside the field of computer science, and with domain experts from particular NLP applications.

### 3.2 Criticisms to metric-centered approaches

Nissim et al. (2020) argue that the underlying assumptions for some of the metrics are inadequate. Jia et al. (2020) provide evidence that a reduction of bias shown in metrics does not correlate with a reduction of bias in downstream tasks. Even more worryingly, Antoniak and Mimno (2021) showed that metrics for bias assessment are very sensitive to changes in the word lists that are used as a basis for the diagnosis. They conclude that word lists are probably unavoidable, but that no technical tool can absolve researchers from the duty to choose seeds carefully and intentionally.

Blodgett et al. (2021) examine four sets of contrastive sentences to evaluate bias in language models and apply a method —originating from the social sciences— to inventory a range of pitfalls that threaten these benchmarks' validity as measurement models for stereotyping. They find that these benchmarks frequently lack clear articulations of what is being measured, and they highlight a range of ambiguities and unstated assumptions that affect how these benchmarks conceptualize and operationalize stereotyping. Névéol et al. (2022) propose how to overcome some of these challenges by taking a culturally aware standpoint and a curation methodology when designing such benchmarks.

With respect to mitigation, Brunet et al. (2019) show that debiasing techniques are more effective when applied to the texts wherefrom embeddings are induced, rather than applying them directly in the already induced word embeddings. Prost et al. (2019) show that overly simplistic mitigation strategies actually worsen fairness metrics in downstream tasks. More insightful mitigation strategies are required to actually debias the whole embedding and not only those words used

to diagnose bias. However, debiasing input texts works best. Curating texts can be done automatically (Gonen et al., 2019) but this has yet to prove that it does not make matters worse. It is better that domain experts devise curation strategies for each particular case.

In spite of these well-founded critiques, work on bias in word embeddings and language models still revolves mainly around metrics and methods, and not so much on the participation of experts in the process of diagnosis. That is why we feel the need to facilitate the involvement of experts in bias assessment processes, so that the focus can be moved from technicalities to the problem itself.

In recent years, with the consolidation of bias assessment techniques, multiple frameworks have been developed to facilitate access to those techniques. We provide a description of some frameworks in Appendix B, and an overview of those with a graphical interface in Table 1.

Even in the case of those with a graphical interface, the design principles of those frameworks are still metric-centric, and most of them require mastery of machine learning methods and programming skills. Such requirements are usually barriers for non-technical profiles. As an alternative, we have developed EDIA, a no-code, no-statistics tool for experts to explore biases, which we describe in the following Section.

#### 4 An intuitive tool to explore bias

This section provides a description of EDIA (acronym for the Spanish of *Stereotypes and Discrimination in Artificial Intelligence*), a visual interface framework for the analysis of bias in word embeddings and in LLMs<sup>2</sup>. A more detailed description of the tool can be seen in Benotti et al. (2023).

EDIA follows the design principles stated in 2, trying to fill a gap in the landscape of existing frameworks for bias assessment. It provides four main functionalities: exploring the learning data, exploring the distribution of words in an embedding space, systematizing biases in words and exploring biases in sentences. In what follows we describe these functionalities. In Appendix A we describe a user story showcasing how this tool may be used.

<sup>&</sup>lt;sup>2</sup>EDIA is currently available at https://huggingface.co/spaces/vialibre/edia and https://github.com/fvialibre/edia.

Framework	Reference	Word Embeddings Analysis	Language Models Analysis	Requieres NLP Knowledge	Mitigation Techniques Implemented	Counterfactuals Analysis
WordBias	Ghai et al. (2021)	✓	Х	Х	Х	Х
VERB	Rathore et al. (2021)	✓	X	✓	✓	×
LIT	Tenney et al. (2020)	✓	✓	✓	X	✓
EDIA	Benotti et al. (2023)	✓	✓	X	×	×

Table 1: Description of frameworks with graphical interfaces available for bias analysis of embeddings or language models. The What-if Tool is not included in the table because it does not specifically target text data.

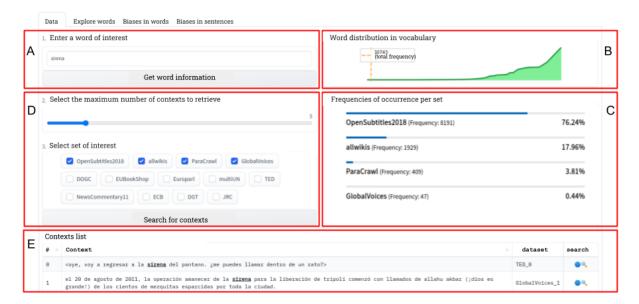


Figure 2: The Data tab of EDIA. The word of interest, selected in (A), is situated within the frequency plot of all of the words in the vocabulary in (B), and its relative frequency in different subcorpora is shown in (C). The user can retrieve contexts of occurrence of the word of interest in (D).

### 4.1 Exploration of the learning data

In hands-on experiences with discrimination experts, it was found that it was a huge priority for them to identify and study the origin of the data in detail. Indeed,

As can be seen in Figure 2, EDIA allows to explore the frequency of appearance of a word in the corpus used to train embeddings, as well as to access contexts of occurrence of those words. This allows for a more situated analysis of the word, to detect ambiguities and possible inadequate representations due to low frequencies.

# **4.2** Exploring the distribution of words in an embedding

This functionality, displayed in Figure 3, enables the visualization of a list of words of interest in a 2-dimensional space. This space is a more intuitive rendering of the original embedding space, obtained using PCA projection.

This visualization allows to assess the close-

ness (similarity) of the representations of different words, obtained from their contexts of occurrence in the training data.

Note that this assessment does not require any understanding of the methods used to obtain this visualization, such as vector space, cosine similarity, Principal Component Analysis or even embbeding. Without resorting to those concepts, users can obtain an intuitive notion of the potential behavior of words in applications using that embedding. Indeed, when working with users, we found that they could obtain very valuable insights from this visualization, which impacted in a more powerful usage of the functionality of bias in words.

We include a functionality to retrieve words that are similar to the words of interest. This is useful to detect unsuspected senses associated to a given token, and also to enlarge an initial word list.

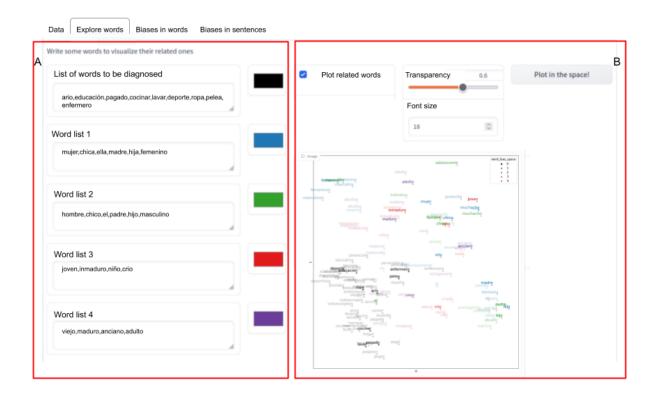


Figure 3: The Explore Words tab of EDIA. In (B), the lists of words of interest given in (A) are situated in a 2-dimensional projection of the original embedding space, obtained using PCA. Different colors are used to distinguish different lists of words. The interface also provides words that are close in the space, as suggestions.

### 4.3 Systematization of bias in words

The graphical interface to systematize the study of bias in words can be seen in Figure 4 for the case of two-bias space systematization, with a detail of the single-bias systematization shown in Figure 1.

Our core methodology to assess biases in word embeddings is iterative, relying on the feedback that the discrimination expert obtains from seeing how different words get represented in the embedding, and the adequacy of different word lists, or modifications on those word lists, to characterize the bias of interest.

The methodology is as follows:

- 1. Defining a **bias space**, usually binary, by defining pairs of opposed extremes, as in *male female*, *young old* or *high low*. Each of the extremes of the bias space is characterized by a list of words. This list of words, shown in (A) in Figure 4 and at the top of the diagrams in Figure 1, characterizes each of the extremes of the bias, and thus the bias space. If further refinement is needed, an additional **bias space** can be defined, that can be then combined with the first one in a space with four extremes, as shown in Figure 4.
- 2. Assessing the behaviour of words of interest in this bias space, finding how close they are to each of the extremes of the bias space. Closeness is calculated with the metric proposed by Bolukbasi et al. (2016), but can be substituted by another similarity metric in the deployment of the tool. This assessment shows whether a given word is more strongly associated to any of the two extremes of bias, and how strong that association is. In Figure 1 it can be seen that the word "nurse" is more strongly associated to the "female" extreme of the bias space, while the word "leader" is more strongly associated with the "male" extreme. Such assessment allows experts to state whether a given model is biased, in this case, they would state that it is biased with respect to professions as related to gender.
- 3. After seeing how words of interest distribute in the 2-way (Figure 1) or 4-way (Figure 4) bias space, and looking for an adequate representation of their bias of interest, experts may decide to:



Figure 4: The "Biases in Words" tab in EDIA. The words in (A.2) shape the bias space in (B), in this case, with four extremes: one with words related to feminine, another for masculine, for old and for young. The words listed in (A.1) are positioned in (B) with closeness relative to their cosine similarity to the words in each extreme.

- (a) modify some words of interest or some words in the definition of the bias extremes, possibly by resorting to exploring the distribution of words in an embedding, or exploring the training data, and going back to 2.
- (b) consolidate the lists of words as a good representation of the bias of interest.

After this iterative process is finished, an assessment of bias can be produced, describing the bias in a given word embedding. This is valuable information to take informed decisions like using that embedding or looking for another one, curating the training data and retraining the embedding, or others. Moreover, the consolidated lists of words can also be used to assess that kind of bias in any other embedding.

This form of bias assessment may be useful, but in hands-on workshops discrimination experts found that it was insufficient to characterize:

- words that were highly ambiguous, like "rico" (rich), that can refer to economic status, flavor or part of the name of a country (Puerto Rico, Costa Rica).
- biases that were non-binary, as in gender, age, geographical origin, and many others.
- biases where one of the extremes is unmarked, as in *indigenous* ??.

These limitations are mainly due to the fact that, in word embeddings, words are characterized in isolation. To address this limitation, the context of words needs to come into play. Thus, while the exploration of word embeddings may be useful, the exploration of language models, which is carried out via full utterances that provide context for words, is able to overcome these limitations.

# 4.4 Systematization of bias in language models

Large Language Models (LLMs) represent contextual meaning. This meaning cannot be analyzed in the analytical fashion that we have seen for word embeddings. However, LLMs can be queried in terms of preferences, that is, how probable it is that an LLM will produce a given sentence. Thus, we can assess the tendency of a given LLM to produce racist, sexist language or, in fact, language that invisibilizes or reinforces any given stereotype, as long as it can be represented in contrasting sequences of words.

Methodologies to explore bias in LLMs are proposed by Zhao et al. (2019); Nangia et al. (2020); Sedoc and Ungar (2019); Névéol et al. (2022). They are based on manually produced contrasting pairs of utterances that represent two versions of a scene, one that reinforces a stereotype and the other contrasting with the stereotype (what they call *antistereotype*). Then, the LLM is queried to assess whether it has more preference

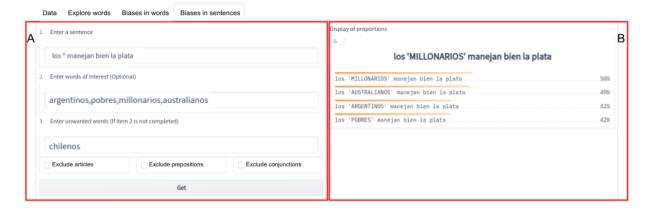


Figure 5: The "Biases in Sentences" tab in EDIA. The sentence in (A) contains a blank, represented by a "\*", which is filled by each word of interest. The preferences of the model for each of the variants of the sentence are displayed in (B).

for one or the other, and how much. Such preference is calculated following the metric proposed by Nangia et al. (2020). This allows to assess how probable it is that the LLM will produce language reinforcing the stereotype, that is, how biased it is for or against the encoded stereotype.

To explore bias in sentences, EDIA provides the functionality displayed in Figure 5. The user provides a sentence with a blank (in the prototype, the blank is represented with a \*). Then, the sentence is completed by filling the blank with different words, also provided by the user, that describe the different stereotypes or antistereotypes to be compared. Then, the preferences of the model to generate each of the sentences are showed. If the model shows uniform preferences, then we can state that the model has no bias with respect to the stereotypes and antistereotypes represented by the variants of the sentence. If preferences are not uniform, then some kind of bias can be assessed.

As with the exploration of word embeddings, experts can modify their lists of words and the words in the sentences, observing their probabilities in a given model, until they obtain a representation of their bias of interest that they deem adequate. The result of this iterative and interactive process is both an assessment of the model and a list of sentences that can be used to assess that same bias in other models, given that they are masked language models.

In Appendix A a detailed user story is provided, showcasing how this framework may be used.

#### 5 Discussion

We have argued that bias is a complex phenomenon that needs to be addressed with specific expertise, or else risk a reductionist approach. Such approaches have been shown to produce inadequate results. To our knowledge, existing tools to address bias require technical expertise of different kinds. Such requirement will probably hinder the involvement of discrimination experts in the bias assessment problem, specially those experts belonging to minorized communities or in the Global South.

We have developed a tool, EDIA, that eliminates unnecessary technicalities. The main aim of EDIA is to facilitate that discrimination experts can build the linguistic resources (word lists and word sentences) that are the keystone of bias assessment by interacting with the relevant word embeddings and language models.

We have worked with a variety of discrimination experts in four hands-on workshops, two before the development of the graphical interface of the tool, and two after an initial prototype, involving 70 and 30 experts on diverse fields and aspects of discrimination. Experts worked in their area of expertise, and successfully modeled different biases, including ageism, fatphobia, ableism or aporafobia. They also explored stereotypes associated to the province of origin within Argentina, gender violence, the young or different psychological features. Participants were satisfied and we are planning to carry out a second phase of the project where we expect them to produce linguistic resources resulting from their systematic exploration

of those biases.

We have also carried out hands-on sessions with general public, not discrimination experts, and they have been able to use EDIA to intuitively explore biases in language models and consolidate a critical perspective on those technologies.

The work presented here is just the starting point of a much longer endeavor. Our vision is that firms and institutions integrate this kind of exploration within the development of language technologies, engaging discrimination experts as a permanent asset in their teams, well before deploying any product. We would also like the general population to carry out this kind of audits, and that this is part of a more aware, empowering technology education for all.

We are also working toward building a repository of linguistic resources that represent different biases, as characterized by different communities and in different contexts.

#### 6 Limitations

For the development of our tool EDIA we designed three workshops with 50 people each in which we received feedback about its usability. We based our decisions on the feedback we received from different experts in discrimination in hands-on experiences using early prototypes of the tool. Most of the experts worked on gender discrimination and other kinds of discrimination are less represented in our workshops. For more detail on the workshops we conducted with users to assess design decisions and the overall accessibility of the methodology, see (Benotti et al., 2023).

We did not ensure that the participants in our workshops were representative of the intended population, although we did our best efforts to have people with diverse backgrounds in social and objectives. Although we did our best to have a diverse team, including social scientists, communicators, linguists and computer scientists, of diverse backgrounds, ages and geographical origins, we could not manage to integrate people with disabilities, or without university education.

Our workshops were conducted in Spanish. Our tool works for English too but the evaluation and the design was only evaluated for Spanish. We do not provide mitigation strategies in our tool, we only make bias assessment available for not experts.

Our assessment of bias in word embeddings is

limited to a binary representations of bias. We allow for a more nuanced analysis of biases by combining two binary biases, characterized by four extremes (feminine vs masculine, old vs young, etc), as displayed in Figure 4. The assessment of bias in large language models, through sentences, overcomes this limitation.

#### 7 Ethical Considerations

Our tool can benefit researchers from social sciences that want to study biases in word embeddings or language models. It can also be used by small companies that cannot train their own language models and that want to study the biases present in different pre trained language models when deciding which to use in their products.

The metrics we use to measure bias are known to have limitations (Badilla et al., 2021) and the benchmarks existing in the area (Blodgett et al., 2021). A potential risk of our tool is that users assume that our tool can be used to show that a model is not biased in a particular dimension without considering the limitations of the metrics and the benchmarks.

Finally, this work discusses how to involve discrimination experts in the exploration of biases in NLP and argues that this is important. This might discourage researchers in NLP working on bias analysis and mitigation to keep working in this area because they do not have access to interdisciplinary experts. In this way, we could discourage work in an area we believe is important. We think different approaches are valuable in this area and studying in more detail the metrics of the area is very important and needs deeper technical expertise. This might not require discrimination experts if reliable benchmarks are available in the area.

Participation in our workshops involved answering a pre-survey, a post-survey, and a 3-hour hands-on in-person workshop. Participants were volunteers and did not receive compensation.

EDIA does not censor the models, so words that might be censored by other tools can be explored. In one of our workshops the participants explored words associated to feminine sexuality vs words associated with masculine sexuality and found that feminine words were associated with disease while sexual masculine words were associated with health in the language model (Cañete et al., 2020).

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# A A user story showcasing how this tool may be used

In this Section we describe a user story that presents a paradigmatic process of bias exploration and assessment.

We would like to note that this user story was originally developed to be situated in Argentina, the local context of this project. It was distilled from experiences with data scientists and experts in discrimination that are described in (Benotti et al., 2023). However, in order to make understanding easier for non-Spanish speaking readers, we adapted the case to work with English, and consequently localized the use case as if it had happened in the United States.

The users. Marilina is a data scientist working on a project to develop an application that helps the public administration to classify citizens' requests and route them to the most adequate department in the public administration office she works for. Tomás is a social worker within the non-discrimination office, and wants to assess the possible discriminatory behaviours of such software.

**The context.** Marilina addresses the project as a supervised text classification problem. To classify new texts from citizens, they are compared to documents that were manually classified in the past. New texts are assigned the same label as the document that is most similar. Calculating similarity is a key point in this process, and can be done in many ways: programming rules explicitly, via machine learning with manual feature engineering or by deep learning, where a key component is word embeddings. Marilina observes that the latter approach has the least classification errors on the past data she separated for evaluation (the so called test set). Moreover, deep learning seems to be the preferred solution these days, it is often presented as a breakthrough for many natural language processing tasks. So Marilina decides to pursue that option.

An important component of the deep learning approach she uses are word embeddings. Marilina decides to try a well-known word embedding, pretrained on Wikipedia content. When she integrates

it in the pipeline, there is a boost in the performance of the system: more texts are classified correctly in her test set.

Looking for bias. Marilina decides to look at the classification results beyond the figures of classification precision. Being a descendant of Latin American immigrants, she looks at documents related to this societal group. She finds that applications for small business grants presented by Latin American immigrants or citizens of Latin American descent are sometimes erroneously classified as immigration issues and routed to the wrong department. These errors imply a longer process to address these requests in average, and sometimes misclassified requests get lost. In some cases, this mishap makes the applicant drop the process.

Finding systematic errors. Intrigued by this behaviour of the automatic pipeline, she makes a more thorough research into how requests by immigrants are classified, in comparison with requests by non-immigrants. As she did for Latin American requests, she finds that documents presented by other immigrants have a higher error rate than the non immigrants requests. She suspects that other societal groups may suffer from higher error rates, but she focuses on Latin American immigrants because she has a better understanding of the idiosyncrasy of that group, and it can help her establish a basis for further inquiry. She finds some patterns in the misclassifications. In particular, she finds that some particular business, like hairdressers or bakeries, accumulate more errors than others.

Finding the component responsible for bias. She traces the detail of how such documents are processed by the pipeline and finds that they are considered most similar to other documents that are not related to professional activities, but to immigration. The word embedding is the pipeline component that determines similarities, so she looks into the embedding with the EDIA toolkit<sup>3</sup>. She defines a bias space with "Latin American" in one extreme and "North American" in the other, and checks the relative position of some professions with respect to those two extremes, as can be seen in Figure 6, on the left. This graph is generated using the button called "Find 2 stereotypes" in the tab. She finds that, as she suspected, some of the words related to the professional field are more strongly related to words related to Latin American than to words related to North American, that is, words like "hairdresser" and "bakery" are closer to Latin American. However, the words more strongly associated to North American do not correspond to her intuitions. She is at a loss as to how to proceed with this inspection beyond the anecdotal findings, and how to take action with respect to the findings. That is when she calls for help to the non-discrimination office.

Assessing harm. The non-discrimination office appoints Tomás for the task of assessing the discriminatory behavior of the software. Briefed by Marilina about her findings, he finds that misclassifications do involve some harm to the affected people that is typified among the discriminatory practices that the office tries to prevent. Misclassification implies that the process takes longer than for other people, because they need to be reclassified manually before they can actually be taken care of. Sometimes, they are simply dismissed by the wrong civil servant, resulting in unequal denial of benefits. In many cases, the mistake itself has a negative effect on the self-perception of the issuer, making them feel less deserving and discouraging the pursuit of the grant or even the business initiative. Tomás can look at the output of the system, but he cannot see a rationale for the system's misclassifications, he doesn't know how the automatic classification works.

**Detecting the technical barrier.** Tomás understands that there is an underlying component of the software that is impacting in the behaviour of classification. Marilina explains to him that it is a pretrained word embedding, and that a word embedding is a projection of words from a sparse space where each context of co-occurrence is one of thousands of dimensions into a dense space where there are less dimensions, obtained with a neural network. She says that each word is a vector with numbers in each of those dimensions. Tomás feels that understanding the embedding is beyond his capabilities. Then Marilina explains to him that words are represented as a summary of their contexts of occurrence in a corpus of texts, but this cannot be directly seen, but explored using similarity between words, so that more similar words are closer.

Finding an intuitive tool for bias exploration. She shows him some of the tools available to as-

<sup>3</sup>https://github.com/fvialibre/edia

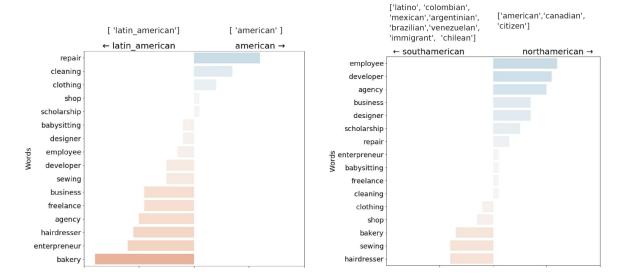


Figure 6: Different characterizations of the space of bias "Latin American" vs "North American", with different word lists created by a data scientist (left) and a social scientist (right), and the different effect to define the bias space as reflected in the position of the words of interest (column in the left).

sess bias in the EDIA demo<sup>4</sup>, which do not require Tomás to handle any programming or seeing any code. Marilina resorts to the available introductary materials for our tool to explain bias definition and exploration easily to Tomás using the "Biases in words" tab. He quickly grasps the concepts of bias space, definition of the space by lists of words, assessment by observing how words are positioned within that space, and exploration by modifying lists of words, both defining the space and positioned in the space using the "explore words" tab with words that he know are representative for their domain. He gets more insights on the possibilities of the techniques and on possible misunderstandings by reading examples and watching the short tutorials that can be found with the tool. He then understands that word ambiguity may obscure the phenomena that one wants to study if exploring single words, that word frequency has a big impact, and that language-specific phenomena, like grammatical gender or levels of formality, need to be carefully taken into account. He uses the tab "biases in sentences" when words are highly ambiguous or when he needs to express a concept using multiword expressions such as in "Latin America". After some toying with the demo, Tomás believes this tool allows him to adequately explore biases, so Marilina deploys a local instance of the tool, which will allow Tomás to as-

sess the embedding that she is actually using in her development, and the corpus it has been trained on.

## Explore the corpus behind the embeddings.

To begin with, Tomás wants to explore the words that are deemed similar to "Latin American", because he wants to see which words may be strongly associated to the concept, besides what Marilina already observed. He uses the "data tab" of EDIA, described in Section 4 to explore the data over which the embedding used by Marilina has been inferred. He finds that the embedding has been trained with texts from newspapers. Most of the news containing the word Latin American deal with catastrophes, troubles and other negative news from Latin American countries, or else portray stereotyped Latin Americans, referring to the typical customs of their countries of origin rather than to their facets as citizens in the United States. With respect to business and professions, Latin Americans tend to be depicted in accordance with the prevailing stereotypes and historic occupations of that societal group in the States, like construction workers, waiters, farm hands, etc. He concludes that this corpus, and, as a consequence, the word embedding obtained from it, contains many stereotypes about Latin Americans which are then related to the behaviour of the classification software, associating certain professional activities and demographic groups more strongly with immigration than with business. Marilina

<sup>4</sup>https://huggingface.co/spaces/
vialibre/edia

says that possibly they will have to find another word embedding, but he wants to characterize the biases first so that he can compare to other word embeddings.

#### Formalize a starting point for bias exploration.

Tomás builds lists of relevant words, with the final objective to make a report and take informed action to prevent discriminatory behavior. First, he builds the sets of words that will be representing each of the relevant extremes of the bias space. He realizes that Marilina's approach with only one word in each extreme is not quite robust, because it may be heavily influenced by properties of that single word. That is why he defines each of the extremes of the bias space with longer word lists, and experiments with different lists and how they determine the relative position of his words of interest. Words of interest are the words being positioned in the bias space, words that Tomás wants to characterize with respect to this bias because he suspects that their characterization is one of the causes for the discriminatory behavior of the classification software.

To find words to include in the word lists for the extremes, Tomás resorts to the functionality of finding the closest words in the embedding. Using "Latin American" as a starting point, he finds other similar words like "latino", and also nationalities of Latin America using the "Explore Words" tab.

He also explores the contexts of his words of interest. Doing this, he finds that "shop" occurs in many more contexts than he had originally imagined, many with different meanings, for example, short for Photoshop. This makes him think that this word is probably not a very good indicator of the kind of behavior in words that he is trying to characterize. He also finds that some professions that were initially interesting for him, like "capoeira trainer" are very infrequent and their characterization does not have a correspondence with his intuition about the meaning and use of the word, so he discards them.

Finally, he is satisfied with the definition provided by the word lists that can be seen in Figure 6, right. With that list of words, the characterization of the words of interest shows tendencies that have a correspondence with the misclassifications of the final system: applications from hair-dressers, bakers, dressmakers of latino origin or descent are misclassified more often than applications for other kinds of businesses.

Even though they are assessing biases in a word embedding, that represents words in isolation, collapsing all senses of a word, Tomás believes that once they are characterizing this bias, they may best take advantage of the effort and also build a list of sentences characterizing the same bias, to be used when assessing this same bias in a language model, for example, to assess the behavior of a chatbot. To provide him with inspiration, Marilina offers Tomás a benchmark for bias exploration developed for English and French (Névéol et al., 2022) and Tomás uses that dataset partially to define his own list of sentences to explore relevant biases in this domain.

## Report biases and propose a mitigation strat-

egy . With this characterization of the bias, Tomás can make a detailed report of the discriminatory behavior of the classification system. From the beginning, he suspected the cultural and social reasons behind the errors, which affect more often people of Latin American descent applying for subsidies for a certain kind of business. However, his intuitive manipulation of the underlying word embedding allowed him to find words and phrases that give rise to the pattern of behavior he was observing, going beyond the cases that he has actually been able to see as misclassified by the system, and predicting other cases.

Moreover, understanding the pattern of behavior allowed him to describe properties of the underlying corpus that would be desirable in order to find another word embedding. He can propose strategies like editing the sentences containing hairdressers, designers and bakers to show a more balanced mix of nationalities and ethnicities in them. Finally, he has a list of words and sentences that can give Marilisa to measure and compare the biases with respect to these aspects in other word embeddings

# B A comparison of frameworks for bias exploration

Multiple frameworks were developed in the last years for bias analysis. Most of them require mastery of machine learning methods and programming knowledge.

WordBias (Ghai et al., 2021) is a framework that aims to analyze embeddings biases by defining lists of words. In WordBias, new variables and lists of words may be defined. This framework allows the analysis of intersectional bias. The bias

evaluation is done by a score based on cosine distance between vectors and does not allow the incorporation of other metrics. Until October 2022, this framework is only available to analyze the word2vec embedding, without having the possibility to introduce other embeddings or models.

The Visualizing of embedding Representations for deBiasing system (VERB) (Rathore et al., 2021) is an open-source graphical interface framework that aims to study word embeddings. VERB enables users to select subsets of words and to visualize potential correlations. Also, VERB is a tool that helps users gain an understanding of the inner workings of the word embedding debiasing techniques by decomposing these techniques into interpretable steps and showing how words representation change using dimensionality reduction and interactive visual exploration. The target of this framework is, mainly, researchers with an NLP background, but it also helps NLP starters as an educational tool to understand some biases mitigations techniques in word embeddings.

The What-if tool (Wexler et al., 2019) is a framework that enables the bias analysis corresponding to a diverse kind of data. Although it is not focused on text data it allows this type of input. What-if tool offers multiple kinds of analysis, visualization, and evaluation of fairness through different metrics. To use this framework researchers with technical skills will be required to access the graphic interface due to is through Jupyter/ Colab Notebooks, Google Cloud, or Tensorboard, and, also, because multiple analysis options require some machine learning knowledge (e.g, selections between AUC, L1, L2 metrics). Own models can be evaluated but since it is not text-specific, it is not clear how the evaluation of words or sentences will be. This tool allows the evaluation of fairness through different metrics.

The Language Interpretability Tool (LIT) (Tenney et al., 2020) is an open-source platform for visualization and analysis of NLP models. It was designed mainly to understand the models' predictions, to explore in which examples the model underperforms, and to investigate the consistency behavior of the models by analyzing controlled changes in data points. LIT allows users to add new datapoints on the fly, to compare two models or data points, and provides local explanations and aggregated analysis. However, this tool requires extensive NLP understanding from the user.

Badilla et al. (2020) is an open source Python library called WEFE which is similar to Word-Bias in that it allows for the exploration of biases different to race and gender and in different languages. One of the focuses of WEFE is the comparison of different automatic metrics for biases measurement and mitigation. As WEFE, Fair-Learn (Bird et al., 2020) and responsibly (Hod, 2018) are Python libraries that enable auditing and mitigating biases in machine learning systems. However, in order to use these libraries, python programming skills are needed as it doesn't provide a graphical interface.

In sum, available frameworks, even if aimed to facilitate access to existing techniques, still require some knowledge of mathematical concepts and the metrics involved. Such requirements often work as barriers for non-technical profiles.

As an alternative, we have developed EDIA, a no-code, no-statistics tool for experts to explore biases. EDIA implements metrics for bias assessment in word embeddings (Bolukbasi et al., 2016) and in language models (Nangia et al., 2020) that have well-known caveats. However, in EDIA metrics are not central, but a tool for experts to explore associations in these artifacts. They are not determinant of actions to be taken, and can be replaced by more adequate approaches, when they are available, without substantial change in the methodology of work.

# Toward Disambiguating the Definitions of Abusive, Offensive, Toxic, and Uncivil Comments

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### **Abstract**

The definitions of *abusive, offensive, toxic* and *uncivil* comments used for annotating corpora for automated content moderation are highly intersected and researchers call for their disambiguation. We summarize the definitions of these terms as they appear in 23 papers across different fields. We compare examples given for *uncivil, offensive*, and *toxic* comments, attempting to foster more unified scientific resources. Additionally, we stress that the term *incivility* that frequently appears in social science literature has hardly been mentioned in the literature we analyzed that focuses on computational linguistics and natural language processing.

#### 1 Introduction

The current low to toxic quality of online discussions and the massive amount of user-generated content lead to the need of automatic content moderation (Su et al., 2018). But the definitions of which comments are actually in need of moderation are not standardized, resulting in a clutter of inconsistent annotated data sets which makes it difficult to build models using multiple data sources (Poletto et al., 2021). Phenomena such as hate speech and offensiveness cannot be distinguished by classification models and rare or subtle forms of abusive language are not detected (Davidson et al. 2017, Jurgens et al. 2019).

Fortuna et al. (2020) analyzed the similarity of classes of six distinct hate speech data sets and compared the predicted labels for these data sets with the Perspective API Toxicity Classifier. They came to the conclusion that many definitions are used for equivalent concepts. They called for avoidance of creating new categories and for referring to categories already existing in the literature. Furthermore, they stated that if a new category is defined it should be justified and clearly defined.

Khurana et al. (2022a) proposed a framework consisting of the aspects target group, dominance of target group, perpetrator characteristics, type of negative group reference, and potential consequences. This framework should provide the means to classify data sets on hate speech in a unified manner, but for now it has not been expanded on more subtle forms of abuse such as toxic speech.

We analyze and compare prominent papers across languages and fields focusing on online *abusiveness*, *incivility*, *offensiveness* and *toxicity*. Concretely, we contribute the following insights:

- An overview of the definitions of abusiveness, incivility, offensiveness and toxicity in the context of content moderation as they appear in 23 prominent papers across fields
- A comparison of examples given for *incivility*, *offensiveness* and *toxicity* in these papers
- Pointers to potentially relevant contents on incivility originating from the field of communication science

These efforts should inspire future work on how to merge already existing but non unified valuable data sources and on how to build annotated corpora which are compatible with existing corpora.

## 2 Related Work

Madukwe et al. (2020) compared the attributes of existing data sets for hate speech detection. They outlined their limitations, called for a benchmark data set and recommend approaches for improving quality of research in this field.

Risch et al. (2021a) provided code to automatically merge the labels of 43 data sets, resulting in 57 sub classes of toxicity. Yet, they did not provide detailed information on the meaning of the labels.

In order to be able to detect nuances of abusive language and to provide well-defined classes for

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classification models, more fine grained annotations were proposed:

Directed towards an individual / a generalized group	Waseem et al. 2017
Targeted (to an individual or a group), Not targeted	Zampieri et al. 2019a
Explicit, Implicit	Waseem et al. 2017, Ousidhoum et al. 2019, Caselli et al. 2020, Demus et al. 2022b
Target group	Basile et al. 2019, Ousidhoum et al. 2019, Shvets et al. 2021, Khurana et al. 2022b, Demus et al. 2022b
Attribute based on which post discriminates	Ousidhoum et al. 2019, Shvets et al. 2021
Annotators' feelings Criminal relevance	Ousidhoum et al. 2019 Demus et al. 2022b
Cilillia icicvanec	Dellius et al. 20220

## 3 Definitions of Abusive, Offensive, Toxic, and Uncivil Talk

We analyzed prominent papers across fields and languages treating the terms *abusiveness* (*abusive language / speech*), *offensiveness* (*offensive language / speech*), *toxicity* (*toxic language / speech*) and *incivility* (*uncivil language / speech*).

The analyzed sources contain six overviews of shared tasks (Germeval and Semeval) on abusive, offensive or toxic comment classification in German and English, two toxicity classification challenges by Google Jigsaw, a survey paper on hate speech detection, two resource papers on annotated hate speech corpora, one resource paper on an annotated corpus on offensive comments, five papers on different aspects of hate speech and toxic comment detection and six papers from the social science domain. Only three of the analyzed papers have less than 30 citations (they are all from 2021). Only Risch et al. (2021b) referred to annotation guidelines which were not entirely documented in the paper. We analyzed the annotation guidelines documented in the papers.

We summarized the definitions for the concepts in Table 1. The definitions vary notably in their length and scope for all concepts. Furthermore, we can observe a difference in the publication venues where definitions for the distinct concepts appear.

## 4 Relations of Abusive, Offensive, Toxic, and Uncivil Talk

We summarized the verbally expressed statements of how the concepts relate to each other in the papers (Table 2). The analyzed papers are the same as in Table 1. A=B means that concepts A and

B were used as synonyms.  $A \subset B$  expresses that B was understood as a broader concept than A and that all instances of A are also instances of B. To give an example, Pavlopoulos et al. (2021b) stated that "[...] the majority of the short spans comprises common cuss or clearly abusive words, which can be directly classified as toxic" in their error analysis. From this sentence we extracted the relation Abusive  $\subset$  Toxic. Another example is the relation depicted in Fortuna et al. (2020): "[...] Scientific publications focused on the automatic detection of different types of offensive speech, among them, e.g., toxicity, hate, abuse [...]".

Implications such as  $B\supset A$  were not added to the table for readability.  $A\subseteq B$  expresses the same as  $A\subset B$ , additionally there is the possibility that A and B are the same concept, but this is not explicitly stated.  $A\not\subset B$  depicts that the authors implicitly state that there exist instances which are examples of concept A but not of concept B.

The implications of all these statements clearly lead to several contradictions, which point once more to the fact that there do not exist generally accepted definitions of these concepts.

## 5 Instances of Offensive, Toxic, and Uncivil Talk

We manually extracted examples given for the distinct concepts in the analyzed papers. We will henceforth call these examples *instances*. For instance, a *hurtful* comment is an *instance* of an *offensive* comment according to Wiegand et al. (2019) (Table 1). The extracted instances can be found in Figures 1 and 2. The instances were extracted from the papers appearing in Table 1. We either found the instances as examples given for the definitions of the concepts or from the annotation guidelines appearing in the papers. We fused the following terms which we considered to be very similar:

 $\begin{array}{lll} \text{Degrading} & \to \text{Aspersion} \\ \text{Derogatory} & \to \text{Pejorative} \\ \text{Disrespectful} & \to \text{Rude} \\ \text{Identity attack} & \to \text{Personal attack} \\ \text{Vulgarity, swearing} & \to \text{Profanity} \end{array}$ 

We found few instances for *abusiveness*, therefore we did not depict them in the figures.

Paper / Shared task	Toxic talk / Toxicity
Jigsaw 2018, Jigsaw	Likely to make someone leave a discussion
2019, Risch et al. 2021a	(Disrespect, rudeness)
Poletto et al. 2021	(Aggressiveness, hate speech, homophobia, misogyny, racism)
SemEval 2021 (Pavlopou-	Somewhat likely to make a user leave a discussion or give up on sharing their perspective
los et al.)	(Disrespect, identity attacks, insults, obscenity, rudeness, threats, unreasonableness)
Germeval 2021 (Risch	Uncivil forms of communication
et al.)	(Accusation of lying, attacks on democracy, discrimination or discreditation of participants,
	implied volume via capital letters, insults of participants, vulgarity, sarcasm, making it difficult
	for others to participate, threats of violence)
Demus et al. 2022a	Potential of a comment to "poison" a conversation. Encourages aggressive responses or triggers
	other participants to leave the conversation.
	Offensive talk / Offensiveness
Davidson et al. 2017	Targets disadvantaged social groups in a potentially harmful manner
Germeval 2018 (Wiegand	Abusive language, insults, profanity
et al.) Germeval 2019	
(Struß et al.)	
Semeval 2019 (Zampieri	Any form of non-acceptable language, or a targeted offense, veiled or direct. This consists of
et al.)	insult/threat to an individual or a group or profanity and swearing.
Wiegand et al. 2019	Hurtful, derogatory or obscene utterances to another person
S 12020 (7	(Cyberbullying, hate speech)
Semeval 2020 (Zampieri	Targeted insult or threat towards a group or an individual, or text containing untargeted profanity
et al.) Paasch-Colberg et al.	or swearing Insults, degrading metaphors, degrading wordplays, slurs
2021	msuns, degrading metaphors, degrading wordplays, sturs
Quandt et al. 2022	Attacks against single individuals that violate norms of politeness
Quantiti et un 2022	(Cyberbullying, trolling)
	Abusive talk / Abusiveness
Germeval 2018 (Wiegand	Ascribing a social identity to a person that is judged negatively by a (perceived) majority of
et al.) Germeval 2019	society. This identity is seen as a shameful, unworthy, morally objectionable or marginal identity.
(Struß et al.)	The target of judgment is seen as a representative of a group and it is ascribed negative qualities
(2.2.2.2.2.2.2.2.2.2.2.2.2.2.2.2.2.2.2.	that are taken to be universal.
Ousidhoum et al. 2019	A tweet sounding dangerous
	Uncivil talk / Incivility
Coe et al. 2014	Unnecessarily disrespectful tone toward the discussion forum, its participants, or its topics. Key
	forms: Aspersion, name-calling, lying, pejorative speech, vulgarity
Muddiman 2017	Rudeness, emotion, name-calling, extreme partisan attacks (e.g. calling the political opposition
	Nazis), norm violations (e.g. misinformation)
Rossini 2019	Mockery, disdain, pejorative language, profanity, personal attacks focused on demeaning charac-
	teristics, personality, ideas, or arguments
Otto et al. 2020	Violation of norms of interpersonal interaction
	(Eye-rolling, exaggeration, ignoring the opponent, insults, name calling)
Germeval 2021 (Risch	Violation of democratic discourse values
et al.)	(Attacking basic democratic principles, complicating participation of others)
Rossini 2022	Violation of discussion and social norms. Sub types: Attacks on arguments or perspective, lying
	and aspersion, personal attack, profanity or vulgarity. (Shouting)

Table 1: Definitions of *abusive*, *offensive*, *toxic* and *uncivil* speech according to distinct sources. Pink lines represent papers published in venues mainly covering computational linguistics and NLP, blue lines represent venues mainly covering other fields. Terms in brackets are examples given for the respective concept.

Paper	Toxic	Offense	Abuse	Uncivil
Germeval 2018			○ Offense	
Germeval 2019			○ Offense	
Wiegand et al. 2019		= Abuse	= Offense	
Fortuna et al. 2020	$\subseteq$ Offense		$\subseteq$ Offense	
Semeval 2020	_	$\subseteq$ Abuse	_	
Germeval 2021c	⊆ Uncivil	= Toxic	= Toxic	
Poletto et al. 2021	= Abuse	⊈Toxic	= Toxic	
Risch et al. 2021a		⊆ Toxic	$\subseteq$ Toxic	$\subseteq$ Toxic
SemEval 2021a		_		_
Shvets et al. 2021		∠Abuse		
Gevers et al. 2022		$\subseteq$ Toxic	$\subseteq$ Toxic	
Rossini 2022		⊂ Abuse	_	
Quandt et al. 2022		∪ncivil		, , , ,

Table 2: Subcategories of *abusive*, *offensive*, *toxic* and *uncivil* speech as expressed in the papers we analyzed. Some relations we extracted were only briefly mentioned in the paper. See Section 4 for details.

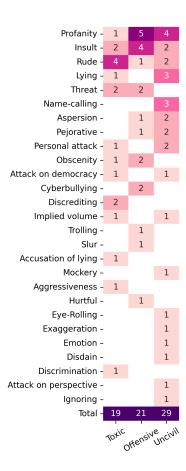


Figure 1: Instances of *incivility, offensiveness* and *toxi-city*. The numbers represent the counts of the instances appearing in distinct papers.

#### 6 Discussion

We found considerable overlap of instances considered as *offensive*, *toxic* and *uncivil* in distinct papers (Figure 2). Additionally, we verified inconsistencies regarding the percieved relations of *abusive*, *offensive*, *toxic* or *uncivil* speech (Table 2). Therefore, we propose that literature and annotated data sets on all four concepts should be taken into account when working with one of them. Tables 1 and 2 serve as initial pointers to distinct sources. The research community would benefit from exact working definitions and from listings of data and models with compatible concepts and labels.

Fortuna et al. (2020) point out that fine grained labels representing distinct aspects of a broader phenomenon such as *abusive*, *offensive* and *toxic speech* inherently allow for the classification model to learn more nuanced appearances of this phenomenon. They furthermore state that future annotations should be based on existing annotation guidelines in order to make data sets compatible. This is not a trivial task given that existing anno-

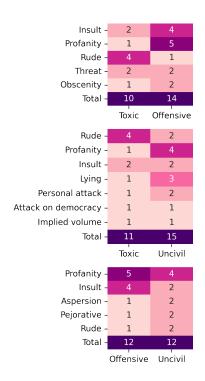


Figure 2: Common instances of *incivility, offensiveness* and *toxicity* in the literature we analyzed

tations are based on distinct perceptions of related phenomena (Table 2). We expanded the framework for developing annotation guidelines for hate speech by Khurana et al. (2022b) with suggestions for aspects which could be taken into account for annotating data sets of *abusive*, *offensive*, *uncivil* or *toxic* comments based on our findings of the previous sections (Figure 3).

# 7 *Incivility* from Communication Scientists' Perspectives

We noticed a considerable overlap of instances considered as *uncivil* and instances considered as *offensive* or *toxic* (Figure 2). At the same time, the term *incivility* did not appear in most of the papers published at venues for natural language processing and computational linguistics we screened (Tables 1 and 2). We provide examples of works originating from communication science exhibiting potential relevance for automated classification of *abusive*, *offensive*, *toxic* and *uncivil speech*.

Coe et al. (2014) found that incivility is associated with contextual factors such as the topic of the article and the sources quoted within the article. Moreover, they state that frequent users are more civil than infrequent users.

```
Targeted / Not targeted
    Targeted towards...
          Individual
          Group
          Other (e.g., an organization, a situation, an issue)
               Democracy
    Reference to target through...
          Stereotype
          Characteristic
          Slur
Target Group
    Color
                     Disability
                                        Ethnicity
    Gender
                     Nationality
                                        Sexual Orientation
    Race
                     Religion
                                        Class
    Language
Are perpetrator characteristics taken into account?
    Depends on severity, Specify:_
        Dominance of the group
        Societal role
        Member of target group itself
    No
Type
    Accusation of lying
    Aspersion
    Discrediting
    Expression or spreading of fear out of ignorance
    Implied volume via capital letters
    Incite
        Discrimination
        Hate
        Violence
    Lying
    Mockery
    Name-calling
    Obscenity
    Peiorative
    Profanity
    Rudeness
    Threats
Explicit / Implicit
Annotators' feelings
```

Figure 3: Aspects which can be taken into account when annotating *abusive*, *offensive*, *toxic* or *uncivil* comments. The scheme is an expansion of a proposed scheme for *hate speech* annotation by Khurana et al. (2022b). Aspects proposed in referenced papers in the table of Section 2 and instances found in the analyzed papers (Section 5) were used for expanding the framework. Note that it does not guarantee to cover all cases of *offensive*, *toxic* and *uncivil* language, it rather presents a summary of the 23 papers we scanned.

**Criminal Relevance** 

Muddiman (2017) found that personal-level incivility (impoliteness) is perceived as more uncivil than public-level incivility (e.g. lack of deliberativeness).

Otto et al. (2020) showed that political conflict has negative effects on political participation intention in a homogeneous manner across the Netherlands, UK and Spain. Classification models across certain languages could rely on similar annotation guidelines. Furthermore, they show that people with low tolerance for disagreement are more affected by uncivil conflict. These insights can be related to approaches where distinct classification models are trained for distinct groups of people (Akhtar et al., 2020).

#### 8 Conclusion and Future Work

We provided an overview of definitions of the terms abusiveness, incivility, offensiveness and toxicity as they appear in the context of (automated) content moderation in 23 papers across fields. Furthermore, we compared examples given for these concepts and reflected on a more unified usage of these terms in the scientific literature on automated content moderation. Based on existing annotation guidelines, we proposed aspects which can be taken into account when designing annotation guidelines for one of the four concepts. Lastly, we introduced some examples of scientific literature on incivility from communication scientists' perspectives.

This paper should provoke initial thoughts on a framework for designing annotation guidelines for classifying *abusive*, *offensive*, *toxic* and *uncivil* comments that can be tailored to different tasks. There are more concepts similar to these four terms such as *intolerant speech / talk* and *dark participation* which could be analyzed as well.

#### Limitations

This work should serve as a pointer to awareness according to terms used in the automatic classification of *abusive*, *offensive*, *toxic* and *uncivil* online comments. It does not represent a structured review paper, therefore, we cannot guarantee to depict all usages of these terms in the context of automated content moderation.

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# Probing Pre-Trained Language Models for Cross-Cultural Differences in Values

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#### **Abstract**

Language embeds information about social, cultural, and political values people hold. Prior work has explored potentially harmful social biases encoded in Pre-trained Language Models (PLMs). However, there has been no systematic study investigating how values embedded in these models vary across cultures. In this paper, we introduce probes to study which crosscultural values are embedded in these models, and whether they align with existing theories and cross-cultural values surveys. We find that PLMs capture differences in values across cultures, but those only weakly align with established values surveys. We discuss implications of using mis-aligned models in cross-cultural settings, as well as ways of aligning PLMs with values surveys.

#### 1 Introduction

A person's identity, values and stances are often reflected in the linguistic choices one makes (Jaffe, 2009; Norton, 1997). This is why, when language models are trained on large text corpora, they not only learn to understand language, but also pick up on a variety of societal and cultural biases (Stanczak et al., 2021). While biases picked up by the PLMs have a potential to cause harm when used in a downstream application, they may also serve as tools which provide insights into understanding cultural phenomena. Further, while studying ways of surfacing and mitigating potentially harmful biases is an active area of research, cultural biases and values picked up by PLMs remain understudied. Here, we investigate cultural values and differences among them picked up by PLMs through their pre-training on Web text.

In a wide range of social science research fields, values are a crucial tool for understanding cross-cultural differences. As defined by Rokeach (2008), values are the "core conceptions of the desirable within every individual and society", i.e., the foundation for the beliefs guiding a persons actions and

on a society level the base for the guiding principles. We would like to highlight the difference we make between values and morals. The former, as conceptualised in this work, is concerned with fundamental beliefs an individual or a group holds towards socio-cultural topics, whereas the latter entails making a judgement towards individual or collective right or wrong. For a discussion around the intersection of morality and PLMs, we point the reader to Talat et al. (2021). In this paper, we base our understanding of values across cultures on two studies: Hofstede (2005), which defines 6 dimensions to describe cross-cultural differences in values, and the World Values Survey (WVS) (Haerpfer et al., 2022). Both surveys provide numerical value scores for several categories on a population level across different countries and regions and are widely used to understand cross-cultural differences in values.

PLMs are trained on large amounts of text from the Web and have shown to pick up on semantic, syntactical, factual and other forms of knowledge which allow them to perform well across several Natural Language Processing (NLP) tasks. Since multilingual PLMs are trained on text in many languages, they have the potential to pick up cultural values through word associations expressed in those languages which are embedded in the pretraining texts. We therefore measure whether cultural values embedded in multilingual PLMs are correlated with the ones provided by the surveys. In Wikipedia, which is one of the primary sources of training data for multilingual PLMs, cross-cultural differences have been established (Miquel-Ribé and Laniado, 2019), and analysed by Hara et al. (2010) based on Hofstede's theory.

In this paper, we explore the novel research question of whether PLMs capture cultural differences in terms of values across different language models. We probe PLMs using questions from the values surveys of both Hofstede's cultural dimensions the-

ory and the World Values Survey. We reformulate the survey questions to probes and extract the answers to evaluate whether language models can capture cultural differences based on their training data. We focus on 13 languages, each of which is primarily geographically restricted to one country or region, to compare the results of the language models to the values surveys. The overall experimental setting for the paper is outlined in Figure 1.

Our work makes the following **contributions**<sup>1</sup>:

- We present the first study measuring cultural values embedded in large Pre-trained Language Models
- We propose a methodology for probing for values by converting survey questions to cloze style questions
- We conduct experiments across 13 languages with three multilingual language models (mBERT, XLM, and XLM-R), showing value alignment correlations with two large scale values surveys
- We present a discussion around potential implications of deploying these models in a multi-cultural context

#### 2 Related Work

**Expression and Norms** Analysis of expression of identity and attitudes through language and its change has a long history in sociolinguistics (Labov, 1963; Trudgill, 2002). More recently, studies have used NLP to computationally analyse this change on social media data (Eisenstein et al., 2014; Hovy et al., 2015) and link it to external factors like socioeconomic status (Abitbol et al., 2018) and demographics (Jurgens et al., 2017). This has also been done to analyse broader societal trends like temporal change in attitudes towards sexuality (CH-Wang and Jurgens, 2021) and gender bias (Sap et al., 2017; Stanczak and Augenstein, 2021). Further, there has been work on creating resources to analyse social norms and commonsense reasoning around them (Forbes et al., 2020; Emelin et al., 2021; Sap et al., 2020). Hoover et al. (2020); Roy et al. (2021) present work on extracting moral sentiment embedded in language using the Moral Foundation Theory. To diversify visually grounded reasoning across different cultures, Liu

et al. (2021) introduce a multimodal multilingual dataset.

While there has been work on investigating and embedding social and moral norms, understanding values and their variation in a cross-cultural context remains understudied in the literature. Kiesel et al. (2022) provide a taxonomy of 54 values based on Schwartz et al. (2012) and provide a dataset and baselines for automatic value classification within the context of argument mining. The closest setup to ours would be one adopted by Johnson et al. (2022). They qualitatively assess the text generated by GPT-3, an autoregressive language model, by prompting it with English texts with a clear embedded value. They find that the embedded values in the generated texts were altered to be more in line with dominant values of US citizens, possibly due to its training data. Our setup instead quantitatively measures whether cross-cultural differences in these values are preserved in multilingual language models when fed with the language spoken predominantly by people belonging to that culture.

**Probing** Probing has been extensively used as tool to study a variety of knowledge and biases picked up by PLMs. This can be syntactic (Hewitt and Manning, 2019), semantic (Vulić et al., 2020), numerical (Wallace et al., 2019), relational (Petroni et al., 2019) or factual knowledge (Jiang et al., 2020) picked up by PLMs. Probes can be created on both, at the word or sentence level (Mosbach et al., 2020).

Following work (Caliskan et al., 2017; Garg et al., 2018) on studying gender bias in word embeddings, a number of studies have built on it to similarly probe for social biases embedded in PLMs (May et al., 2019; Guo and Caliskan, 2021; Stańczak et al., 2021; Ousidhoum et al., 2021; de Vassimon Manela et al., 2021; Stanczak et al., 2021). This can be done using cloze-style probing for measuring at an intra-sentence level (Nadeem et al., 2021) or using pseduo-log likelihood (Salazar et al., 2020) based scoring (Nangia et al., 2020). There are downsides to both approaches, the former potentially introduces unintended bias based on the tokens in the input probe while the latter assumes that all masked tokens are statistically independent (Kaneko and Bollegala, 2022). We choose the former since the probes in our case are carefully worded by social scientists with the explicit aim to extract bias towards a certain set of values.

To the best of our knowledge, there is no existing

<sup>&</sup>lt;sup>1</sup>The code and data used for our experiments can be found here.

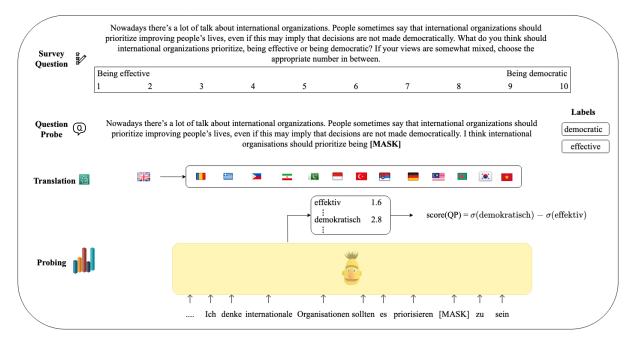


Figure 1: Figure outlining the experimental setting for the paper. We take the original survey questions (Section 4), convert them into Question Probes and translate these into the target languages (Section 5) and run inference on the mask probes (Section 6.2)

work on probing for values embedded in PLMs in a comparative cultural context.

## 3 Value Probing

In this paper, we explore how PLMs capture differences in values across cultures, and whether those differences reflect the ones found in values across cultures at large. To compare the PLMs' encodings of values, we compare them with established surveys capturing cross-cultual differences in values, namely Hofstede's cultural dimensions theory and the World Values Survey (WVS) (Section 4). We transform the survey questions introduced in those surveys for compatibility with PLMs by reformulating them semi-automatically to convert them into probes (Section 5). Then we translate these created probes from English into 13 geographically localised languages to conduct cultural value probing across 13 cultures (Section 5). Finally, we assess the variance in cross-cultural values embedded in PLMs and compare the probing results to the established values surveys in Section 7.

We investigate the following **research questions** as a first step to exploring this novel area of probing cross-cultural differences in values:

**RQ1** Do PLMs capture diversity across cultures for the established values?

**RQ2** Are there similarities in the embedded values

across different PLMs?

**RQ3** Do values embedded in PLMs align with existing values surveys?

#### 4 Values Surveys

We base our work on previous studies on how values differ across cultures. As these are central to a number of research fields including political science, psychology, sociology, behavioral economics, cross-cultural research among others, there are a large number of studies utilising the scores provided by these values surveys. Among the most common ones are Hofstede's cultural dimensions theory and the World Values Survey. These studies build on the body of work in different fields: Hofstede's theory is derived from management studies (Hofstede, 1984), while the WVS was developed in the field of political science (Inglehart, 2006). Both studies have since been widely used across fields.

#### 4.1 Hofstede's Cultural Dimensions Theory

Hofstede started his surveys of cross-cultural differences in values in 1980. This first survey (Hofstede, 1984) included 116,000 participants from 40 countries (extended to 111 countries and regions in the 2015 version) working with IBM, and created 4 cultural dimensions, which were subsequently extended to 6 cultural dimensions that are also used in

this paper. These 6 dimensions are: Power Distance (pdi), Individualism (idv), Uncertainity Avoidance (uai), Masculinity (mas), Long-term Orientation (lto), Indulgence (ivr). The full survey contains 24 questions. Each dimension is calculated using a formula defined by Hofstede using 4 of the questions in the survey, see Appendix F. Hofstede shows the influence that culture has on values by defining distinctly different numerical values in those 6 dimensions for the cultures observed. While critics of Hofstede's cultural dimensions theory point out, among others, the simplicity of the approach of mapping cultures to countries and question the timeliness of the approach (Nasif et al., 1991), this model of representing values is now a foundation for a large body of work on cross-cultural differences in values (Jones, 2007).

## 4.2 World Values Survey (WVS)

The World Values Survey (WVS, Haerpfer et al. (2022)) collects data on peoples' values across cultures in a more detailed way than Hofstede's cultural dimensions theory. The survey started in 1981 and is conducted by a nonprofit organisation, which includes a network of international researchers. It is conducted in waves, to collect data on how values change over time. The latest wave, wave 7, ran from 2017 to 2020. Compared to the European Values Study<sup>2</sup>, WVS targets all countries and regions, and includes 57 countries. While Hofstede's cultural dimensions theory aggregates the findings of their survey into the 6 cultural dimensions, WVS publishes the results of their survey per question. Those are organised in 13 categories: (1) Social Values, Attitudes and Stereotypes, (2) Happiness and Well-being, (3) Social Capital, Trust and Organisational Membership, (4) Economic Values, (5) Corruption, (6) Migration, (7) Security, (8) Postmaterialist Index, (9) Science and Technology, (10) Religious Values, (11) Ethical Values and Norms, (12) Political Interest and Political Participation, (13) Political Culture and Regimes.

We exclude categories (4) and (8) for the experiments in this study. This was done due to the nature of questions asked in these categories, for which it was not straightforward to design mask probes without loss of information.

Inglehart (2006), who established WVS, further defines the *Inglehart–Welzel cultural map*, which processes the surveys and defines two dimensions

in relation to each other: traditional versus secularrational values and survival versus self-expression values, and summarise values for countries on a scatter plot describing these dimensions. In the following, we only use the previously mentioned 11 categories and leave an analysis based on the Inglehart–Welzel cultural map for future work.

#### 5 Probe Generation

In order to make the surveys compatible with language models, we reformulate the survey questions to cloze-style question probes (Taylor, 1953; Hermann et al., 2015) that we can then perform masked language modelling inference on. Since this is the task PLMs were trained on, we argue it is a suitable methodology to measure embedded cultural biases in these models.

Hofstede's Cultural Dimensions Based on the English survey questions, the questions in the survey are manually reformulated to question probes (QPs). This is done analogously to iterative categorisation, in which a set of possible labels  $(y_i^+, y_i^-)$  corresponding to either end of the response options available in the survey are defined, which are the words the language models are probed for. The sentences are then reformulated to probes, and the labels masked. Those labels are based on the answers of the original survey, for instance, the original question like have sufficient time for personal or home life with answer options consisting of different degrees of importance, the probe is reformulated to Having sufficient time for personal or home life is [MASK], where [MASK] should be replaced by *important* or *unimportant*.

$$QPs = \{W_i, y_i^+, y_i^-\}$$

where  $W_i$  is the masked probe and  $y_i^+$  and  $y_i^-$  are the set of labels. There are a total of 24 questions with repeating labels.

World Values Survey Analogous to the probes created from the Hofstede survey, we create probes from the English questionnaire of the WVS. As there are more questions than for Hofstede (238 in total), there are also a larger number of labels to replace and a higher variety of question types.

**Multilingual Probes** To probe across several languages, we follow a semi-automatic methodology for translating the created probes in English to the

<sup>&</sup>lt;sup>2</sup>https://europeanvaluesstudy.eu/

target language. We use a translation API<sup>3</sup> that covers all target languages. We translate each QP from English into the target language with the [MASK] token replaced by the label words  $[y_i^+, y_i^-]$  in order to maintain grammatical structure and aid the translation API. One challenge of cross-cultural research is information loss when translating survey questions (Nasif et al., 1991; Hofstede, 1984). Therefore we opted for this approach rather than reformulating the translated survey questions by Hofstede. However, we would like to highlight the shortcomings of machine translation which have poor performance on low resource languages and has the potential to introduce additional biases. For the purpose of these experiments however, since the question probes are relatively simple sentences, we found the machine translations to be of high quality. We conducted an evaluation of our machine translated probes, the details for which can be found in the Appendix B. The target labels  $[y_i^+, y_i^-]$  for each QP are then translated individually as single words (e.g. important is translated from English to the German wichtig), followed by lowercased string matching to check if the translated label can be found and replaced in the translated probe. If the target label cannot be found directly in the translated probe due to differences in word choice, we use a cross-lingual word aligner (Dou and Neubig, 2021) to align the English probe and its translated version. With this approach, we identify the label word to be replaced with the mask token. If both approaches yield no result, the token is manually replaced in the target sentence based on the authors' language understanding and using online translators.

Language Selection In total, we investigate 13 languages, mapped to one country each as outlined in Table 1, according to criteria further detailed below. One of the limitations of this one-to-one mapping is that the languages are spoken in wider regions and not specifically in one country (disregarding also e.g. diaspora communities). This allows for the closest match to the values theories we work with, which operate on a country level. The definition of culture by country has been criticised by, e.g., Nasif et al. (1991).

We select the languages as follows: We first include the countries covered in both the surveys of WVS and Hofstede. We limit to languages which are official languages of the countries observed in

Country	Language	Wikipedia size
Romania	Romanian (ro)	428,330
Greece	Greek (el)	207,647
Pakistan	Urdu (ur)	168,587
Iran	Farsi (fa)	872,240
Philippines	Tagalog (tl)	43,145
Indonesia	Indonesian (id)	618,395
Germany	German (de)	2,675,084
Malaysia	Malay (ms)	356,937
Bangladesh	Bengali (bn)	119,619
Serbia	Serbian (sr)	656,627
Turkey	Turkish (tr)	475,984
Vietnam	Vietnamese (vi)	1,270,712
South Korea	Korean (ko)	582,977

Table 1: Mapping of countries (cultures) to languages used throughout this paper, including number of articles per Wikipedia language as of March 2022.

the studies of both WVS and Hofstede. We further select languages for which the distribution of speakers is primarily localized to a country or relatively narrow geographical region. To ensure the language models will be able to have (potentially) sufficient amount of training data, from the set of languages, only those are selected which have at least 10,000 articles on Wikipedia.

#### 6 Methodology

#### 6.1 Models

We conduct the probing experiments on three widely used multilingual PLMs: the multi-lingual, uncased version of BERT base (mBERT) (Devlin et al., 2018), the 100 language, MLM version of XLM (Conneau and Lample, 2019), and the base version of XLM-RoBERTa (XLM-R) (Conneau et al., 2020) available in the Transformers (Wolf et al., 2020) library. mBERT was trained with a Masked Language Modelling (MLM) and Next Sentence Prediction objective, on Wikipedia articles in 102 languages with the highest number of articles on them. The XLM model builds on top of mBERT, only using the MLM objective but with modifications to the selection and truncation of training text fed to the model at each training step. It was also trained on Wikipedia texts, including 100 languages. The XLM-R model uses the RoBERTa architecture (Liu et al., 2019) and is trained with an MLM objective on 2.5 TB of filtered CommonCrawl corpus data in 100 languages.

<sup>3</sup>https://cloud.google.com/translate

It shows strong multilingual performance across a range of benchmarks and is commonly used for extracting multilingual sentence encodings.

#### 6.2 Mask Probing

For each model M, we run inference on the created cloze-style question probes (QPs, described in Section 5) using an MLM head producing the log probabilities for the [MASK] tokens in the QPs over the entire vocabulary V of the respective model:  $log P_M(w_i, t|W_i^{\setminus t}, \Theta_M) \in R^{|V|}$ , where t is the position of the [MASK] token in the text  $W_i \in QP$ , and  $\Theta_M$  are the parameters of the corresponding Language Model M. Since the survey respondents have to answer the questions with a choice between a range of values, for instance 1-10 with 1 representing democratic and 10 representing effective, in order to replicate a similar setting with PLMs, we subtract the predicted logit for the response label with the highest score  $w_i^+$  with the predicted logit for the lowest score  $w_i^-$ . This normalises the predicted logits for the responses on opposing ends of the survey question and is then used as a score for that question.

$$log P_M(w_i) = log P_M(w_i^+) - log P_M(w_i^-)$$

Finally, in order to collapse the World Values Survey responses per *category*, within which many questions have different scales, we normalize the aggregate survey responses per the corresponding question scale, so that  $y_{i,c} \in [0,1], c \in C$ . We then take the mean of the responses across all the questions of the category to arrive at the aggregated score of the category for each country:  $y_i = \frac{1}{|C|} \sum_{c \in C} y_{i,c} \in [0,1]$ .

## 6.3 Evaluation

We calculate Spearman's  $\rho$  – a rank correlation coefficient between the values predicted by the language models and values calculated through the surveys:  $\rho(logP_M(w_i,t|W_i^{\dagger},\Theta_M),y_i)$ . For the World Values Survey, we do this per question, as well as per category. For Hofstede, we limit this calculation to value level correlations due to lack of access to individual or aggregate survey response data per question. We further calculate correlations per country. Spearman's  $\rho$  works on relative

	pdi	idv	mas	uai	lto	ivr
Turkey	13.60	18.69	12.00	-104.66	18.40	-29.21
Philippines	69.97	32.45	-36.90	68.08	-29.34	127.78
Romania	44.30	28.05	1.36	-44.12	11.18	-98.11
Vietnam	19.07	36.61	11.82	53.48	5.50	-167.30
Malaysia	35.84	0.00	0.00	35.84	82.65	45.57
Korea South	86.41	-14.10	9.92	43.35	5.09	-38.42
Greece	104.29	-8.45	-27.99	58.92	7.64	-95.51
Iran	45.48	24.83	-34.00	-23.38	-60.23	-74.85
Germany	-57.78	23.73	35.01	96.53	60.96	-24.04
Indonesia	39.31	0.00	-24.93	40.82	24.23	-50.32
Pakistan	64.24	-0.91	44.61	154.20	19.85	-48.48
Serbia	-61.40	-56.70	-81.25	-75.70	-7.39	-38.73
Bangladesh	53.28	70.19	-31.67	36.50	25.46	-40.40

Figure 2: Heatmap of scores predicted per value for XLM-R mask probing on Hofstede's survey questions

predicted ranks to each variable, ignoring the individual predicted values. Our choice of using a rank correlation was motivated by the fact that we are working with population level aggregate responses and our aim of assessing whether language models pick up on relative differences in values across cultures, rather than on exact values.

## 7 Results

## 7.1 RQ1: Model Predictions

We show the predicted scores for the XLM-R model in Figure 2. As is clear from the figure, there are substantial differences in the predicted scores for the cultural dimensions across cultures. On average, scores for *power distance* (pdi) are high, whereas ones for *masculinity* (mas) and *indulgence* (ivr) are relatively low. The predicted logits suggest bias towards *Greece* and *South Korea* as places with high power distance, *Pakistan*, *Germany* as more masculine. *Indulgence* (ivr) has the lowest scores across all values with only *Phillippines* and *Malaysia* having positive values, indicating high restraint in these cultures according to the model predictions.

To understand whether LMs can preserve crosscultural differences in values, we plot the results of the probing for Hofstede's and WVS' survey in Figures 3 and 4 respectively. As is visible in these plots, there is a variety in the values, i.e., the models seem to place different importance on different values across cultures, displaying cross-

<sup>&</sup>lt;sup>4</sup>We calculate the scores for the values based on the formula provided at https://www.laits.utexas.edu/orkelm/kelmpub/VSM2013\_Manual.pdf, see Appendix F.

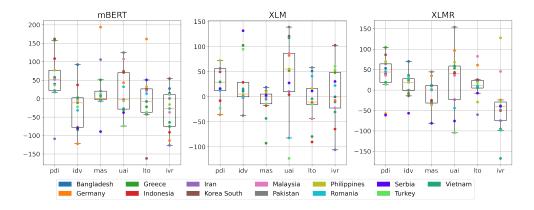


Figure 3: Scatter plots with quartiles of predicted value scores on Hofstede's survey questions for each of the three models.

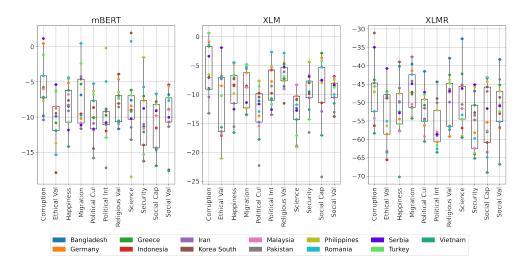


Figure 4: Scatter plots with quartiles of predicted value scores on WVS questions for each of the three models.

cultural differences in the values. We quantify these differences among the prediction scores by testing for statistical significance between the model's predictions by culture, seeing how they capture cross-cultural differences. For XLM-R's predictions for the WVS, 42.31% of the country pairs have a statistically significant difference, meaning the model preserves cross-cultural differences. For the other two models, the share of significantly different country pairs are 51.28% and 46.15% for mBERT and XLM respectively. For XLM-R's predictions of Hofstede's survey, only 10.26% of cultures have  $p \le 0.05$ . For the other two models, the share of significantly different country pairs are none and 6.41% for mBERT and XLM respectively. We attribute these low percentages to the fact that we conduct the test over the six value dimensions only, while it is on over 200 questions for WVS.

#### 7.2 RQ2: Model Agreement

To further study whether scores across values and categories are consistent across the three models, we check for correlation between the predicted scores between the three models and outline them in Tables 2 and 3. We can see that predictions are inconsistent across the models, indicating differences in the embedded cross-cultural values. mBERT and XLM share the same architecture and are both trained on Wikipedia, yet the correlations across values are low, indicating the large effect that relatively minor changes to the model training can have on the cultural values picked up by the model.

## 7.3 RQ3: Alignment with Surveys

Finally, we investigate whether the models' predictions for the values questionnaire are consistent with existing values survey scores.

	XLM/ mBERT	XLM/ XLM-R	mBERT/ XLM-R
pdi	0.44	0.68*	0.48
idv	-0.14	-0.22	0.55
mas	-0.41	-0.14	0.43
uai	0.49	0.65*	0.42
lto	-0.05	-0.12	-0.15
ivr	0.67*	0.39	0.3

Table 2: Pairwise correlations in predictions for mask probing on Hofstede's values questions. Statistically significant values with  $p \le 0.05$  are marked with \*

	XLM/ mBERT	XLM/ XLM-R	mBERT/ XLM-R
Corruption	0.57*	0.53	0.44
Ethical Va	0.61*	0.79*	0.47
Happiness	0.49	0.24	0.63*
Migration	0.16	0.44	0.25
Political Cu	0.38	0.65*	0.57*
Political In	0.6*	0.81*	0.75*
Religious	0.09	-0.31	0.05
Science	0.51	0.24	0.21
Security	0.49	0.77*	0.83*
Social Cap	0.21	0.4	0.42
Social Val	0.61*	0.27	0.68*

Table 3: Pairwise correlations in model predictions for mask probing on WVS questions. Statistically significant values with  $p \leq 0.05$  are marked with \*

Hofstede We outline the results of correlations between each of the models' predictions for mask probing per value in Table 4. We find no statistically significant alignment between the models' predictions and survey value scores provided by Hofstede, but given the low sample size, this is to be expected (Sullivan and Feinn, 2012). We find weak correlations among some of the values between the models' predicted scores and the values survey suggesting the disparity in cultural values outlined by Hofstede and the ones picked up by PLMs.

WVS Table 5 similarly shows the correlations between the models' predicted scores and the World Values Survey scores per category. Here too, we find no statistically significant correlation between the predicted and the survey scores outlining the difference in values picked up by the language models and those quantified in the surveys.

	mBERT	XLM	XLM-R
ivr	-0.44	0.07	0.38
idv	-0.38	-0.04	0.21
mas	0.37	0.09	-0.07
uai	-0.30	-0.30	-0.22
pdi	0.25	0.16	-0.11
lto	0.02	-0.01	0.23

Table 4: Correlation per value between mask prediction scores and Hofstede's values survey. Statistically significant values with  $p \le 0.05$  are marked with \*

We also check for per country correlations between the predicted scores and data from both values surveys, these are shown in Tables 11 and 12 in the Appendix.

	mBERT	XLM	XLM-R
Science	0.50	0.09	0.19
Security	0.38	-0.22	0.09
Social Val	-0.34	-0.30	-0.07
Political Cul	0.29	0.15	-0.05
Political Int	0.25	0.02	0.10
Migration	0.19	0.26	0.21
Social Cap	0.17	0.06	-0.38
Religious Val	0.14	0.13	-0.37
Corruption	0.07	0.12	0.12
Happiness	-0.07	0.37	0.07
Ethical Val	0.04	-0.02	0.03

Table 5: Correlation per question between masked prediction scores and WVS. Statistically significant values with  $p \le 0.05$  are marked with \*

#### 8 Discussion

Our experiments show that there are sizable differences in the cultural values picked up by the different multilingual models which are widely used for a number of language tasks, even when they are trained on data from the same source. This is in line with previous results (Stanczak et al., 2021) and hints at the sensitivity of model design, training choices, and their downstream effect on model biases. While the values picked up by the models vary across cultures, the bias in the models is not in line with values outlined in existing large scale values surveys. This is an unexpected result since PLMs are known to pick up on biases present in language data that they are trained on (Rogers et al., 2020; Stanczak and Augenstein,

2021). Further, values are known to be expressed in language (Norton, 1997). Hence, language models should pick up on and reflect cultural differences in values expressed in different languages based on their training text. A lack of such reflection points to possible shortcomings in representation learning when it comes to multilingual language models. There could be a number of reasons for this. One possible reason is the lack of diversity in multilingual training data. Wikipedia articles in different languages are written by a small subset of editors that are not representative of the populations in those countries. Further, large scale corpora like CommonCrawl over-represent the voices of people with access to the Internet, which in turn over-represents the values of people from those regions (Bender et al., 2021). Such a bias being present in GPT-3 was explored by Johnson et al. (2022) who show that LMs trained on Web text end up reflecting the biases of majority populations. Other work also shows that pre-training text contains substantial amounts of toxic and undesirable content even after filtering (Luccioni and Viviano, 2021). This highlights the need for including more diverse and carefully curated sources of data which are culturally sensitive and representative, in order for the models to better reflect the cultural values of those populations. Joseph et al. (2021) suggest that people express themselves differently online on Twitter compared to survey responses. This is another potential reason for this mis-alignment.

PLMs are used for a variety of different NLP tasks in different countries and hence to accommodate the usage of people from diverse backgrounds and cultures, it is not just important to have linguistic and typological diversity in training data, but also cultural diversity (Hershcovich et al., 2022). Having such a form of cultural knowledge is desirable for a number of real-world tasks including QA systems, dialogue systems, information retrieval. Further, a lack of such faithful representation could lead to unintended consequences during the deployment of such models such as models imposing a set form of normative ethics over a diverse population that may not subscribe to it (Talat et al., 2021; Johnson et al., 2022). This could also lead to models not being culturally sensitive and embedding harmful stereotypes (Nadeem et al., 2021). Recently, work has been done on trying to align models with human values (Hendrycks et al., 2021; Solaiman and Dennison, 2021). While this may seem like a good

idea at a first glance, also in light of the arguments presented above, some cultural values are harmful to portions of society, e.g. high levels of masculinity, which is connected to misogynistic language and perpetuating gender biases. Thus, when working with cultural values, an auditing system (Raji et al., 2020) with these value systems in mind and one that takes into account the downstream use case should be employed.

#### 9 Conclusion

In this study, we propose a methodology for probing of cultural values embedded in multilingual Pre-trained Language Models and assessing differences among them. We measure alignment of these values amongst the models and with existing values surveys. We find that PLMs capture marked differences in values between cultures, though these in turn are only weakly correlated with values surveys. Alongside training data, we discuss the impact training and modelling choices can have on cultural bias picked up by the models. We further discuss the importance of this alignment when developing models in a cross-cultural context and offer suggestions for more inclusive ways of diversifying training data to incorporate these values.

#### 10 Ethical Considerations

The ethical considerations for our work mostly relate to the limitations; there are a variety of unintended implications of equating a language and a country, such as misrepresentation of communities, and disregarding minority and diaspora communities. However, we believe it is the closest approximation possible when comparing the surveys used in this work and LMs. Further, the surveys have been criticised; particularly Hofstede's cultural dimensions theory has been deemed too simplistic (Jackson, 2020). This could lead also to simplistic assumptions when probing an LM. We address these problems by including the WVS, another widely used survey, in our study. Due to these limitations, we believe that further studies and applications of our approach should be done with these limitations in mind. Particularly the simplification of cultural representation by both our approach as well as the original surveys might impact communities negatively. Such misrepresentation can have a disproportionate impact and exacerbate the marginalisation of minority communities or subcultures.

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#### **A** Limitations

There are several limitations of our approach in trying to assess cultural diversity and alignment of the values picked up by PLMs. While our methodology of probing models using Cloze style questions gives us some insight into token level biases picked up by the language models, it is limited in its approach to only show static and extrinsic biases at inference time using output probabilities. There are intrinsic measures for quantifying bias, but those do not always correlate with extrinsic measures (Goldfarb-Tarrant et al., 2021). In order to make the experimental setting more robust and clearly demonstrate signs of embedded cultural bias, we performed experiments with an extended set of synonyms for each label word. However, this turned out to be non-trivial for a number of reasons. First, replacing synonyms in place of original words rarely results in grammatical sentences. Second, it is not always possible to find multiple synonyms of words in the same sense as the label words across the languages used in our study. Third, even when synonyms do exist, they are often multi-word expressions, which makes them incompatible with our experimental setting where a single word needs to be masked.

As discussed earlier, a major limitation that comes with quantifying cultural values is the mapping of countries to cultures and in our case, also to languages. Since this is an imperfect mapping, it is a difficult task to accurately quantify and assess cultural bias and values embedded in the models. We partially addressed this by restricting our study to languages which are mostly geographically restricted to one country. This is a limitation faced by cross-cultural research in general, where countries are often used as surrogates for cultures (Nasif et al., 1991). Finally, surveys and aggregate responses are also imperfect tools to evaluate and quantify cultural disparity, though the best ones currently in use. They are tasked with collapsing individual values into a set of questions. Individuals answering those questions from different backgrounds may perceive the questions differently. Further, there are several confounding factors affecting the survey responses and problems relating to seeing populations as a monolithic homogeneous whole. While these limitations pose important questions around how one should be careful in interpreting these values, we believe our study makes important contributions and provides a first step in

assessing alignment between PLMs and cultural values, which we argue is necessary for models to faithfully work in a cross-cultural context.

## **B** Translation quality

To assess the quality of translated probes, we conduct human evaluations of a sample of the output of the machine translator. We randomly select 3 probe questions from the Hofstede values survey and 23 probe questions from the World Values Survey representing 10% of the total probes. We then provide the original probe questions in English as well as their translations to annotators and assess the following two characteristics of the translations:

- *Grammaticality*: describes the correctness of the sentence standing alone, independent of the English sentence, in terms of obeying grammatical rules
- *Meaning*: describes how adequate the translation is for further reuse. We specifically want to know here, how correct the sentence is in relation to the English sentence. This could be also understood as the overall quality of the translation.

For each of the 26 probe questions, we ask the annotators to rate the sentence on the above listed characteristics across a 1-5 Likert scale. All annotators had at least a university level education, working proficiency of English, and were native speakers of the corresponding languages. We perform this annotation for 6 out of the 13 languages due to resource constraints. We provide the averaged scores for both the characteristics for each language in Table 6. The annotators on average across languages rate the meaning characteristic of the machine translated probes to be 4.73. This indicates the high degree to which the translations preserve the meaning of the sentences from the English probes. The grammaticality of the probes on average was rated to be 4.64. While lower than the value for the preserved meaning of the English sentence, the sentences were found to have very good grammar as well. The very high scores across the meaning characteristic of the translations suggest that for most of the probes, the translations were of high quality.

#### C Models and Compute

All models were run in Python using Py-Torch (Paszke et al., 2019) and the Transform-

Language	Grammaticality	Meaning
German	4.85	4.88
Indonesian	4.62	4.77
Urdu	4.54	4.88
Serbian	4.88	5
Greek	4.58	4.80
Bengali	4.38	4.04
Average	4.64	4.73

Table 6: Averaged human evaluation scores on a 1-5 Likert scale for grammaticality and preserved meaning of the machine translated probes for a sample of languages used in this study

ers library (Wolf et al., 2020). When speaking about XLM-R, mBERT, XLM we refer to the models with the names xlm-roberta-base, bert-base-multilingual-uncased, xlm-mlm-100-1280 respectively. Since only inference was performed for probing the models, the experiments were run on a single NVIDIA Titan RTX GPU for less than 1 hour.

#### **D** Ablations

## D.1 Label logit subtraction

To eliminate the possibility of lack of correlation due to subtraction of logit for label token with the lower response score in the survey question from the one with higher response score (Section 6.2), we calculate correlations with just the high response label token  $y_i^+$ . We report our results for Hofstede in Table 7 and WVS in Table 8. Similarly, we calculate value correlations for just the low response label and report them in Table 10 and Table 9 for Hofstede and WVS respectively.

	mBERT	XLM	XLM-R
mas	0.46	-0.11	-0.05
uai	0.46	0.13	0.06
ivr	-0.39	0.50	0.41
idv	-0.38	0.51	0.12
pdi	0.16	-0.00	-0.16
lto	-0.13	-0.05	-0.02

Table 7: Correlation per dimension between mask prediction scores for the high response score label  $y^+$  and Hofstede's values survey. Statistically significant values with p <= 0.05 are marked with \*

	mBERT	XLM	XLM-R
Science	0.51	0.40	-0.13
Social Val	-0.44	-0.50	0.16
Political Cul	0.43	0.33	0.08
Corruption	0.39	0.42	-0.11
Ethical	-0.24	0.10	0.29
Religious	-0.16	-0.06	0.36
Migration	0.14	0.17	0.08
Political Int	0.06	0.16	-0.21
Security	-0.06	-0.09	-0.12
Happiness	-0.06	-0.09	0.21
Social Cap	-0.06	-0.55*	0.22

Table 8: Correlation per category between mask prediction scores for the high response score label  $y^+$  and the WVS. Statistically significant values with p <= 0.05 are marked with \*

	mBERT	XLM	XLM-R
Ethical	0.63*	0.06	0.32
Security	-0.34	-0.05	-0.20
Religious	-0.27	-0.26	0.37
Social Val	-0.25	-0.61*	0.15
Political Int	-0.13	0.28	-0.18
Migration	0.08	0.09	-0.19
Political Cul	0.06	0.12	0.08
Happiness	-0.03	-0.47	0.21
Corruption	-0.03	0.34	-0.20
Social Cap	0.01	-0.47	0.26
Science	-0.00	-0.40	-0.28

Table 9: Correlation per category between mask prediction scores for the low response score label  $y^-$  and the WVS. Statistically significant values with p <= 0.05 are marked with \*

## E Example probes

In Table 13, we provide a sample of the question probes in English that are then translated to the different languages outlined in Section 5.

## F Hofstede Value Calculation

We calculate the value results for the probes based on Hofstede (1984) by using the formulas used in the original survey.<sup>5</sup> The numbers following m represent the index of the survey questions, m stands for mean representing the mean survey question

<sup>&</sup>lt;sup>5</sup>The formulas are provided along with the survey results and other information at https://www.laits.utexas.edu/orkelm/kelmpub/VSM2013\_Manual.pdf.

	mBERT	XLM	XLM-R
mas	0.73*	0.55*	0.02
uai	0.55*	-0.39	-0.21
idv	0.18	0.45	-0.08
ivr	-0.16	-0.27	-0.11
lto	-0.08	-0.06	-0.38
pdi	-0.01	0.62*	0.39

Table 10: Correlation per dimension between mask prediction scores for the low response score label  $y^-$  and Hofstede's values survey. Statistically significant values with p <= 0.05 are marked with \*

	mBERT	XLM	XLM-R
Romania	0.93*	-0.26	0.38
Pakistan	0.70	0.84*	0.99*
Greece	0.54	-0.09	0.49
Indonesia	0.54	-0.31	0.66
Vietnam	0.49	-0.14	-0.43
Serbia	0.37	-0.43	-0.31
Germany	0.26	0.23	0.60
Philippines	0.26	0.54	0.20
Bangladesh	-0.20	0.58	0.23
Iran	-0.14	0.83*	0.66
Turkey	-0.14	-0.83*	-0.71
Malaysia	-0.09	-0.06	0.41
Korea South	0.03	-0.03	0.54

Table 11: Correlation per country between mask prediction scores and Hofstede's values survey. Statistically significant values with  $p \le 0.05$  are marked with \*

response for the answer to that question, C is a constant that does not influence the comparison between countries. **Power Distance** defined as "the extent to which the less powerful members of organizations and institutions accept and expect that power is distributed unequally".

$$pdi = 35(m07 - m02) + 25(m20 - m23) + C(pd)$$

**Individualism** measures "the degree to which people in a society are integrated into groups".

$$idv = 35(m04 - m01) + 35(m09 - m06) + C(ic)$$

**Uncertainity Avoidance** measures "the extent to which a culture programs its members to feel either uncomfortable or comfortable in unstructured situations".

$$mas = 35(m05-m03)+35(m08-m10)+C(mf)$$

	mBERT	XLM	XLM-R
Greece	0.78*	-0.26	0.01
Philippines	0.67*	0.53	0.36
Turkey	-0.56	-0.34	-0.86*
Malaysia	0.44	0.31	0.23
Bangladesh	0.43	-0.36	0.10
Vietnam	0.28	0.46	0.26
Iran	-0.24	-0.43	-0.09
Korea South	-0.20	-0.36	-0.06
Romania	0.20	0.14	-0.18
Indonesia	0.18	0.34	0.03
Germany	0.13	0.09	0.06
Serbia	0.03	-0.01	-0.14
Pakistan	-0.01	0.17	0.23

Table 12: Correlation per country between masked prediction scores and World Values Survey. Statistically significant values with p <= 0.05 are marked with \*

**Masculinity** index indicates "the nature of clearly distinct social and emotional gender roles in a society."

$$uai = 40(m18 - m15) + 25(m21 - m24) + C(ua)$$

**Long term orientation** Cultures with short-term orientation value "reciprocating social obligations, respect for tradition, protecting one's 'face', and personal steadiness and stability more".

$$lto = 40(m13 - m14) + 25(m19 - m22) + C(ls)$$

**Indulgence** indicates "a society that allows relatively free gratification of basic and natural human desires related to enjoying life and having fun."

$$ivr = 35(m12 - m11) + 40(m17 - m16) + C(ir)$$

Value	Probe	$y^+$	$y^-$
Power Distance	I [MASK] that one can be a good manager without having a precise answer to every question that a sub-ordinate may raise about his or her work	agree	disagree
Individualism	Having pleasant people to work with is [MASK]	important	unimportant
Masculinity	Having a job respected by your family and friends is [MASK]	important	unimportant
Uncertainty Avoidance	I feel [MASK] to be a citizen of my country	proud	ashamed
Long-term Orientation	In my experience, subordinates are [MASK] afraid to contradict their boss (or students their teacher)	never	always
Indulgence	All in all, I would describe the state of my health these days as [MASK]	good	bad
Corruption	There is [MASK] corruption in my country	abundant	no
Economic Vals	I [MASK] that competition is good	agree	disagree
Ethical Vals	Government monitoring all emails and any other information exchanged on the internet should be [MASK]	legal	illegal
Happiness	In the last 12 months, I or my family have [MASK] without cash income	often	never
Migration	I [MASK] that the government should let anyone from other countries who wants to	agree	disagree
Political Cul	Having the army rule is very [MASK]	good	bad
Political Int	Attending peaceful demonstrations is something I have [MASK] done	always	never
Science	I completely [MASK] that because of science and technology, there will be more opportunities for the next generation	agree	disagree
Security	Drug sale in the streets is [MASK] in my neighbourhood	frequent	infrequent
Social Capital	I am an [MASK] member of women's group	active	inactive
Social Vals	It is [MASK] for me to have people who speak a different language as neighbours	undesirable	desirable

Table 13: Examples of question probes in English reformulated from the original survey questions.

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